

## AMENDMENT NO. 2

This Amendment modifies Contract No.1753-16842, for Mental Health Services for Court-Involved Youth by and between the County of Cook, Illinois, herein referred to as "County" and Northwestern University, authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

### RECITALS

Whereas, the County and Contractor have entered into a Contract approved by the County Board on November 15, 2017, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide Mental Health Services for Court-Involved Youth (hereinafter referred to as the "Services") from December 1, 2017 through November 30, 2018, in an amount not to exceed \$4,652,473.00; and

Whereas, Amendment No. 1 was authorized by the County Board on November 14, 2018 to revise the Scope of Services, modify the indemnification clause, and extend the contract for two years beginning on December 1, 2018 through November 30, 2020, in the amount of \$1,708,600.00 and the Total Contract Amount was revised to \$6,361,073.00; and

Whereas, the Contract will expire November 30, 2020, and the agreed upon Services are still required; and

Whereas, an increase of the Contract amount is required for the continuation of Services; and pursuant to Article 10 Section C of the Contract, the County and Contractor desire to increase the Contract in the amount of \$1,329,927.00; and

Whereas, pursuant to Article 10 Section C of the Contract, the County and Contractor desire to extend the Contract for one (1) year beginning on December 1, 2020 through November 30, 2021.

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:


1. The Contract is extended through November 30, 2021.
2. The Contract is increased by \$1,329,927.00 and the Total Contract Amount is revised to \$7,691,000.00.
3. The attached updated Identification of Sub-Contractors/Suppliers/Sub-Consultants Form, MBE/WBE Utilization Plan forms, certificate of insurance, and Economic Disclosures Statement under Attachment A are incorporated and made a part of this Contract.
4. All other terms and conditions remain as stated in the Contract.

In witness whereof and pursuant to County Board approval on October 22, 2020 the County and Contractor have caused this Amendment No. 2 to be executed on the date and year last written below.

County of Cook, Illinois

Northwestern University

By: Raffi Sarrafian  
Chief Procurement Officer

  
Signed

Date: 11/13/2020

Shandra White  
Type or print name

By: Daniel H Brennan Jr  
State's Attorney (if applicable)

Executive Director  
Title

\_\_\_\_\_  
Type or print name (if applicable)

Date: \_\_\_\_\_

Date: 10/15/2020

**ATTACHMENT A**

**Cook County  
Office of the Chief Procurement Officer  
Identification of Subcontractor/Supplier/Subconsultant Form**

<p><b>OCPO ONLY:</b>  <input type="radio"/> Disqualification  <input checked="" type="radio"/> Check Complete</p>
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The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: n/a	Date: 10/05/2020
Total Bid or Proposal Amount: \$27,620	Contract Title: Mental Health Services for Court Involved Youth
Contractor: Northwestern University	Subcontractor/Supplier/ Subconsultant to be added or substitute: Filevine
Authorized Contact for Contractor: Philip O'Donnell	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Dustin Hansen
Email Address (Contractor): phillip.odonnell@northwestern.edu	Email Address (Subcontractor): dustin@filevine.com
Company Address (Contractor): 2245 W. Ogden Ave	Company Address (Subcontractor): 1242 Wilmington Ave #100
City, State and Zip (Contractor): Chicago, IL 60612	City, State and Zip (Subcontractor): Salt Lake City, UT, 84106
Telephone and Fax (Contractor) 312-433-6666	Telephone and Fax (Subcontractor) 801-657-5228
Estimated Start and Completion Dates (Contractor) 12/1/2020 - 11/31/2021	Estimated Start and Completion Dates (Subcontractor) 12/1/2020 - 11/31/2021

**Note:** Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Filevine will provide data migration, user training, and implementation support.	\$27,620

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

Contractor  
Northwestern University

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Name Shandra White

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Title Executive Director

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Prime Contractor Signature \_\_\_\_\_ Date **October 15, 2020**





OFFICE OF CONTRACT COMPLIANCE

**EDWARD H. OLIVIERI**

CONTRACT COMPLIANCE DIRECTOR

118 N. Clark, County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

**TONI PRECKWINKLE**

PRESIDENT

**Cook County Board  
of Commissioners**

BRANDON JOHNSON

1st District

DENNIS DEER

2nd District

BILL LOWRY

3rd District

STANLEY MOORE

4th District

DEBORAH SIMS

5th District

DONNA MILLER

6th District

ALMA E. ANAYA

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LUIS ARROYO, JR.

8th District

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9th District

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11th District

BRIDGET DEGNEN

12th District

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13th District

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KEVIN B. MORRISON

15th District

FRANK AGUILAR

16th District

SEAN M. MORRISON

17th District

October 15, 2020

Mr. Raffi Sarrafian  
Chief Procurement Officer  
118 N. Clark Street  
County Building-Room 1018  
Chicago, IL 60602

Re: Contract No.: 1753-16842 (Amendment No. 2)  
Mental Health Services for Court-Involved Youth  
Department of Juvenile Probation

Dear Mr. Sarrafian:

The Office of Contract Compliance is in receipt of the above-referenced contract amendment and has determined a 0% overall MBE/WBE participation goal was recommended and does not require the Office of Contract Compliance to review for MBE/WBE compliance with the Minority- and Women- owned Business Enterprises (MBE/WBE) Ordinance.

Sincerely,

Edward H. Olivieri  
Contract Compliance Director

EHO/ds

cc: Jorge Robles, OCPO  
Carol Braz, Department of Juvenile Probation



**Cook County  
Office of the Chief Procurement Officer**

**Economic Disclosure Statement Recertification Affidavit**

Applicant/Holder Name:

Contract #:

Address:

City:

County:

State:

Zip:

Phone:

Email:

**Instructions**

If the Applicant is a corporation, the President must execute this affidavit. If executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization, satisfactory to the County that permits the person to execute this affidavit for the corporation.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute this affidavit, unless one partner or joint venturer has been authorized to sign.

If the Applicant is a member-managed LLC all members must execute this affidavit, unless otherwise provided in the operating agreement, resolution or other corporate documents.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute this affidavit.

This recertification is being submitted in connection with

Contract Name:

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this Economic Disclosure Statement ("EDS") recertification on behalf of the Applicant/Holder, (2) warrants that all certifications and statements contained in the Applicant/Holder's original EDS dated are true, accurate and complete as of the date furnished to the County and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Recertification of:

- Certifications (SECTION 2)
- Economic and Other Disclosures (SECTION 3)
- Cook County Child Support Affidavit (Please submit any additional Child Support Obligations as an attachment to this form)
- Cook County Disclosure of Ownership Interest Statement
- Cook County Board of Ethics Familial Relationship Disclosure Form
- Cook County Affidavit for Wage Theft Ordinance (SECTION 4)

IMPORTANT: If you are unable to re-certify any section(s) of your previous EDS, please submit a truthful, fully updated version of that section(s) of the EDS including separate signatures where required.

By: Northwestern University  
(Print or type legal name of Applicant/Holder)

Date: October 15, 2020



Authorized signatory (Signature)

Print or type name of President or authorized signatory:

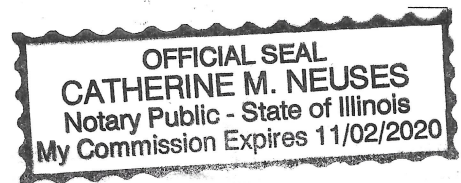
Shandra S. White

Title of signatory:

Executive Director for Sponsored Research

Subscribed and sworn to before me on this 15<sup>th</sup> day of October, 2020

Notary Public Signature: Catherine M. Neuses Seal:  
500065191



**SECTION 3**

**REQUIRED DISCLOSURES**

**1. DISCLOSURE OF LOBBYIST CONTACTS**

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name	Address
NA	

**2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)**

*Local business* means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?  
Yes:  No:

b) If yes, list business addresses within Cook County:  
710 N. Lake Shore Dr., Rubloff Bldg., 7th Fl.  
Chicago, IL 60611

c) Does Applicant employ the majority of its regular full-time workforce within Cook County?  
Yes:  No:

**3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)**

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

**All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.**



**4. REAL ESTATE OWNERSHIP DISCLOSURES.**

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

**PERMANENT INDEX NUMBER(S):** see attached

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
**(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)**

**OR:**

- b) \_\_\_\_\_ The Applicant owns no real estate in Cook County.

**5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.**

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

The Affidavit of Child Support Obligations is N/A due to the fact that Northwestern is a not-for-profit organization. As per Affidavit instructions.

If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.

