

AMENDMENT NO. 1

This Amendment modifies Contract No. 2138-10282A, for Design Engineering Services Various Various (Task Orders) by and between the County of Cook, Illinois, herein referred to as "County" and AECOM Technical Services, Inc., authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

RECITALS

Whereas, the County and Contractor have entered into a Contract approved by the County Board of Commissioners on June 16th, 2022, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide Design Engineering Services Various Various (Task Orders), (hereinafter referred to as the "Services", from July 1, 2022 through June 30, 2025, in the amount of \$5,000,000.00 with two (2), one (1) year renewal options; and

Whereas, the Contract will expire June, 30, 2025, and the agreed upon Services are still required; and

Whereas, an increase of the Contract amount is required for the continuation of Services; and pursuant to Article 10, Section C of the Contract, the County and Contractor desire to increase the Contract in the amount of \$2,000,000.00.

Whereas, pursuant to Article 4, Section C of the Contract, the County and Contractor desire to renew the Contract for one (1) year beginning on July 01, 2025, through June 30, 2026.

Whereas, pursuant to Article 10, Section C of the Contract, the County and Contractor desire to revise Exhibit 4 provided in the Contract.

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:


1. The Contract is renewed through June 30, 2026.
2. The Contract is increased by \$2,000,00.00 and the Total Contract Amount is revised to \$7,000,000.00.
3. The Contract is hereby amended to incorporate Attachment A in its entirety.
4. The attached updated Identification of Sub-Contractors/Suppliers/Sub-Consultants Form DBE Utilization Plan forms, Certificate of Insurance, and Economic Disclosures Statement under Attachment B are incorporated and made a part of this Contract.
5. All other terms and conditions remain as stated in the Contract.

In witness whereof and pursuant to County Board approval on June 12, 2025, the County and Contractor have caused this Amendment No. 1 to be executed on the date and year last written below.

County of Cook, Illinois

AECOM Technical Services, Inc.

By: Raffi Sarrafian Digitally signed by Raffi Sarrafian
Date: 2025.06.18 11:26:02 -05'00'
Chief Procurement Officer



Signed

Date: _____

Timothy Whalen

Type or print name

By: James Beligratis
State's Attorney (if applicable)

Vice President

Title

James Beligratis
Type or print name (if applicable)

Date: 5/28/25

Date: April 2, 2025

ATTACHMENT A

December 18, 2024

Mr. Cho Ng; CPPB
Cook County
Division of Transportation and Highways
118 N Clark Street, Room 1018
Chicago, IL 60602

**2138-10282A - AECOM Technical Services, Inc.
Amendment No. 1 – One Year Renewal and Upper Limit Increase**

Dear Mr. Ng:

AECOM Technical Services, Inc. (AECOM) is pleased to submit the attached Amendment documents to extend our contract duration and increase the upper limit. The following documents are included in this submittal:

- Attachment A - Current AECOM Approved OH Rate
- Attachment B - Subcontractors OH Rate Backup

In reference to the status of the IDOT SEFC letters provided by AECOM and our teaming partners, we note that some of the letters have technically expired based on the dates included in the letters. IDOT is currently behind on issuing new SEFC letters, so all firms are including the latest letter that they have available or have provided an independent CPA audit. R.M. Chin & Associates provided an email notification from IDOT stating they will not receive an approval letter but the email and EPAS screenshot provide justification. All firms have confirmed that they are current on their submittals through IDOT's EPAS system and can provide back-up from the EPAS system to verify their status, if requested.

We look forward to continuing to serve Cook County and thank you for the opportunity to extend the agreement. If you have any questions or require any additional documentation, please contact me at tim.whalen@aecom.com or 312.373.6736.

Yours sincerely,



Timothy Whalen, PE
Vice President

Cc Andrew Werner



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

May 24, 2024

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Timothy Whalen
AECOM TECHNICAL SERVICES, INC.
303 East Wacker Drive
Suite 1400
Chicago, IL 60601

Dear Timothy Whalen,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Sep 29, 2023. Your firm's total annual transportation fee capacity will be \$118,400,000.

Your firm's Home rate of 125.50% and Field rate of 105.68% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until September 29, 2024. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR AECOM TECHNICAL SERVICES, INC.

CATEGORY	STATUS
Location Design Studies - Rehabilitation	X
Special Services - Hazardous Waste: Advance	X
Airports - Master Planning/Airport Layout Plans (ALP)	X
Geotechnical Services - Complex Geotech/Major Foundation	X
Airports - Design	X
Location Design Studies - New Construction/Major Reconstruction	X
Special Studies - Feasibility	X
Transportation Studies - Railway Engineering	X
Structures - Highway: Advanced Typical	X
Hydraulic Reports - Waterways: Complex	X
Structures - Highway: Simple	X
Structures - Railroad	X
Special Services - Project Controls	X
Transportation Studies - Mass Transit	X
Special Services - Landscape Architecture	X
Special Plans - Lighting: Typical	X
Special Studies- Location Drainage	X
Structures - Highway: Complex	X
Special Studies - Traffic Studies	X
Special Studies - Signal Coordination & Timing (SCAT)	X
Environmental Reports - Environmental Impact Statement	X
Special Services - Mechanical	X
Special Plans - Pumping Stations	X
Environmental Reports - Environmental Assessment	X
Airports - Construction Inspection	X
Location Design Studies - Reconstruction/Major Rehabilitation	X
Hydraulic Reports - Pump Stations	X
Geotechnical Services - General Geotechnical Services	X
Special Services - Hazardous Waste: Simple	X
Special Services - Public Involvement	X
Hydraulic Reports - Waterways: Typical	X

Structures: Major River Bridges	X
Special Plans - Lighting: Complex	X
Geotechnical Services - Structure Geotechnical Reports (SGR)	X
Special Services - Sanitary	X
Special Services - Construction Inspection	X
Highways - Freeways	X
Structures - Highway: Typical	X
Special Studies - Safety	X
Special Services - Electrical Engineering	X
Transportation Studies - Railway Planning	X
Geotechnical Services - Subsurface Explorations	X
Structures - Moveable	X
Special Plans - Traffic Signals	X
Special Services - Surveying	X
Highways - Roads and Streets	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

January 30, 2024

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Luis Montgomery
2IM GROUP
118 S. Clinton Street
Suite 350
Chicago, IL 60661

Dear Luis Montgomery,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2022. Your firm's total annual transportation fee capacity will be \$8,800,000.

Your firm's payroll burden and fringe expense rate and general and administrative expense rate totaling 95.10% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2023. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR 2IM GROUP

CATEGORY	STATUS
Special Services - Project Controls	X
Special Studies - Traffic Studies	X
Special Studies- Location Drainage	X
Hydraulic Reports - Pump Stations	X
Hydraulic Reports - Waterways: Complex	X
Hydraulic Reports - Waterways: Typical	X
Special Studies - Safety	X
Highways - Freeways	X
Special Services - Landscape Architecture	X
Special Services - Sanitary	X
Location Design Studies - Rehabilitation	X
Highways - Roads and Streets	X
Special Services - Construction Inspection	X
Airports - Design	A

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

September 9, 2024

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

COVENTINE FIDIS
AMERICAN SURVEYING & ENGINEERING, Ltd.
120 N. LASALLE STREET.
3350
CHICAGO, IL 60602

Dear COVENTINE FIDIS,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2023. Your firm's total annual transportation fee capacity will be \$12,800,000.