<u>PIN</u>	<u>City</u>
05-35-310-007-0000	Evanston
05-35-310-015-0000	Evanston
05-35-310-019-0000	Evanston
05-35-310-020-0000	Evanston
05-35-310-022-0000	Evanston
05-35-401-026-8001	Evanston
05-35-401-026-8002	Evanston
05-35-410-003-0000	Evanston
05-35-410-004-0000	Evanston
05-35-410-019-0000	Evanston
05-35-410-021-0000	Evanston
11-07-204-003-0000	Evanston
11-07-204-004-0000	Evanston
11-07-204-004-0000	Evanston
11-07-204-005-0000	Evanston
11-07-204-006-0000	Evanston
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11-07-204-009-0000	Evanston
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11-07-400-004-0000	Evanston
11-18-100-024-0000	Evanston
11-18-100-025-0000	Evanston
11-18-100-026-0000	Evanston
11-18-100-027-0000	Evanston
11-18-106-029-0000	Evanston

11-18-109-014-0000 Evanston  
11-18-109-015-0000 Evanston  
11-18-109-051-0000 Evanston  
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11-18-300-007-0000 Evanston  
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11-18-401-011-0000 Evanston  
11-18-401-012-0000 Evanston  
11-18-401-013-0000 Evanston  
11-18-404-010-0000 Evanston

17-03-228-036-0000 Chicago  
17-10-200-045-0000 Chicago  
17-10-200-050-0000 Chicago  
17-10-200-056-0000 Chicago  
17-10-200-057-0000 Chicago  
17-10-201-017-0000 Chicago

17-10-201-040-0000	Chicago
17-10-201-049-0000	Chicago
17-10-201-052-0000	Chicago
17-10-201-053-0000	Chicago
17-10-201-054-0000	Chicago
17-10-201-055-0000	Chicago
17-10-204-008-0000	Chicago
17-10-204-009-0000	Chicago
17-10-204-012-0000	Chicago

**COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT**

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing. **County reserves the right to request additional information to verify the veracity of information contained in this statement.**

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

- 1. An Applicant for County Action and
- 2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the  Applicant or  Stock/Beneficial Interest Holder

This Statement is an:  Original Statement or  Amended Statement

**Identifying Information:**

Name Northwestern University

D/B/A: \_\_\_\_\_ FEIN # Only: 36-2162817

Street Address: 750 N Lake Shore Dr., Rubloff 7th Fl

City: Chicago State: IL Zip Code: 60611

Phone No.: 312-503-7955 Fax Number: \_\_\_\_\_ Email: \_\_\_\_\_

sponsoredresearch@northwestern.edu

Cook County Business Registration Number: \_\_\_\_\_  
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): \_\_\_\_\_

**Form of Legal Entity:**

Sole Proprietor  Partnership  Corporation  Trustee of Land Trust

Business Trust  Estate  Association  Joint Venture

Other (describe) Not-for-profit organization

**Ownership Interest Declaration:**

- 1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
------	---------	---

n/a

\_\_\_\_\_

\_\_\_\_\_

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address

3. Is the Applicant constructively controlled by another person or Legal Entity? [ ] Yes [  ] No  
If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship

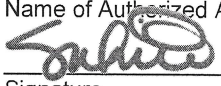
**Corporate Officers, Members and Partners Information:**

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
see attached list.			


**Declaration (check the applicable box):**

- I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

Shandra White  
 Name of Authorized Applicant/Holder Representative (please print or type)  
  
 Signature  
 sponsoredresearch@northwestern.edu  
 E-mail address

Executive Director  
 Title  
 10/15/2020  
 Date  
 312-503-7955  
 Phone Number

Subscribed to and sworn before me this 15th day of Oct, 2020

X   
 Notary Public Signature



Notary Seal

Name	Email	Phone	Address
Barris, Peter J.	pbarris@nea.com	301-272-2285	1121 Brook Valley Lane McLean Virginia 22102
Brennan, Christine E.	brennan514@aol.com	202-256-6054	4701 Connecticut Ave. NW, Suite 504 Washington District of Columbia 20008
Cahillane, Steven A.	Steve.Cahillane@kellogg.com	269-961-9393	1841 N Orchard Street Chicago Illinois 60614
Crown, A. Steven	ascrown@crown-chicago.com	312-899-5011	222 North LaSalle Street, Suite 2000 Chicago Illinois 60601
DeHaas, Deborah L.	ddehaas@deloitte.com	312-486-5460	111 South Wacker Drive Chicago Illinois 60606
Findlay, D. Cameron	cameron.findlay@outlook.com	763-218-4717	2550 N. Lakeview Avenue, Apt. S2101 Chicago Illinois 60614
FitzSimons, Dennis J.	dfitzsimons@mccormickfoundation.org	312-493-1013	205 North Michigan Avenue, Suite 4300 Chicago Illinois 60601
French, T. Bondurant	bfrench@adamsstreetpartners.com	312-553-8480	1 North Wacker Drive, Suite 2700 Chicago Illinois 60606
Galvin, Christopher B.	cgalvin@blythebourne.com	312-920-0600	389 S. Lake Drive, 3B Palm Beach Florida 33480
Hackett, Jr., H. Patrick	phackett@hhsco.com	847-917-5711	56 Woodley Road, Winnetka, Illinois 60093
Hoag, Jay C.	jhoag@tcv.com	650-773-2108	221 Park Lane Atherton California 94027
Kaehler, Nancy Trienens	nan@worldtraveler.com	847-921-4747	307 Woodstock Avenue Kenilworth Illinois 60043
Karr, Adam R.	adam.karr@orbis.com	415-489-3650	600 Montgomery Street, Suite 3800 San Francisco California 94111
Kirkpatrick III, Harrel N.	kkirkpatrick@vistria.com	312-626-1109	300 East Randolph Street, Suite 4030 Chicago Illinois 60601
Kullman, Ellen	ekullman@aol.com	302-559-8018	1116 Berkeley Road Wilmington Delaware 19807
Martin, J. Landis	lmartin@platteriverequity.com	303-292-7301	200 Fillmore Street, Suite 200 Denver Colorado 80206
Nelson, Wendy M.	wnelson@tonkawa.com	612-859-7770	301 Carlson Parkway, Suite 315 Minnetonka MN 55305
Osborn, William A.	waosborn875@yahoo.com	312-623-4407	120 De Windt Road Winnetka Illinois 60093
Pigott, Jane DiRenzo	jpgigott@r3group.net	312-628-4743	321 N. Clark Street, Suite 500 Chicago Illinois 60654
Pretlow, Paula B.	paula@paulapretlow.com	415-730-6802	301 Mission Street, Unit 31B San Francisco California 94105
Querrey, Kimberly	kimberly@sqresidence.com	858-354-5833	4501 Gulf Shore Blvd N, Apt 702 Naples Florida 34103
Reyes, M. Jude	mjreyes@reyesholdings.com	561-469-5602	777 South Flagler Drive, Suite 1500 West Palm Beach Florida 33401
Rometty, Virginia M.	grometty@us.ibm.com	914-499-4200	1 New Orchard Road Armonk New York 10504-1722
Ryan, Jr., Patrick G.	pryan@incisent.com	312-279-1244	833 West Jackson Boulevard, Suite 800 Chicago Illinois 60607
Sachs, David A.	sachs@aresmgmt.com	310-201-4176	2000 Avenue of the Stars, 12th Floor Los Angeles California 90067
Santi, E. Scott	ssanti@itw.com	224-661-8020	155 Harlem Avenue Glenview Illinois 60025
Satter, Muneer A.	muneer@satterinvest.com	312-448-5506	676 North Michigan Avenue, Suite 4000 Chicago Illinois 60611
Senyei, Andrew E.	dsenyei@epvc.com	619-977-6772	1547 El Camino Del Teatro La Jolla California 92037
Shannon, Michael S.	michael.shannon@kslcapital.com	720-284-6410	100 Saint Paul Street, Suite 800 Denver Colorado 80206
Shotwell, Gwynne E.	gwynne@spacex.com	310-363-6229	1 Rocket Road Hawthorne California 90250
Sullivan, Timothy P.	tsullivan@mdcp.com	312-895-1250	1049 Locust Road Wilmette Illinois 60091
Tribbett III, Charles A.	charles.tribbett@russellreynolds.com	312-882-4866	155 North Wacker Drive, Suite 4100 Chicago Illinois 60606
Ubben, Jeffrey W.	jubben@valueact.com	415-362-3700	One Letterman Drive, Building D, 4th Floor San Francisco California 94129
Waddell, Frederick H.	fhw@ntrs.com	312-444-3939	875 N Michigan Ave, Suite 3940 Chicago Illinois 60611
Weinberg, David B.	dbw@requity.com	312-236-3836	401 North Michigan Avenue, Suite 3050 Chicago Illinois 60611
White, Miles D.	miles.white@abbott.com	224-667-3417	100 Abbott Park Road Abbott Park Illinois 60064-6020