Your firm's payroll burden and fringe expense rate and general and administrative expense rate totaling 178.20% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2024. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

**SEFC PREQUALIFICATIONS FOR AMERICAN SURVEYING & ENGINEERING,
Ltd.**

CATEGORY	STATUS
Special Services - Sanitary	X
Special Services - Surveying	X
Highways - Roads and Streets	X
Highways - Freeways	X
Special Services - Subsurface Utility Engineering	X
Special Services - Mobile LiDAR	X
Hydraulic Reports - Waterways: Typical	X
Special Studies- Location Drainage	X
Hydraulic Reports - Waterways: Complex	X
Special Services - Construction Inspection	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

December 4, 2024

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Natalia Homedi
ATLAS ENGINEERING GROUP
710 Estate Drive
Deerfield, IL 60015

Dear Natalia Homedi,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2023. Your firm's total annual transportation fee capacity will be \$20,000,000.

Your firm's payroll burden and fringe expense rate and general and administrative expense rate totaling 164.00% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2024. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR ATLAS ENGINEERING GROUP

CATEGORY	STATUS
Structures - Highway: Complex	X
Structures - Moveable	X
Airports - Design	X
Special Plans - Traffic Signals	X
Special Studies - Traffic Studies	X
Special Services - Construction Inspection	X
Hydraulic Reports - Waterways: Typical	X
Special Studies- Location Drainage	X
Hydraulic Reports - Waterways: Complex	X
Hydraulic Reports - Pump Stations	X
Location Design Studies - Reconstruction/Major Rehabilitation	X
Special Studies - Safety	X
Location Design Studies - Rehabilitation	X
Highways - Roads and Streets	X
Highways - Freeways	X
Special Services - Surveying	X
Structures - Highway: Simple	X
Location Design Studies - New Construction/Major Reconstruction	X
Structures - Railroad	X
Structures - Highway: Typical	X
Airports - Construction Inspection	X
Transportation Studies - Railway Engineering	X
Structures - Highway: Advanced Typical	X
Special Services - Subsurface Utility Engineering	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

October 2, 2023

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Sudarshan Malhotra
C.C. Johnson & Malhotra, P.C.
9210 Corporate Boulevard
Suite 370
Rockville, MD 20850

Dear Sudarshan Malhotra,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2022. Your firm's total annual transportation fee capacity will be \$20,000,000.

Your firm's Corporate Labor Rate rate of 142.46% and Direct Field Rate rate of 112.55% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2023. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR C.C. Johnson & Malhotra, P.C.

CATEGORY	STATUS
Airports - Design: Complex Electrical	X
Hydraulic Reports - Waterways: Complex	A
Special Studies- Location Drainage	A
Hydraulic Reports - Waterways: Typical	X
Special Services - Mechanical	X
Location Design Studies - Reconstruction/Major Rehabilitation	X
Location Design Studies - New Construction/Major Reconstruction	X
Location Design Studies - Rehabilitation	X
Highways - Roads and Streets	X
Special Services - Electrical Engineering	X
Structures - Highway: Typical	X
Structures - Highway: Simple	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST

CERA Solutions

PAYROLL BURDEN AND OVERHEAD RATE COMPILATION

June 11, 2024



Troy A. Washko, CPA, P.C.

1924 E. Sangamon Ave.
Springfield, IL 62702

217-522-3300 ♦ FAX 217-522-3309

**INDEPENDENT ACCOUNTANT'S REPORT ON STATEMENT OF DIRECT LABOR,
PAYROLL BURDEN AND GENERAL OVERHEAD**

CERA Solutions
Chicago, Illinois

We have compiled the accompanying Statement of Direct Labor, Payroll Burden and General Overhead of CERA Solutions for the twelve month period ending December 31, 2023.

Management is responsible for the preparation and fair presentation of the accompanying Statement of Direct Labor, Payroll Burden and General Overhead in accordance with accounting principles generally accepted in the United States of America.

We have performed the compilation in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services Committee of the American Institute of Certified Public Accountants and the Federal Acquisition Regulations-Part 31. We did not audit or review the Statement of Direct Labor, Payroll Burden and General Overhead and accordingly do not express an opinion or provide any form of assurance on the Statement of Direct Labor, Payroll Burden and General Overhead.

A handwritten signature in black ink, appearing to read 'T. A. Washko', written over a horizontal line.

Troy A. Washko, CPA P.C.
June 11, 2024

CERA Solutions
STATEMENT OF DIRECT LABOR, FRINGE BENEFITS & OVERHEAD
FOR THE YEAR ENDED DECEMBER 31, 2023

<u>Account Description</u>	<u>Acct. Balance</u>	<u>Direct Costs</u>	<u>Disallowed Costs</u>	<u>Allowed Costs</u>	<u>% of Direct Labor</u>
Direct Labor	\$ [REDACTED]	\$ [REDACTED] (A)	\$ [REDACTED] (B)	\$ [REDACTED]	
Fringe Benefits					
Social Security & Medicare	\$ [REDACTED]		\$ [REDACTED] (C)	\$ [REDACTED]	
FUTA	\$ [REDACTED]			\$ [REDACTED]	
SUI	\$ [REDACTED]			\$ [REDACTED]	
Health & Disability Insurance	\$ [REDACTED]			\$ [REDACTED]	
Retirement Contribution	\$ [REDACTED]			\$ [REDACTED]	
Bonuses	\$ [REDACTED]		\$ [REDACTED] (D)	\$ [REDACTED]	
Total Fringe Benefits	\$ [REDACTED]	\$ -	\$ [REDACTED]	\$ [REDACTED]	29.47%

General Overhead:

Indirect Labor	\$ [REDACTED]		\$ [REDACTED] (E)	\$ [REDACTED]	
Education & Training	[REDACTED]			[REDACTED]	
Automobile Expense	[REDACTED]	[REDACTED] (A)	[REDACTED] (G)	[REDACTED]	
Interest Paid	[REDACTED]			[REDACTED]	
Depreciation	[REDACTED]			-	
Professional Fees & Services	[REDACTED]			[REDACTED]	
Rent & Lease	[REDACTED]			[REDACTED]	
Contractual Labor & Consultants	[REDACTED]	[REDACTED] (A)	[REDACTED] (E)	[REDACTED]	
Telephone	[REDACTED]			[REDACTED]	
Office Expense	[REDACTED]			[REDACTED]	
Parking	[REDACTED]			[REDACTED]	
Payroll Fees	[REDACTED]			[REDACTED]	
Software	[REDACTED]			[REDACTED]	
Meals & Entertainment	[REDACTED]		[REDACTED] (E, H)	[REDACTED]	
License & Fees	[REDACTED]			[REDACTED]	
Postage & Shipping	[REDACTED]			[REDACTED]	
Insurance	[REDACTED]			[REDACTED]	
Bank Charges & Fees	[REDACTED]		[REDACTED] (G, 1)	[REDACTED]	
Professional Dues	[REDACTED]		[REDACTED] (J)	[REDACTED]	
Vehicle Lease	[REDACTED]	[REDACTED] (A)	[REDACTED] (K)	[REDACTED]	
Travel	[REDACTED]	[REDACTED] (A)		[REDACTED]	
Advertising & Marketing	[REDACTED]		[REDACTED] (E)	[REDACTED]	
Employee/Client Gifts	[REDACTED]		[REDACTED] (E)	[REDACTED]	
Web Hosting	[REDACTED]			[REDACTED]	
Charitable Contributions	[REDACTED]		[REDACTED] (L)	[REDACTED]	
Conferences	[REDACTED]			[REDACTED]	
Dues/Subscriptions	[REDACTED]			[REDACTED]	

CERA Solutions
STATEMENT OF DIRECT LABOR, FRINGE BENEFITS & OVERHEAD
FOR THE YEAR ENDED DECEMBER 31, 2023

Account Description	Acct. Balance	Direct Costs	Disallowed Costs	Allowed Costs	% of Direct Labor
Taxes Paid	█		█ (M)	█	
Misc. Other Office Expenses	█		█ (E, H)	█	
Total General Overhead	\$ █	\$ █	\$ █	\$ █	79.98%
Total Fringe Benefits and Overhead Costs	\$ █	\$ █	\$ █	\$ █	109.45%
Facilities Capital Cost of Money	\$ █			█	0.29%
Total Fringe Benefits and Overhead Rate plus Facilities Capital Cost of Money Rate					109.74%