# Northwestern University Board of Directors/Board of Managers/Board of Trustees

First Name	Middle Name	Last Name	Title	Company	Address	City	State	Zip	Country	Phone
William	F.	Adinger	Retired	W.A. Capital	330 Primrose Road, Suite 202	Burlington	California	94010		650-752-3530
Mark	Alan	Augelson								
Peter	J.	Barris			1121 Brook Valley Lane	McLean	Virginia	22102		703-427-5757
Lee	Phillip	Becker			2935 Beverly Grove Drive	Beverly Hills	California	90230		310-275-6642
John	Lee	Bell			2650 E. 11th Street	Indianapolis	Indiana	46219		317-251-8854
John	Scott	Bentley			1430 North Lake Shore Drive, #6	Chicago	Illinois	60610		312-443-3657
Neil	G.	Bloom	President and Managing Principal	JMB Realty Corporation and Watson Street Capital	900 North Michigan Avenue, Suite 1500	Chicago	Illinois	60611		312-915-1011
Dobornik	H.	Brady			22 Sunrise Cay Drive	Key Largo	Florida	33037		305-367-3264
Christine	E.	Bronnan	Sports Columnist and Commentator	USA Today, ABC News, CNN and NPR	4701 Connecticut Avenue Northwest, Suite 504	Washington	D.C.	20008		202-965-5465
Duane	L.	Burham	Retired Chairman and Chief Executive Officer	Abbott Laboratories	350 Field Drive, Suite 100	Lake Forest	Illinois	60045		224-697-3210
Steven	A.	Callahan			390 West Peace Ferry Road NW	Atlanta	Georgia	30327		678-200-9879
John	A.	Canning, Jr.	Chairman	Madison Dearborn Partners, LLC	70 West Hubbard Street	Chicago	Illinois	60602		312-495-1100
Nicholas	D.	Chapiga	Retired Chairman and Chief Executive Officer	General Dynamics Corporation	2 Wisconsin Place, Suite 201	Lake Forest	Illinois	60045		847-275-0644
Dennis	H.	Chapman			1100 Michigan Avenue	Wilmette	Illinois	60091		847-251-1000
Donald	A.	Clark			2333 Wabagon Road, Suite 150	Burnsview	Illinois	60015		847-235-0900
George	C.	Cohn	Founder	McDonald's Restaurants of Canada and McDonald's Russia	1 McDonald's Place	Toronto	Ontario	M5C 3L4	Canada	416-445-3357
Franklin	A.	Cole			5076 Southwest Investment Court	Palm City	Florida	34990		772-285-1338
Christopher	B.	Combe			6767 North Ocean Boulevard, #3	Green Ridge	Florida	33435		914-461-4412
Philip	M.	Condit	Managing Director, Global Head of Human Capital Management	Goldman Sachs & Company	4595 Harvard Lane	Frisco	Texas	75034		415-445-0911
Edith	W.	Conper	General Partner	Henry Crown and Company	200 West Street	New York	New York	10082		212-902-9909
John	Steven	Crown	Chairman	Henry Crown and Company	509 Mc Pleasant Road	Wilmette	Illinois	60093		312-629-4956
Lester	A.	Crow			222 North LaSalle Street, Suite 2000	Chicago	Illinois	60601		312-899-5011
Bonnie	S.	Crowley			255 North Dearborn Street	Chicago	Illinois	60610		312-427-5544
Richard	H.	Dean	Vice Chairman, Chief Inclusion Officer and National Managing Partner, Center for Corporate Governance	Deloitte LLP	3085 North LaSalle Road	Mequon	Wisconsin	53092		703-624-2145
Deborah	L.	Dehas			113 South Wacker Drive	Chicago	Illinois	60605		312-486-5460
James	A.	Douglas	Partner	Sidley Austin LLP	100 Meadow Wood Drive	Greenwich	Connecticut	06830		312-467-1771
Charles	W.	Douglas	Managing Principal	Citicic Creek Capital LLC	1 South Dearborn Street	Chicago	Illinois	60603		312-833-7706
John	M.	Egenmeyer			6051 EIT Tower, P.O. Box 1329	Rancho Santa Fe	California	92067		858-758-8800
Raymond	F.	Fairley	Retired President and Chief Executive Officer	S. C. Johnson & Son, Inc.	245 Main Street, Suite 101	Racine	Wisconsin	53403		262-260-2562
W. Michael	W.	Ferro, Jr.	Retired Chairman and Chief Executive Officer	Illinois Tool Works Inc., c/o St. Pls, LLC	207 East Westminster, Suite 202	Lake Forest	Illinois	60045		847-462-0900
Dennis	J.	Fitzpatrick	Former Vice President, General Counsel, and Chairman	Metrisk Ventures	350 North Orleans, 10th floor	Chicago	Illinois	60654		312-377-9985
Thomas	Z.	Ford	Executive Chairman	Acacia Daniels Midland Company	77 West Wacker Drive, Suite 4620	Chicago	Illinois	60601		312-454-8150
Robert	T.	Francis	Executive Chairman	Robert R. McCormick Foundation	205 North Michigan Avenue, Suite 4300	Chicago	Illinois	60601		312-445-5150
Barbara	B.	Frank	Artistic Director	Chicago Shakespeare Theater	800 East Grand Avenue	Chicago	Illinois	60611		312-553-8480
Christopher	B.	Garrett, Jr.	Chairman and Chief Executive Officer	Harrison Street Capital LLC	5 Park Place	Chicago	Illinois	60606		312-920-0600
James	L.	Gaynor			266 15th Avenue South	Naples	Florida	34102		561-736-7109
Loren	N.	Gaylor			11463 Old Harbour Road	North Palm Beach	Florida	33408		239-361-3321
Eric	J.	Gleicher	CEO & Chief Investment Officer	Marshall Street Capital	800 North Marshall Street	Milwaukee	Wisconsin	53202		917-838-3672
John	W.	Gould			550 Coconut Palm Road	Milwaukee	Wisconsin	53202		414-273-3421
H. Herbert	J.	Gulluozar			50 Woodlily Road	Wilmette	Illinois	60093		847-480-9190
J. Ira	L.	Harris	Chairman	J.I. Harris and Associates	220 Sumner Avenue, Suite 210	Wilmette	Illinois	60090		847-693-7130
Philip	L.	Harris	President and Chief Executive Officer	Senior & Back LLP	2101 Oak Street, Suite 300	Chicago	Illinois	60604		312-467-1300
Dean	R.	Harrison	President and Chief Executive Officer	Northwestern Memorial Healthcare	255 East Halsted, Suite 3-37089	Chicago	Illinois	60611		312-295-3007
Thomas	Z.	Hauptfeld, Jr.			Unit EC, 1285 East Street Boulevard North, The Laurentians	Naples	Florida	34102		239-430-4340
Avy	C.	Hood			221 Park Lane	Atlanta	Georgia	30301		650-614-8210
Frank	S.	Hoffman			52 East 83rd Street	New York	New York	10028		212-988-3653
Edward	C.	Hutcheson, Jr.			1579 Potomac Drive	Houston	Texas	77057		713-405-1065
George	E.	Johnson			180 East Pranson Street, #6407	Chicago	Illinois	60611		312-395-5466
Daniel	S.	Jones			450 Rosemead Lane	Naples	Florida	34105		239-269-6004
David	G.	Kabiler	Founding Principal, CFA	ACR Capital Management	Two Greenwich Plaza, 4th Floor	Greenwich	Connecticut	06830		203-742-3602
James	R.	Kaciker			1206 Valley Road	Mineral Point	Wisconsin	53565		608-887-5400
Nancy	Ann	Kaefer	Managing Director	Orb Investment Management	207 Woodcreek Avenue	Kenilworth	Illinois	60049		847-231-6056
Adam	R.	Karr			600 Montgomery Street, Suite 3800	San Francisco	California	94111		415-393-9250
Eileen	J.	Katzev			100 West 42nd Street, 13A	New York	New York	10018		212-483-2400
Jerome	P.	Keenan			4265 San Felipe, Suite 970	Houston	Texas	77027		713-921-9568
John	M.	Keenan			Zurichstrasse 66-68	Thalwil	Switzerland	8800		41-41 787 80 81
Maria	Zsa	Kernan	President and Chief Executive Officer	Keytrade AS	300 East Randolph, Suite 4030	Chicago	Illinois	60601		312-626-1109
William	N.	Kirkpatrick III	Co-Chief Executive Officer	The Vista Group	300 East Randolph Street, 50th Floor	Chicago	Illinois	60601		312-851-2288
Lester	B.	Kirsch	Principal	Baker & McKenzie LLP	272 East Dearborn Street, Suite 350	Lake Forest	Illinois	60045		847-739-3200
Martin	J.	Kobylka	Founding Partner and Co-Chairman	Roundtable Healthcare Partners	1900 North Lake Shore Drive, #9A	Chicago	Illinois	60610		312-542-6448
Henry	M.	Kraemer, Jr.			1300 Sheridan Road	Wilmette	Illinois	60091		847-251-3940
Timothy	K.	Krauskopf			770 39th Street	Downers Grove	Illinois	60515		630-240-9503
Diane	R.	Kulberg			6444 North 79th Street	Scottsdale	Arizona	85250		312-953-3003
Bill	Lambert	Lambert	Senior Vice President and Principal	One Smooth Stone, Inc.	17 West 81st Street, Apartment 6C	New York	New York	10024		212-850-3314
Mark	A.	Leong	Chairman and Chief Executive Officer	Beckwith Partners, LLC	200 South Dearborn Street	New York	New York	10019		212-677-5574
Alan	R.	Leventhal	Managing Partner	Lery Family Partners	203 South Dearborn Street	New York	New York	10019		617-867-0400
Lorenance	F.	Levy			444 N. Michigan Avenue, Suite 3500	Chicago	Illinois	60611		312-267-4190
Kevin	Levy	Levy			1111 Superior Avenue East, Suite 700	Cleveland	Ohio	44114		312-267-4190
Edward	M.	Liddy			900 E Ringwood Rd	Lake Forest	Illinois	60045		847-402-6702
John	Louis	Louis	Chairman	Parson Capital Corporation	227 West Monroe, Suite 4320	Chicago	Illinois	60606		312-395-6848
Jeffrey	Ann	Lulis	President	Lulis Holdings, Inc.	2 Nordi Riverside Plaza, Suite 12-40	Chicago	Illinois	60606		312-465-4004