CERA Solutions
Criteria for Disallowance
December 31, 2023

<u>Reference</u>	<u>Description</u>	<u>Criteria</u>
A	Direct Costs	FAR 31.202
B	Direct Costs – Premium Overtime	FAR 31.202
C	Accounting for unallowable costs	FAR 31.201-6
D	Compensation for Personal Services	FAR 31.205-6
E	Public Relations and Advertising Cost	FAR 31.205-1
F	Compensation for Personal Services	FAR 31.205-6 & The National Compensation Matrix
G	Fines and Penalties	FAR 31.205-15
H	Entertainment	FAR 31.205-14
I	Interest and other financial costs	FAR 31.205-20
J	Lobbying and political activity	FAR 31.25-22
K	Rental Costs	FAR 31.205-36(b)(3)
L	Contributions or donations	FAR 31.205-8
M	Taxes	FAR 31.205-41

CERA Solutions

[Change Password](#) [Help](#) [Logout](#)

Welcome to the Illinois Department of Transportation Consultant Engineering Prequalification and Agreement System (EPAS). All firms that desire to be prequalified with IDOT must submit via the SEFC Application. All firms that desire to be considered for the Professional Transportation Bulletin Items must submit Statements of Interest through the SOI Application. The required data has not changed for prequalification or Statements of Interest. All Prequalification and Statements of Interest are paperless.

SEFC Applications

App Id	<input type="checkbox"/>	Date Submitted	<input type="checkbox"/>	Fiscal Year Ending Date	<input type="checkbox"/>	Type	<input type="checkbox"/>	Overhead %	<input type="checkbox"/>	Status	<input type="checkbox"/>	Category/Comments	Additional Info	Approval Letter
SEFC ID : 11734		09/18/2024		12/31/2023		RenewSEFC		109.74		RANKING_COMPLETE		View	View	
SEFC ID : 9857		11/08/2023		12/31/2022		RenewSEFC		81.93		APPROVED		View	View	Approval Letter



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

February 16, 2024

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Regine Jeune
STERLIN, DB CONSULTANTS, INC.
123 N. Wacker Drive
Suite 2000
Chicago, IL 60606

Dear Regine Jeune,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2022. Your firm's total annual transportation fee capacity will be \$19,200,000.

Your firm's payroll burden and fringe expense rate and general and administrative expense rate totaling 124.65% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2023. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR STERLIN, DB CONSULTANTS, INC.

CATEGORY	STATUS
Special Plans - Traffic Signals	X
Special Studies - Traffic Studies	X
Special Services - Construction Inspection	X
Special Studies- Location Drainage	X
Special Studies - Feasibility	A
Special Studies - Safety	X
Location Design Studies - New Construction/Major Reconstruction	A
Location Design Studies - Reconstruction/Major Rehabilitation	A
Highways - Roads and Streets	X
Special Services - Surveying	X
Location Design Studies - Rehabilitation	X
Special Services - Subsurface Utility Engineering	X
Highways - Freeways	X
Structures - Highway: Typical	X
Structures - Highway: Simple	X
Structures - Highway: Advanced Typical	X
Structures - Railroad	X
Structures - Highway: Complex	X
Structures - Moveable	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

December 12, 2024

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Ala Sassila
GSG CONSULTANTS, INC.
735 Remington Rd
Schaumburg, IL 60173

Dear Ala Sassila,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2022. Your firm's total annual transportation fee capacity will be \$21,400,000.

Your firm's payroll burden and fringe expense rate and general and administrative expense rate totaling 126.28% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2023. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR GSG CONSULTANTS, INC.

CATEGORY	STATUS
Special Services - Aerial Mapping/LiDAR	X
Special Services - Mobile LiDAR	X
Special Services - Project Controls	X
Location Design Studies - Reconstruction/Major Rehabilitation	X
Special Services - Surveying	X
Special Services - Subsurface Utility Engineering	X
Special Studies- Location Drainage	X
Hydraulic Reports - Waterways: Complex	X
Highways - Roads and Streets	X
Special Services - Asbestos Abatement Surveys	X
Structures - Highway: Typical	X
Geotechnical Services - General Geotechnical Services	X
Special Services - Hazardous Waste: Simple	X
Location Design Studies - Rehabilitation	X
Highways - Freeways	X
Hydraulic Reports - Waterways: Typical	X
Special Services - Construction Inspection	X
Geotechnical Services - Subsurface Explorations	X
Geotechnical Services - Structure Geotechnical Reports (SGR)	X
Geotechnical Services - Complex Geotech/Major Foundation	X
Structures - Highway: Simple	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

September 6, 2024

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Marguerite Kindelin
Johnson Lasky Kindelin Architects, Inc.
230 West Huron St.
510
Chicago, IL 60654

Dear Marguerite Kindelin,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2023. Your firm's total annual transportation fee capacity will be \$2,800,000.

Your firm's payroll burden and fringe expense rate and general and administrative expense rate totaling 137.97% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2024. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR Johnson Lasky Kindelin Architects, Inc.

CATEGORY	STATUS
Special Services - Architecture	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

December 12, 2024

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Crystal Carter
WATTS, J. A., INC.
940 W. Adams Street
Suite 400
Chicago, IL 60607

Dear Crystal Carter,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2022. Your firm's total annual transportation fee capacity will be \$16,000,000.

Your firm's payroll burden and fringe expense rate and general and administrative expense rate totaling 118.66% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2023. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR WATTS, J. A., INC.

CATEGORY	STATUS
Special Services - Project Controls	X
Highways - Roads and Streets	X
Special Services - Construction Inspection	X
Airports - Construction Inspection	X
Special Plans - Lighting: Typical	X
Special Services - Electrical Engineering	X
Special Plans - Lighting: Complex	X
Location Design Studies - Reconstruction/Major Rehabilitation	X
Location Design Studies - Rehabilitation	X
Special Studies - Traffic Studies	X
Highways - Freeways	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST

From: [Melissa Mulrooney](#)
To: [Eileen Chin](#); [Aaron Russell](#); [Beth Tatro](#); [Michael Witte](#); [Keith Spencer](#); [Amy Jenkinson](#); [Thomas Coyne](#)
Cc: [Emilio Avitia](#)
Subject: RE: [EXTERNAL] R.M. Chin & Assoc. 2023 Overhead Rate Approval
Date: Wednesday, October 30, 2024 3:07:00 PM
Attachments: [image001.png](#)

From: Moldenhauer, Megan N. <Megan.Moldenhauer@illinois.gov>
Sent: Wednesday, October 30, 2024 2:00 PM
To: Eileen Chin <EileenC@RMCHIN.com>
Cc: DOT.OQCR.Audits <DOT.OQCR.Audits@illinois.gov>
Subject: [EXTERNAL] R.M. Chin & Assoc. 2023 Overhead Rate Approval

You don't often get email from megan.moldenhauer@illinois.gov. [Learn why this is important](#)

CAUTION: This email originated from outside of the organization. **DO NOT CLICK** links or attachments unless you recognize the sender and know the content is safe.

Good Afternoon Eileen,

I just completed the final review and approval of the 2023 overhead rate for R.M. Chin & Assoc., Inc. Since the SEFC is still in the ranking process, you will not receive an approval letter however, since the rate is approved in EPAS (see screenshot below), the rate is effective as of today and should be used going forward.

Vendor: CHIN, R. M. & ASSOC., INC.