# Northwestern University Board of Directors/Board of Managers/Board of Trustees

Robert A. Gibbs	Lore W. Madigan	Arthur W. James	94027	California	Atherton	191 Selby Lane	650-369-6416
Martha W. Garry	Madigan	W. James	60093	Illinois	Winnetka	1160	817-465-0854
John K. Landis	Marshall	K. James	60093	Illinois	Winnetka	4252 Riverside Drive	312-465-5151
Eden	Martin	Landis	91505	California	Borwick	200 Filmore Street, Suite 210	818-955-5702
C. Arthur	Martinez	C. James	80022	Illinois	Greene	483 Greenwood Avenue	303-292-7301
James W. Newlin	McMurray, Jr.	N. James	08810	Connecticut	Greenwich	30 East Elm Street	847-835-5100
Lee M. Kachym	Mitchell	M. James	06606	Connecticut	Bridgeport	43 North Avenue	203-334-1872
F. M. Nelson	Mullin	F. James	09005	Illinois	Chicago	100 North Riverside Plaza	312-544-2400
Wendy M. Nelson	Nelson	W. James	60603	Illinois	Chicago	300 North Dearborn Street	312-833-7555
Robin M. Novotnik	Novotnik	R. James	60664	Illinois	Chicago	300 North LaSalle Street, Suite 4150	312-254-3595
James J. O'Connor	O'Connor	J. James	60523	Illinois	Oak Brook	21 Chatham Lane	312-578-2162
William A. Dale	Pearce	W. James	30327	Georgia	Atlanta	710 Fairburn Road, Northwest	404-948-9092
Harry J. K. Difeno	Pigott	H. James	30023	Minnesota	Minnetonka	101 Central Park West, Suite 915	952-412-1382
John S. Brian	Pitiker	J. James	22042	New York	Sydney	201 Central Park West	212-803-5855
Brian S. J.B. Kimberly	Querry	B. James	60501	Virginia	Falls Church	29411 Fairview Park Drive, Suite 100	703-876-3348
M. A. Michael	Reinhold	M. James	60611	Illinois	Chicago	77 West Wacker Drive, Suite 3900	312-394-3274
Michelle M. John	Reyes	M. James	60911	Illinois	Chicago	875 North Michigan Avenue, Suite 3940	312-444-9272
Virginia	Ribman	V. James	60991	Illinois	Chicago	1006 South Boulevard, Suite 255	847-253-6700
John W. Rowe	Romney	J. James	48304	Michigan	Bloomfield Hills	455 Wabash Drive	248-667-8122
Patrick G. Ryan	Sachs	P. James	33480	Florida	Palm Beach	145 N. Crane Street, Suite 500	561-463-4332
David G. Allen	Sagin	D. James	60954	Illinois	Chicago	111 South Rock Road	312-828-4743
Michael J. Paul	Saltzman	M. James	08840	Connecticut	New Canaan	4501 Golf Stars Blvd N, Apt 702	203-987-4735, ext. 500
William E. Seign	Sant	W. James	60606	Illinois	Chicago	333 West 35th Street	295-649-4322
Robert E. Scott	Schaefer	R. James	60615	Illinois	Chicago	3901 West Madison Street	312-674-5000
K. A. Schaefer	Schneider	K. James	60412	Illinois	Chicago	777 S. Fagier Drive, Suite 1500	312-453-4150
A. P. Charles	Seidman	A. James	30411	Illinois	Chicago	179 East Lake Shore Drive	847-469-5602
Charles E. Gibson	Seidman	C. James	60611	Illinois	Chicago	New Orchard Road	312-787-9284
Arthur L. Eder, Jr.	Seidman	A. James	10504	New York	Chicago	Three First National, 70 West Madison, Suite 3770	614-499-4200
Andrew L. Scott	Seidman	A. James	60602	Illinois	Chicago	Providence Plaza	312-394-3277
Michael Allen	Seidman	M. James	60621	Illinois	Chicago	80 North Stearns Avenue, Suite 4600	312-381-3010
Benjamin W. Smith	Seidman	B. James	60907	Illinois	Chicago	800 North Dearborn Street, Suite 800	312-279-1241
Nancy D. Smith	Seidman	N. James	60611	Illinois	Chicago	2000 Avenue of the Stars, Suite 1100	312-201-4176
William J. Sullivan	Seidman	W. James	02138	Massachusetts	Chicago	900 North Michigan Avenue, Suite 1100	312-506-8901
John P. Sullivan	Seidman	J. James	95471	California	San Diego	4 Lowell Street	617-234-7891
Edward F. Thomas	Seidman	E. James	60025	Arizona	Scottsdale	P.O. Box 387	415-963-1370
Richard L. Thomas	Seidman	R. James	60613	Illinois	Chicago	11185 East Rolling Rock Drive	480-575-8550
Charles A. Thomas	Seidman	C. James	60613	Illinois	Chicago	676 North Michigan Avenue, Suite 4000	312-448-5506
Howard J. Thomas	Seidman	H. James	60093	Illinois	Chicago	381 Linden Street	206-295-5546
Jeffrey W. Uehlin	Seidman	J. James	60093	Illinois	Chicago	6230 Shirley Street, Unit 105	847-501-8590
Berry A. Heitz	Seidman	B. James	34109	Florida	Naples	3005 The Mall	239-431-8354
Frederick R. Water	Seidman	F. James	23185	Virginia	Williamsburg	1547 Cherry Road	757-565-1164
John M. Water	Seidman	J. James	60062	Illinois	Northbrook	10611 Camino Del Puerto	847-360-4441
Mark R. Water	Seidman	M. James	60076	Illinois	Downers Grove	1600 Golf Streets Boulevard North, Suite 184	858-456-3254
Lawrence A. White	Seidman	L. James	34102	Colorado	Denver	1607 94th Avenue Northwest	303-215-9893
David B. White	Seidman	D. James	60611	Illinois	Chicago	200 West Madison Street, Suite 3000	773-284-6410
John D. White	Seidman	J. James	60606	Illinois	Chicago	676 North Michigan Avenue, Suite 3850	425-454-8182
William J. Wilton	Seidman	W. James	60611	Illinois	Chicago	6726 Olinger Road	312-857-5211
Emily R. Williams III	Seidman	E. James	20115	Virginia	Manassas	70 West Madison Street, Suite 4500	546-365-1564
Stephen R. Wilson	Seidman	S. James	60602	Illinois	Chicago	10510 Savannah Drive	312-895-1250
Robert N. Wolf	Seidman	R. James	32963	Florida	Vero Beach	10 South Dearborn, Suite 3950	773-228-9770
Stephen J. Blane	Seidman	S. James	60603	Illinois	Chicago	135 North Wacker Drive, Suite 4100	312-732-6480
			60606	Illinois	Chicago	1 South Dearborn Street, #16203F	312-958-0728
			94129	California	San Francisco	1000 California Drive	312-853-7417
			53217	Wisconsin	Lincoln	8245 North River Road	415-362-3700
			60045	Illinois	Chicago	50 South LaSalle Street	414-352-7073
			60611	Illinois	Chicago	277 West Monroe Street, Suite 923	847-294-4477
			60606	Illinois	Chicago	818 Clinton Place	312-446-9659
			60001	Illinois	Chicago	300 East 42nd Street, Suite 2400	312-877-4538
			10017	New York	New York	401 North Michigan Avenue, Suite 3050	847-735-0214
			60511	Illinois	Chicago	300 Abcott Park Road	312-236-8836
			60664-6220	Illinois	Abcott Park	10408 North Rock Road	312-236-3636
			60093	Illinois	Winnetka	No. 255 Carothers Road	224-667-3417
			20914	Maryland	Bethesda	508 Road, Calverton Village	847-441-9978
			100103	Illinois	Chicago	2486 Central Park Avenue	301-554-4815
			60201	Illinois	Chicago	P.O. Box 1400	+86 10 85118658 ext. 8028
			20118	Virginia	Middleburg	3220 Greenhills Drive	847-899-0938
			94548	California	Lafayette		312-475-4051



**COOK COUNTY BOARD OF ETHICS**  
 69 W. WASHINGTON STREET, SUITE 3040  
 CHICAGO, ILLINOIS 60602  
 312/603-4304 Office 312/603-9988 Fax

**FAMILIAL RELATIONSHIP DISCLOSURE PROVISION**

**Nepotism Disclosure Requirement:**

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

**Additional Definitions:**

“*Familial relationship*” means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- |                                  |  |                                       |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent  | <input type="checkbox"/> Grandparent     | <input type="checkbox"/> Stepfather   |
| <input type="checkbox"/> Child   | <input type="checkbox"/> Grandchild      | <input type="checkbox"/> Stepmother   |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law   | <input type="checkbox"/> Stepson      |
| <input type="checkbox"/> Sister  | <input type="checkbox"/> Mother-in-law   | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt    | <input type="checkbox"/> Son-in-law      | <input type="checkbox"/> Stepbrother  |
| <input type="checkbox"/> Uncle   | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister   |
| <input type="checkbox"/> Niece   | <input type="checkbox"/> Brother-in-law  | <input type="checkbox"/> Half-brother |
| <input type="checkbox"/> Nephew  | <input type="checkbox"/> Sister-in-law   | <input type="checkbox"/> Half-sister  |

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

**A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY**

Name of Person Doing Business with the County: Northwestern University - Phillip O'Donnell

Address of Person Doing Business with the County: 2245 W Ogden Avenue-5th Floor, Chicago, IL 60612

Phone number of Person Doing Business with the County: 312-433-6640

Email address of Person Doing Business with the County: phillip.odonnell@northwestern.edu

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

\_\_\_\_\_  
\_\_\_\_\_

**B. DESCRIPTION OF BUSINESS WITH THE COUNTY**

*Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the proceeding calendar year if disclosure is made on January 1), identify:*

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: 1753-16842 A2

\_\_\_\_\_  
The aggregate dollar value of the business you are doing or seeking to do with the County: \$ \$7,691,000

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: Jorge Robles, Senior Contract Negotiator

\_\_\_\_\_  
The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: James Anderson, Office of the Chief Judge

**C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS**

*Check the box that applies and provide related information where needed*

The Person Doing Business with the County **is an individual** and there is **no familial relationship** between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

The Person Doing Business with the County **is a business entity** and there is **no familial relationship** between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- The Person Doing Business with the County **is an individual** and **there is a familial relationship** between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*If more space is needed, attach an additional sheet following the above format.*

- The Person Doing Business with the County **is a business entity** and **there is a familial relationship** between at least one member of this business entity’s board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
---	--	--	----------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

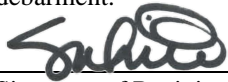
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*If more space is needed, attach an additional sheet following the above format.*

**VERIFICATION:** To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.



\_\_\_\_\_  
Signature of Recipient

10/15/2020

\_\_\_\_\_  
Date

**SUBMIT COMPLETED FORM TO:** Cook County Board of Ethics  
69 West Washington Street, Suite 3040, Chicago, Illinois 60602  
Office (312) 603-4304 – Fax (312) 603-9988  
CookCounty.Ethics@cookcountyil.gov

\* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (*i.e.* in laws and step relations) or adoption.