Vendor Comments:

SEFC Applications							
App Id	Date Submitted	Fiscal Year Ending Date	Type	Overhead %	Ranking	Category/Comments	Additional Info
SEFC ID : 11501	06/27/2024	12/31/2023	RenewSEFC	98.73	RANKING_PROCESS	View	View

Let me know if you have any questions.

Thank you,

Megan Moldenhauer, CPA
Section Manager
Financial Review & Investigations Section
Bureau of Investigations and Compliance
Illinois Department of Transportation
2300 S. Dirksen Parkway
Springfield, IL 62764
Office Phone 217-557-8248
Cell Phone 217-622-9150
Megan.Moldenhauer@illinois.gov

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Eileen Chin | President
EileenC@RMCHIN.com

	R.M. Chin & Associates, Inc. 500 West 18th Street, Suite 200 Chicago, Illinois 60616 T: 312.595.2000 Direct: 312.595.2000 www.rmchin.com Follow us:    
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INDIANA DEPARTMENT OF TRANSPORTATION

100 North Senate Avenue
Room N749
Indianapolis, Indiana 46204

Eric Holcomb, Governor
Michael Smith, Commissioner

External Audit <http://www.in.gov/indot/2846.htm>
Division of Economics, External Audit, and Performance Metrics

August 13, 2024

Re: Report on Review of Financial Prequalification submission **25-15-47**
For Fiscal Year Ending: **December 31, 2023**

Venal Stewart, President
SE3, LLC
8401 E. State Route 350
Kansas City, MO 64133

Dear Mr. Stewart:

External Audit has reviewed the Financial Prequalification submittal by SE3, LLC for the fiscal year ending December 31, 2023. This notice is to report the results of the financial review. For further information regarding the overall Prequalification status of your firm, including technical requirements, please contact the Prequalification Section directly.

We reviewed an Indirect Cost Schedule and associated required documents for Financial Prequalification submitted for the CPA Audited Level as application #58622.

Per the Troy A. Washko CPA, PC report, the Indirect Cost Schedule was audited in accordance with generally accepted government auditing standards issued by the Comptroller General of the United States and 48 CFR Part 31, with an audited indirect cost rate of **146.8%**, facilities capital cost of money rate of **1.71%** and expressed the opinion that these rates present fairly, in all material respects, the direct labor, fringe benefits, and general overhead of SE3, LLC for the period ending December 31, 2023.

Indiana Department of Transportation (INDOT) accepts the use of these rates for invoicing of services provided during the firm's fiscal period covered by this report, for contracts with or administered through the agency. Acceptance of these rates for this use does not constitute "establishment of a rate by a cognizant agency" for the purpose of applying the regulations published in Title 23 CFR Sect. 172.7. INDOT also accepts the use of these rates as provisional rates for estimating, negotiating, and billing current contracts with or administered through the agency. This provisional rate acceptance expires June 30, 2025. Costs billed to contracts with federal participation are subject to audit for compliance with the cost principles contained in 48 CFR Part 31. With the financial prequalification accepted at the CPA Audited Level, this firm is not restricted to total annual billings of less than \$250,000.00 for a contract or contracts with or administered through INDOT.

Total wages and salaries (not including bonuses, profit share, company retirement contributions, or other unallowable forms of indirect compensation) were submitted as \$4,443,213 Direct and \$2,761,472 Indirect, for a total of \$7,204,685.

Issues concerning the financial data submitted to the Agency and the allowable indirect cost rates accepted by External Audit are subject to the following procedures. All CPA workpapers used as the basis to establish an audited overhead rate must be made available to INDOT for review at a location of mutual agreement, as determined by INDOT and the consultant firm. The consultant firm named above is solely responsible for all costs billed by the firm's Independent CPA related to the review of the auditor's work papers by the agency. INDOT



INDIANA DEPARTMENT OF TRANSPORTATION

100 North Senate Avenue
Room N749
Indianapolis, Indiana 46204

Eric Holcomb, Governor
Michael Smith, Commissioner

and American Council of Engineering Companies agreed to the implementation of a Dispute Resolution Procedure effective January 1, 2008. Firms wishing to dispute the indirect cost rates allowed by the agency may request a meeting with Natalya Clark, Manager of External Audit, (NClark@INDOT.IN.GOV).