EDS 10-13

Applicant statement that no Northwestern University employee working within the Circuit Court of Cook County Juvenile Court Clinic who will be directly engaged in Contractual work with the County on behalf of the business entity for this contract has any familiar relationships to disclose under the Ordinance. In addition, Applicant states the university as a whole has more than 6,100 trustees, offices and full time employees and cannot further verify whether such relationship exists. Should such relationships exist, however, it is the Undersigned's policy to diligently investigate conflicts or concerns, and promptly resolve any allegations of findings, and at all times comply in good faith with legal requirements.

**COOK COUNTY  
ECONOMIC DISCLOSURE STATEMENT  
AND EXECUTION DOCUMENT  
INDEX**

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2	Certifications	EDS 1- 2
3	Economic and Other Disclosures, Affidavit of Child Support Obligations, Disclosure of Ownership Interest and Familial Relationship Disclosure Form	EDS 3 - 12
4	Cook County Affidavit for Wage Theft Ordinance	EDS 13-14
5	Contract and EDS Execution Page	EDS 15-17

**SECTION 1**  
**INSTRUCTIONS FOR COMPLETION OF**  
**ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

This Economic Disclosure Statement and Execution Document ("EDS") is to be completed and executed by every Bidder on a County contract, every Proposer responding to a Request for Proposals, and every Respondent responding to a Request for Qualifications, and others as required by the Chief Procurement Officer. The execution of the EDS shall serve as the execution of a contract awarded by the County. The Chief Procurement Officer reserves the right to request that the Bidder or Proposer, or Respondent provide an updated EDS on an annual basis.

**Definitions.** Terms used in this EDS and not otherwise defined herein shall have the meanings given to such terms in the Instructions to Bidders, General Conditions, Request for Proposals, Request for Qualifications, as applicable.

*Affiliate* means a person that directly or indirectly through one or more intermediaries, Controls is Controlled by, or is under common Control with the Person specified.

*Applicant* means a person who executes this EDS.

*Bidder* means any person who submits a Bid.

*Code* means the Code of Ordinances, Cook County, Illinois available on municode.com.

*Contract* shall include any written document to make Procurements by or on behalf of Cook County.

*Contractor* or *Contracting Party* means a person that enters into a Contract with the County.

*Control* means the unfettered authority to directly or indirectly manage governance, administration, work, and all other aspects of a business.

*EDS* means this complete Economic Disclosure Statement and Execution Document, including all sections listed in the Index and any attachments.

*Joint Venture* means an association of two or more Persons proposing to perform a for-profit business enterprise. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationship between the partners and their relationship and respective responsibility for the Contract

*Lobby* or *lobbying* means to, for compensation, attempt to influence a County official or County employee with respect to any County matter.

*Lobbyist* means any person who lobbies.

*Person* or *Persons* means any individual, corporation, partnership, Joint Venture, trust, association, Limited Liability Company, sole proprietorship or other legal entity.

*Prohibited Acts* means any of the actions or occurrences which form the basis for disqualification under the Code, or under the Certifications hereinafter set forth.

*Proposal* means a response to an RFP.

*Proposer* means a person submitting a Proposal.

*Response* means response to an RFQ.

*Respondent* means a person responding to an RFQ.

*RFP* means a Request for Proposals issued pursuant to this Procurement Code.

*RFQ* means a Request for Qualifications issued to obtain the qualifications of interested parties.



**INSTRUCTIONS FOR COMPLETION OF  
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

**Section 1: Instructions.** Section 1 sets forth the instructions for completing and executing this EDS.

**Section 2: Certifications.** Section 2 sets forth certifications that are required for contracting parties under the Code and other applicable laws. Execution of this EDS constitutes a warranty that all the statements and certifications contained, and all the facts stated, in the Certifications are true, correct and complete as of the date of execution.

**Section 3: Economic and Other Disclosures Statement.** Section 3 is the County's required Economic and Other Disclosures Statement form. Execution of this EDS constitutes a warranty that all the information provided in the EDS is true, correct and complete as of the date of execution, and binds the Applicant to the warranties, representations, agreements and acknowledgements contained therein.

**Required Updates.** The Applicant is required to keep all information provided in this EDS current and accurate. In the event of any change in the information provided, including but not limited to any change which would render inaccurate or incomplete any certification or statement made in this EDS, the Applicant shall supplement this EDS up to the time the County takes action, by filing an amended EDS or such other documentation as is required.

**Additional Information.** The County's Governmental Ethics and Campaign Financing Ordinances impose certain duties and obligations on persons or entities seeking County contracts, work, business, or transactions, and the Applicant is expected to comply fully with these ordinances. For further information please contact the Director of Ethics at (312) 603-4304 (69 W. Washington St. Suite 3040, Chicago, IL 60602) or visit the web-site at [cookcountylil.gov/ethics-board-of](http://cookcountylil.gov/ethics-board-of).

**Authorized Signers of Contract and EDS Execution Page.** If the Applicant is a corporation, the President and Secretary must execute the EDS. In the event that this EDS is executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization by the Corporation, satisfactory to the County that permits the person to execute EDS for said corporation. If the corporation is not registered in the State of Illinois, a copy of the Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute the EDS, unless one partner or joint venture has been authorized to sign for the partnership or joint venture, in which case, the partnership agreement, resolution or evidence of such authority satisfactory to the Office of the Chief Procurement Officer must be submitted with this Signature Page.

If the Applicant is a member-managed LLC all members must execute the EDS, unless otherwise provided in the operating agreement, resolution or other corporate documents. If the Applicant is a manager-managed LLC, the manager(s) must execute the EDS. The Applicant must attach either a certified copy of the operating agreement, resolution or other authorization, satisfactory to the County, demonstrating such person has the authority to execute the EDS on behalf of the LLC. If the LLC is not registered in the State of Illinois, a copy of a current Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute the EDS.

A "Partnership" "Joint Venture" or "Sole Proprietorship" operating under an Assumed Name must be registered with the Illinois county in which it is located, as provided in 805 ILCS 405 (2012), and documentation evidencing registration must be submitted with the EDS.

Effective October 1, 2016 all foreign corporations and LLCs must be registered with the Illinois Secretary of State's Office unless a statutory exemption applies to the applicant. Applicants who are exempt from registering must provide a written statement explaining why they are exempt from registering as a foreign entity with the Illinois Secretary of State's Office.

**SECTION 2**

**CERTIFICATIONS**

THE FOLLOWING CERTIFICATIONS ARE MADE PURSUANT TO STATE LAW AND THE CODE. THE APPLICANT IS CAUTIONED TO CAREFULLY READ THESE CERTIFICATIONS PRIOR TO SIGNING THE SIGNATURE PAGE. SIGNING THE SIGNATURE PAGE SHALL CONSTITUTE A WARRANTY BY THE APPLICANT THAT ALL THE STATEMENTS, CERTIFICATIONS AND INFORMATION SET FORTH WITHIN THESE CERTIFICATIONS ARE TRUE, COMPLETE AND CORRECT AS OF THE DATE THE SIGNATURE PAGE IS SIGNED. THE APPLICANT IS NOTIFIED THAT IF THE COUNTY LEARNS THAT ANY OF THE FOLLOWING CERTIFICATIONS WERE FALSELY MADE, THAT ANY CONTRACT ENTERED INTO WITH THE APPLICANT SHALL BE SUBJECT TO TERMINATION.

**A. PERSONS AND ENTITIES SUBJECT TO DISQUALIFICATION**

No person or business entity shall be awarded a contract or sub-contract, for a period of five (5) years from the date of conviction or entry of a plea or admission of guilt, civil or criminal, if that person or business entity:

- 1) Has been convicted of an act committed, within the State of Illinois, of bribery or attempting to bribe an officer or employee of a unit of state, federal or local government or school district in the State of Illinois in that officer's or employee's official capacity;
- 2) Has been convicted by federal, state or local government of an act of bid-rigging or attempting to rig bids as defined in the Sherman Anti-Trust Act and Clayton Act. Act. 15 U.S.C. Section 1 *et seq.*;
- 3) Has been convicted of bid-rigging or attempting to rig bids under the laws of federal, state or local government;
- 4) Has been convicted of an act committed, within the State, of price-fixing or attempting to fix prices as defined by the Sherman Anti-Trust Act and the Clayton Act. 15 U.S.C. Section 1, *et seq.*;
- 5) Has been convicted of price-fixing or attempting to fix prices under the laws the State;
- 6) Has been convicted of defrauding or attempting to defraud any unit of state or local government or school district within the State of Illinois;
- 7) Has made an admission of guilt of such conduct as set forth in subsections (1) through (6) above which admission is a matter of record, whether or not such person or business entity was subject to prosecution for the offense or offenses admitted to; or
- 8) Has entered a plea of *nolo contendere* to charge of bribery, price-fixing, bid-rigging, or fraud, as set forth in subparagraphs (1) through (6) above.

In the case of bribery or attempting to bribe, a business entity may not be awarded a contract if an official, agent or employee of such business entity committed the Prohibited Act on behalf of the business entity and pursuant to the direction or authorization of an officer, director or other responsible official of the business entity, and such Prohibited Act occurred within three years prior to the award of the contract. In addition, a business entity shall be disqualified if an owner, partner or shareholder controlling, directly or indirectly, 20% or more of the business entity, or an officer of the business entity has performed any Prohibited Act within five years prior to the award of the Contract.

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant has read the provisions of Section A, Persons and Entities Subject to Disqualification, that the Applicant has not committed any Prohibited Act set forth in Section A, and that award of the Contract to the Applicant would not violate the provisions of such Section or of the Code.

**B. BID-RIGGING OR BID ROTATING**

**THE APPLICANT HEREBY CERTIFIES THAT:** In accordance with 720 ILCS 5/33 E-11, neither the Applicant nor any Affiliated Entity is barred from award of this Contract as a result of a conviction for the violation of State laws prohibiting bid-rigging or bid rotating.

**C. DRUG FREE WORKPLACE ACT**

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant will provide a drug free workplace, as required by (30 ILCS 580/3).

**D. DELINQUENCY IN PAYMENT OF TAXES**

**THE APPLICANT HEREBY CERTIFIES THAT:** *The Applicant is not an owner or a party responsible for the payment of any tax or fee administered by Cook County, such as bar award of a contract or subcontract pursuant to the Code, Chapter 34, Section 34-171.*

**E. HUMAN RIGHTS ORDINANCE**

No person who is a party to a contract with Cook County ("County") shall engage in unlawful discrimination or sexual harassment against any individual in the terms or conditions of employment, credit, public accommodations, housing, or provision of County facilities, services or programs (Code Chapter 42, Section 42-30 *et seq.*).

**F. ILLINOIS HUMAN RIGHTS ACT**

**THE APPLICANT HEREBY CERTIFIES THAT:** *It is in compliance with the Illinois Human Rights Act (775 ILCS 5/2-105), and agrees to abide by the requirements of the Act as part of its contractual obligations.*

**G. INSPECTOR GENERAL (COOK COUNTY CODE, CHAPTER 34, SECTION 34-174 and Section 34-250)**

The Applicant has not willfully failed to cooperate in an investigation by the Cook County Independent Inspector General or to report to the Independent Inspector General any and all information concerning conduct which they know to involve corruption, or other criminal activity, by another county employee or official, which concerns his or her office of employment or County related transaction.

The Applicant has reported directly and without any undue delay any suspected or known fraudulent activity in the County's Procurement process to the Office of the Cook County Inspector General.

**H. CAMPAIGN CONTRIBUTIONS (COOK COUNTY CODE, CHAPTER 2, SECTION 2-585)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning campaign contributions, which is codified at Chapter 2, Division 2, Subdivision II, Section 585, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**I. GIFT BAN, (COOK COUNTY CODE, CHAPTER 2, SECTION 2-574)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning receiving and soliciting gifts and favors, which is codified at Chapter 2, Division 2, Subdivision II, Section 574, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**J. LIVING WAGE ORDINANCE PREFERENCE (COOK COUNTY CODE, CHAPTER 34, SECTION 34-160;**

Unless expressly waived by the Cook County Board of Commissioners, the Code requires that a living wage must be paid to individuals employed by a Contractor which has a County Contract and by all subcontractors of such Contractor under a County Contract, throughout the duration of such County Contract. The amount of such living wage is annually by the Chief Financial Officer of the County, and shall be posted on the Chief Procurement Officer's website.

The term "Contract" as used in Section 4, I, of this EDS, specifically excludes contracts with the following:

- 1) Not-For Profit Organizations (defined as a corporation having tax exempt status under Section 501(C)(3) of the United State Internal Revenue Code and recognized under the Illinois State not-for -profit law);
- 2) Community Development Block Grants;
- 3) Cook County Works Department;
- 4) Sheriff's Work Alternative Program; and
- 5) Department of Correction inmates.

**SECTION 3**

**REQUIRED DISCLOSURES**

**1. DISCLOSURE OF LOBBYIST CONTACTS**

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name	Address
N/A	

**2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)**

*Local business* means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?  
Yes:  No:

b) If yes, list business addresses within Cook County:  
750 N. Lake Shore Dr., Rubloff Bldg., 7th Fl.  
Chicago, IL 60611

c) Does Applicant employ the majority of its regular full-time workforce within Cook County?  
Yes:  No:

**3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)**

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

**All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.**

**4. REAL ESTATE OWNERSHIP DISCLOSURES.**

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

PERMANENT INDEX NUMBER(S): See attached

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)

OR:

- b)  The Applicant owns no real estate in Cook County.

**5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.**

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

The Affidavit of Child Support Obligations is N/A due to the fact that Northwestern University is a not-for-profit organization. As per Affidavit instructions.

If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.

<b>PIN</b>	<b>City</b>
05-35-310-007-0000	Evanston
05-35-310-015-0000	Evanston
05-35-310-019-0000	Evanston
05-35-310-020-0000	Evanston
05-35-310-022-0000	Evanston
05-35-401-026-8001	Evanston
05-35-401-026-8002	Evanston
05-35-410-003-0000	Evanston
05-35-410-004-0000	Evanston
05-35-410-019-0000	Evanston
05-35-410-021-0000	Evanston
11-07-204-003-0000	Evanston
11-07-204-004-0000	Evanston
11-07-204-004-0000	Evanston
11-07-204-005-0000	Evanston
11-07-204-006-0000	Evanston
11-07-204-007-0000	Evanston
11-07-204-008-0000	Evanston
11-07-204-009-0000	Evanston
11-07-204-010-0000	Evanston
11-07-204-012-0000	Evanston
11-07-205-005-0000	Evanston
11-07-205-006-0000	Evanston
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11-07-207-011-0000	Evanston
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11-07-207-013-0000	Evanston
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11-07-208-007-0000	Evanston
11-07-208-008-0000	Evanston
11-07-208-009-0000	Evanston
11-07-208-010-0000	Evanston
11-07-208-011-0000	Evanston
11-07-400-002-0000	Evanston
11-07-400-003-0000	Evanston
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11-18-106-029-0000	Evanston

11-18-109-014-0000 Evanston  
11-18-109-015-0000 Evanston  
11-18-109-051-0000 Evanston  
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11-18-109-056-0000 Evanston  
11-18-110-035-0000 Evanston  
11-18-115-003-0000 Evanston  
11-18-117-006-0000 Evanston  
11-18-118-008-8001 Evanston  
11-18-118-009-8001 Evanston  
11-18-119-038-8001 Evanston  
11-18-200-011-0000 Evanston  
11-18-200-014-0000 Evanston  
11-18-200-015-0000 Evanston  
11-18-200-017-0000 Evanston  
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11-18-200-020-0000 Evanston  
11-18-200-022-0000 Evanston  
11-18-201-026-0000 Evanston  
11-18-202-007-0000 Evanston  
11-18-202-019-0000 Evanston  
11-18-202-020-0000 Evanston  
11-18-202-021-0000 Evanston  
11-18-202-026-0000 Evanston  
11-18-202-033-0000 Evanston  
11-18-202-035-0000 Evanston  
11-18-204-002-0000 Evanston  
11-18-204-003-0000 Evanston  
11-18-204-004-0000 Evanston  
11-18-206-001-0000 Evanston  
11-18-206-002-0000 Evanston  
11-18-206-003-0000 Evanston  
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11-18-208-006-0000 Evanston  
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11-18-208-019-0000 Evanston  
11-18-208-019-0000 Evanston  
11-18-300-007-0000 Evanston  
11-18-300-008-0000 Evanston  
11-18-300-009-0000 Evanston  
11-18-401-011-0000 Evanston  
11-18-401-012-0000 Evanston  
11-18-401-013-0000 Evanston  
11-18-404-010-0000 Evanston

17-03-228-036-0000 Chicago  
17-10-200-045-0000 Chicago  
17-10-200-050-0000 Chicago  
17-10-200-056-0000 Chicago  
17-10-200-057-0000 Chicago  
17-10-201-017-0000 Chicago

17-10-201-040-0000	Chicago
17-10-201-049-0000	Chicago
17-10-201-052-0000	Chicago
17-10-201-053-0000	Chicago
17-10-201-054-0000	Chicago
17-10-201-055-0000	Chicago
17-10-204-008-0000	Chicago
17-10-204-009-0000	Chicago
17-10-204-012-0000	Chicago



**COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT**

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing. **County reserves the right to request additional information to verify veracity of information contained in this statement.**

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under Ownership Interest Declaration.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the  Applicant or  Stock/Beneficial Interest Holder

This Statement is an:  Original Statement or  Amended Statement

**Identifying Information:**

Name Northwestern University

D/B/A: \_\_\_\_\_ FEIN # Only: 36-2162817

Street Address: 750 N Lake Shore Dr. Rubloff 7th Fl

City: Chicago State: IL Zip Code: 60611

Phone No.: 312-503-7955 Fax Number: \_\_\_\_\_ Email: CSR-Chicago@northwestern.edu

Cook County Business Registration Number: \_\_\_\_\_  
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): \_\_\_\_\_

**Form of Legal Entity:**

Sole Proprietor  Partnership  Corporation  Trustee of Land Trust

Business Trust  Estate  Association  Joint Venture

Other (describe) Not-for-profit organization

**Ownership Interest Declaration:**

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
N/A		

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address

3. Is the Applicant constructively controlled by another person or Legal Entity? [  ] Yes [  ] No

If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship

**Corporate Officers, Members and Partners Information:**

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
See attached list.			

**Declaration (check the applicable box):**

- I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

# Northwestern University Board of Directors/Board of Managers/Board of Trustees

First Name	Middle Name	Last Name	Title	Company	Address	City	State	Zip	Country	Phone
William	F.	Altinger	Retired	W.A. Capital	330 Primrose Road, Suite 202	Burlington	California	94010		650-759-3530
Mark	Alan	Angelson								
Peter	J.	Barris			1121 Brook Valley Lane	Nolan	Virginia	22102		703-827-5757
Lee	Phillip	Bell			3935 Beverly Grove Drive	Beverly Hills	California	90230		310-275-6642
John	Lee	Bell			3150 Beverly Grove Drive	Beverly Hills	California	90230		310-275-6642
John	S.	Bell			1430 North Lake Shore Drive, #6	Chicago	Illinois	60610		312-648-3657
Neil	G.	Blum	President and Managing Principal	JMB Realty Corporation and Watson Street Capital	900 North Michigan Avenue, Suite 1500	Chicago	Illinois	60611		312-915-1011
Deborah	H.	Brady			12 Sunrise Cay Drive	Key Largo	Florida	33037		305-367-3364
Christine	E.	Bronnan	Sports Columnist and Commentator	USA Today, ABC News, CNN and NPR	4701 Connecticut Avenue Northwest, Suite 504	Washington	D.C.	20008		202-965-5465
Duane	L.	Burham	Retired Chairman and Chief Executive Officer	Abbott Laboratories	150 Field Drive, Suite 100	Lake Forest	Illinois	60045		224-697-3410
Steven	A.	Callahan			390 West Peace Ferry Road NW	Atlanta	Georgia	30327		678-260-9879
John	A.	Carling, Jr.	Chairman	Madison Dearborn Partners, LLC	70 West Hubbard Street	Chicago	Illinois	60602		312-895-1100
Nicholas	D.	Chavira	Retired Chairman and Chief Executive Officer	General Dynamics Corporation	2 Wisconsin Place, Suite 301	Lake Forest	Illinois	60045		847-735-0664
David	H.	Chen			1100 Michigan Avenue	Wilmette	Illinois	60091		847-251-1000
George	A.	Cohn	Founder	McDonald's Restaurants of Canada and McDonald's Russia	2313 Wabagan Road, Suite 100	Burnsview	Ontario	60015	Canada	847-238-6900
Franklin	A.	Cole			1 McDonald's Place	Toronto	Ontario	M6C 3L4	Canada	416-446-3357
Christopher	B.	Combe	Managing Director, Global Head of Human Capital Management	Goldman Sachs & Company	5076 Southwest Investment Court	Palm City	Florida	34990		772-285-1338
Philip	M.	Condit			6767 North Ocean Boulevard, #3	Green Ridge	Florida	33435		914-461-4412
Edith	W.	Conper	General Partner	Henry Crown and Company	4595 Harvard Lane	Frisco	Texas	75034		415-445-0911
John	W.	Croghan	General Partner	Henry Crown and Company	200 West Street	New York	New York	10062		212-802-9903
Alan	Steven	Crown	Chairman	Henry Crown and Company	509 Mc Pleasant Road	Wilmette	Illinois	60093		312-629-4956
Bonnie	S.	Crow			222 North LaSalle Street, Suite 2000	Chicago	Illinois	60601		312-899-5011
Richard	H.	Danals	Vice Chairman, Chief Inclusion Officer and National Managing Partner, Center for Corporate Governance	Deloitte LLP	253 Broadway	Chicago	Illinois	60601		312-467-1771
Deborah	L.	Deshais			3085 North LaSalle Street	Chicago	Illinois	60610		312-833-7706
James	A.	Douglas	Partner	Sidley Austin LLP	6051 El Dorado, P.O. Box 1329	Rancho Santa Fe	California	92067		858-758-8800
Charles	W.	Douglas								
John	M.	Egenmeyer	Retired President and Chief Executive Officer	S. C. Johnson & Son, Inc.	245 Main Street, Suite 101	Racine	Wisconsin	53403		262-260-2562
Raymond	F.	Fairley	Retired Chairman and Chief Executive Officer	Illinois Tool Works Inc., c/o St. Pls, LLC	207 East Westminster, Suite 202	Lake Forest	Illinois	60045		847-462-0500
Michael	W.	Ferre, Jr.	Chairman	Merck Ventures	350 North Orleans, 10th floor	Chicago	Illinois	60654		312-377-9385
Dennis	J.	Fitzgibbon	Chairman	Arctus Daniels Midland Company	77 West Wacker Drive, Suite 4600	Chicago	Illinois	60601		312-454-4150
Robert	T.	French	Executive Chairman	Robert R. McCormick Foundation	205 North Michigan Avenue, Suite 4300	Chicago	Illinois	60601		312-465-5150
Burza	S.	Garrett	Artistic Director	Chicago Shakespeare Theater	800 East Grand Avenue	Chicago	Illinois	60611		312-553-8480
Christopher	B.	Gavin	Chairman and Chief Executive Officer	Harrison Street Capital LLC	5 Park Place	Chicago	Illinois	60606		312-920-0600
James	L.	Gaynor	CEO & Chief Investment Officer	Marshall Street Capital	266 15th Avenue South	Naples	Florida	34102		561-736-7109
Loren	N.	Gaylor			11493 Old Harbour Road	North Palm Beach	Florida	33408		239-361-3321
Eric	J.	Gleicher			800 North Marshall Street	Milwaukee	Wisconsin	53202		917-838-3672
John	W.	Gray			550 Coconut Palm Road	Vero Beach	Florida	32983		415-273-3421
Herbert	H.	Gulluak			50 Woodlily Road	Wilmette	Illinois	60093		847-489-9190
John	L.	Harris	Chairman	J.L. Harris and Associates	220 Sunrise Avenue, Suite 210	Palmer Park	Illinois	60460		630-639-7130
Phillip	L.	Harris	President and Chief Executive Officer	Northwestern Memorial Healthcare	255 East Lake Street, Suite 3-37089	Chicago	Illinois	60611		312-295-3007
Dean	Bl.	Harrison								
Thomas	Z.	Hawgood, Jr.			Unit EC, 1285 84th Street Boulevard North, The Laurelhurst	Naples	Florida	34102		239-430-4340
Arv	C.	Hogg			221 Park Lane	Atlanta	Georgia	30301		650-614-8210
John	S.	Hoffman			52 East 83rd Street	New York	New York	10028		212-988-3653
Edward	C.	Hudson, Jr.			1579 Potomac Drive	Houston	Texas	77057		713-805-1065
George	E.	Johnson			180 East Pranson Street, #6407	Chicago	Illinois	60611		312-395-5466
Daniel	S.	Jones	Founding Principal, CFA	AQR Capital Management	450 Rosemead Lane	Naples	Florida	34105		239-263-6004
David	G.	Kabiler			Two Greenwich Plaza, 4th Floor	Greenwich	Connecticut	06830		203-423-9602
James	R.	Kaciker	Managing Director	Orbi Investment Management	1206 Valley Road	Mineral Point	Wisconsin	53565		608-887-5400
Nancy	Ann	Karr			607 Woodbine Avenue	Ashland	Illinois	60408		847-251-6056
Adam	R.	Karr			600 Montgomery Street, Suite 3800	San Francisco	California	94111		415-383-2970
Eileen	P.	Kearney			1000 West Madison Street, Suite 13A	Chicago	Illinois	60604		312-483-2424
Jerome	M.	Keenan	President and Chief Executive Officer	Keynote AS	4265 San Felipe, Suite 970	Houston	Texas	77037	Switzerland	713-921-9563
Melvin	Z.	Keenan			Zurichstrasse 66-68	Thalwil	Switzerland	8800		41-41-787-80-81
Nancy	N.	Kirkpatrick III	Co-Chief Executive Officer	The Vista Group	300 East Randolph, Suite 4030	Chicago	Illinois	60601		312-626-1109
William	S.	Kirsch	Principal	Baker & McKenzie LLP	300 East Randolph Street, 50th Floor	Chicago	Illinois	60601		312-851-2288
Lester	B.	Knight	Founding Partner and Co-Chairman	Roundtable Healthcare Partners	272 East Dearborn Street, Suite 350	Lake Forest	Illinois	60045		847-739-3200
Marin	J.	Kobylke			1900 North Lake Shore Drive, #9A	Chicago	Illinois	60610		312-642-6448
Harry	M.	Kraemer, Jr.			1300 Sheridan Road	Wilmette	Illinois	60091		847-251-3940
Timothy	K.	Krauskopf			770 39th Street	Downers Grove	Illinois	60515		630-240-9503
Diane	R.	Kulberg			6444 North 79th Street	Scottsdale	Arizona	85250		312-853-3003
Bill	A.	Lambert	Senior Vice President and Principal	One Smooth Stone, Inc.	17 West 81st Street, Apartment 6C	New York	New York	10024		212-850-9374
Mark	A.	Leung	Chairman and Chief Executive Officer	Roundtable Partners, LLC	203 South Dearborn Street	New York	New York	10014		212-877-3374
John	F.	Leventhal	Managing Partner	Lery Family Partners	444 N. Michigan Avenue, Suite 3500	Chicago	Illinois	60611		616-867-0400
Lawrence	F.	Levy			1111 Superior Avenue East, Suite 700	Cleveland	Ohio	44114		312-267-4190
Edward	M.	Liddy	Chairman	Parson Capital Corporation	500 E Ringwood Rd N	Lake Forest	Illinois	60045		847-402-6702
John	Jeffrey	Louis	President	Lufkin Holdings, Inc.	227 West Monroe, Suite 4320	Chicago	Illinois	60606		312-395-6848
Ann		Lunz			2 Nordi Riverside Plaza, Suite 12-40	Chicago	Illinois	60606		312-465-4004



COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT SIGNATURE PAGE

Andrea Zakrzewski

Assoc. Dir. for Grants Management

Name of Authorized Applicant/Holder Representative (please print or type)

Title

*Andrea Zakrzewski*  
Signature

*10/26/18*  
Date

OSR-Chicago@northwestern.edu

312-503-7955

E-mail address

Phone Number

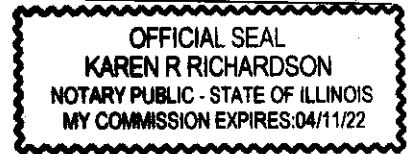
Subscribed to and sworn before me  
this *26* day of *Oct.*, 20 *18*.

My commission expires: *4/11/22*

x *Karen R. Richardson*

Notary Public Signature

Notary Seal





**COOK COUNTY BOARD OF ETHICS**  
 69 W. WASHINGTON STREET, SUITE 3040  
 CHICAGO, ILLINOIS 60602  
 312/603-4304 Office 312/603-9988 Fax

**FAMILIAL RELATIONSHIP DISCLOSURE PROVISION**

**Nepotism Disclosure Requirement:**

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

**Additional Definitions:**

“*Familial relationship*” means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- |                                  |  |                                       |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent  | <input type="checkbox"/> Grandparent     | <input type="checkbox"/> Stepfather   |
| <input type="checkbox"/> Child   | <input type="checkbox"/> Grandchild      | <input type="checkbox"/> Stepmother   |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law   | <input type="checkbox"/> Stepson      |
| <input type="checkbox"/> Sister  | <input type="checkbox"/> Mother-in-law   | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt    | <input type="checkbox"/> Son-in-law      | <input type="checkbox"/> Stepbrother  |
| <input type="checkbox"/> Uncle   | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister   |
| <input type="checkbox"/> Niece   | <input type="checkbox"/> Brother-in-law  | <input type="checkbox"/> Halfbrother  |
| <input type="checkbox"/> Nephew  | <input type="checkbox"/> Sister-in-law   | <input type="checkbox"/> Halfsister   |

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

**A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY**

Name of Person Doing Business with the County: Northwestern University-Phillip O'Donnell

Address of Person Doing Business with the County: 2245 W Ogden Avenue-5th Floor, Chicago, IL 60612

Phone number of Person Doing Business with the County: 312-433-6640

Email address of Person Doing Business with the County: phillip.Odonnell@northwestern.edu

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

\_\_\_\_\_

**B. DESCRIPTION OF BUSINESS WITH THE COUNTY**

*Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the preceding calendar year if disclosure is made on January 1), identify:*

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: 1753-16842

\_\_\_\_\_

The aggregate dollar value of the business you are doing or seeking to do with the County: \$6,381,073.00

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: \_\_\_\_\_

Kevin Casey, Office of the Chief Procurement Officer

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: \_\_\_\_\_

James Anderson, Office of the Chief Judge

**C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS**

*Check the box that applies and provide related information where needed*

The Person Doing Business with the County is an individual and there is no familial relationship between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

The Person Doing Business with the County is a business entity and there is no familial relationship between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

The Person Doing Business with the County **is an individual** and **there is a familial relationship** between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*If more space is needed, attach an additional sheet following the above format.*

The Person Doing Business with the County **is a business entity** and **there is a familial relationship** between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____



Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
---	--	--	-------------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
---	--	--	-------------------------------------


_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
---	--	--	-------------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*If more space is needed, attach an additional sheet following the above format.*

**VERIFICATION:** To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.

Signature of Recipient 

Date 10/26/18

**SUBMIT COMPLETED FORM TO:** Cook County Board of Ethics  
69 West Washington Street, Suite 3040, Chicago, Illinois 60602  
Office (312) 603-4304 – Fax (312) 603-9988  
CookCounty.Ethics@cookcountyil.gov

\* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (i.e. in laws and step relations) or adoption.

SECTION 4

**COOK COUNTY AFFIDAVIT FOR WAGE THEFT ORDINANCE**

Effective May 1, 2015, every Person, ***including Substantial Owners***, seeking a Contract with Cook County must comply with the Cook County Wage Theft Ordinance set forth in Chapter 34, Article IV, Section 179. Any Person/Substantial Owner, who fails to comply with Cook County Wage Theft Ordinance, may request that the Chief Procurement Officer grant a reduction or waiver in accordance with Section 34-179(d).

"Contract" means any written document to make Procurements by or on behalf of Cook County.

"Person" means any individual, corporation, partnership, Joint Venture, trust, association, limited liability company, sole proprietorship or other legal entity.

"Procurement" means obtaining supplies, equipment, goods, or services of any kind.

"Substantial Owner" means any person or persons who own or hold a twenty-five percent (25%) or more percentage of interest in any business entity seeking a County Privilege, including those shareholders, general or limited partners, beneficiaries and principals; except where a business entity is an individual or sole proprietorship, Substantial Owner means that individual or sole proprietor.

All Persons/Substantial Owners are required to complete this affidavit and comply with the Cook County Wage Theft Ordinance before any Contract is awarded. Signature of this form constitutes a certification the information provided below is correct and complete, and that the individual(s) signing this form has/have personal knowledge of such information. **County reserves the right to request additional information to verify veracity of information contained in this Affidavit.**

**I. Contract Information:**

Contract Number: 1753-16842

County Using Agency (requesting Procurement): Cook County

**II. Person/Substantial Owner Information:**

Person (Corporate Entity Name): Northwestern University

Substantial Owner Complete Name: \_\_\_\_\_

FEIN# 36-2167817

E-mail address: OSR-Chicago@northwestern.edu

Street Address: 750 N. Lake Shore Dr., Rubloff Bldg., 7th Fl.

City: Chicago State: IL Zip: 60611

Home Phone: \_\_\_\_\_

**III. Compliance with Wage Laws:**

Within the past five years has the Person/Substantial Owner, in any judicial or administrative proceeding, been convicted of, entered a plea, made an admission of guilt or liability, or had an administrative finding made for committing a repeated or willful violation of any of the following laws:

- No *Illinois Wage Payment and Collection Act, 820 ILCS 115/1 et seq.,*
- No *Illinois Minimum Wage Act, 820 ILCS 105/1 et seq.,*
- No *Illinois Worker Adjustment and Retraining Notification Act, 820 ILCS 65/1 et seq.,*
- No *Employee Classification Act, 820 ILCS 185/1 et seq.,*
- No *Fair Labor Standards Act of 1938, 29 U.S.C. 201, et seq.,*
- No *Any comparable state statute or regulation of any state, which governs the payment of wages*

If the Person/Substantial Owner answered "Yes" to any of the questions above, it is ineligible to enter into a Contract with Cook County, but can request a reduction or waiver under **Section IV**.

**IV. Request for Waiver or Reduction**

If Person/Substantial Owner answered "Yes" to any of the questions above, it may request a reduction or waiver in accordance with Section 34-179(d), provided that the request for reduction of waiver is made on the basis of one or more of the following actions that have taken place:

- No *There has been a bona fide change in ownership or Control of the ineligible Person or Substantial Owner*
- No *Disciplinary action has been taken against the individual(s) responsible for the acts giving rise to the violation*
- No *Remedial action has been taken to prevent a recurrence of the acts giving rise to the disqualification or default*
- No *Other factors that the Person or Substantial Owner believe are relevant.*

The Person/Substantial Owner must submit documentation to support the basis of its request for a reduction or waiver. The Chief Procurement Officer reserves the right to make additional inquiries and request additional documentation.

**V. Affirmation**

The Person/Substantial Owner affirms that all statements contained in the Affidavit are true, accurate and complete.

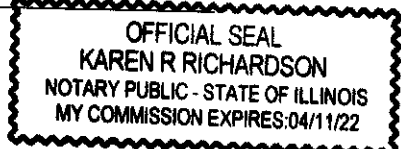
Signature: *Andrea Zakrzewski* Date: 10/26/18  
 Name of Person signing (Print): Andrea Zakrzewski Title: Assoc. Dir. of Grants Management

Subscribed and sworn to before me this 26<sup>th</sup> day of October, 2018

x *Karen R. Richardson*  
 Notary Public Signature

Notary Seal

Note: The above information is subject to verification prior to the award of the Contract.



SECTION 5

CONTRACT AND EDS EXECUTION PAGE  
PLEASE EXECUTE THREE ORIGINAL COPIES

The Applicant hereby certifies and warrants that all of the statements, certifications and representations set forth in this EDS are true, complete and correct; that the Applicant is in full compliance and will continue to be in compliance throughout the term of the Contract or County Privilege issued to the Applicant with all the policies and requirements set forth in this EDS; and that all facts and information provided by the Applicant in this EDS are true, complete and correct. The Applicant agrees to inform the Chief Procurement Officer in writing if any of such statements, certifications, representations, facts or information becomes or is found to be untrue, incomplete or incorrect during the term of the Contract or County Privilege.

Execution by Corporation

Northwestern University

Corporation's Name

312-503-7955

Telephone

Secretary Signature

*[Signature]*  
Institutional Official Printed Name and Signature

OSR-Chicago@northwestern.edu

Email

*10/20/18*  
Date

Execution by LLC

LLC Name

\*Member/Manager Printed Name and Signature

Date

Telephone and Email

Execution by Partnership/Joint Venture

Partnership/Joint Venture Name

\*Partner/Joint Venturer Printed Name and Signature

Date

Telephone and Email

Execution by Sole Proprietorship

Printed Name and Signature

Date

Telephone

Email

Subscribed and sworn to before me this  
*26* day of *10*, 20 *18*

*Karen R. Richardson*

Notary Public Signature

My commission expires: *4/11/22*

Notary Seal