This letter is for internal use only and shall not be used for any other purpose. Occasionally, INDOT receives requests from other state transportation agencies to share the financial data for firms providing financial prequalification submissions to our agency, and we may respond to those requests. Firms offering "engineering and design services", as defined under 23 USC 112(b) (2) (A), who have submitted financial data for Prequalification with INDOT will receive a notification from External Audit summarizing any such data provided and identifying the agency and contact person receiving the information.

If you have any questions or concerns regarding your financial submission or the allowable indirect cost rate for your firm, you may contact External Audit directly.

Sincerely,

Kathleen Abbott

Kathleen Abbott, External Auditor
Phone: 317-233-2162
kabbott1@indot.in.gov

cc: Jason Martin, Executive Vice President, SE3, LLC
Natalya Clark, Manager of External Audit, INDOT
Matthew Sutton, Prequalification Engineer, INDOT
John Leming, Consultant Prequalification Analyst, INDOT



Troy A. Washko, CPA, P.C.

1924 E. Sangamon Ave.
Springfield, IL 62702

217-522-3300 ♦ FAX 217-522-3309

INDEPENDENT AUDITOR'S REPORT

To the Board of Directors
SE3,LLC
Kansas City, Missouri

Opinion

We have audited the accompanying schedule of Direct Labor, Fringe Benefits, and General Overhead of SE3, LLC for the year ended December 31, 2023 and the related notes to the financial statements.

In our opinion, the schedule referred to above presents fairly, in all material respects, the direct labor, fringe benefits and general overhead of SE3, LLC as of December 31, 2023 on the basis of accounting described in Note 2.

Basis for Opinion

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of SE3, LLC and to meet our other ethical responsibilities in accordance with the relevant ethical requirements related to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Basis of Accounting

We draw attention to Note 2 of the financial statements, which describes the basis of accounting. The schedule is prepared on the basis of accounting prescribed by subparts 9900 and part 31 of the Federal Acquisition Regulations (FAR). This is a basis of accounting other than accounting principles generally accepted in the United States of America. As a result, the schedule may not be suitable for another purpose. Our opinion is not modified with respect to that matter.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the schedule in accordance with the basis of accounting practices prescribed by part 31 of the Federal Acquisition Regulations (FAR). Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of the schedule that is free from material misstatement, whether due to fraud or error.

In preparing the schedule, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about SE3, LLC's ability to continue as a going concern within one year after the date that the schedule is available to be issued.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the schedule as a whole is free of material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgement made by a reasonable user based on the financial statements.

In performing an audit in accordance with generally accepted auditing standards, we:

- Exercise professional judgement and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the schedule and footnotes.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of SE3, LLC's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the schedule.
- Conclude whether, in our judgement, there are conditions or events, considered in the aggregate, that raise substantial doubt about SE3, LLC's ability to continue as a going concern for a reasonable period of time.

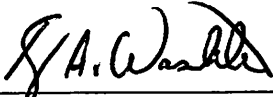
We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control related matters that we identified during the audit.

Restriction on Use

Our report is intended solely for the information and the use of the SE3, LLC and government agencies or other customer related to contracts employing the Federal Acquisition Regulation cost principles and is not intended and should not be used by anyone other than these specified parties.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have issued a report dated July 23, 2024, on our consideration of the Company's internal controls over financial reporting as it relates to the Schedule and on our tests of its compliance with certain provisions of laws, regulations and contracts, including provisions of the applicable sections of Part 31 of the Federal Acquisition Regulation. The purpose of that report is to describe the scope of our testing of internal controls over financial reporting and compliance and the results of the testing, and not to provide an opinion on internal controls over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Company's internal control over financial reporting and compliance.



Troy A. Washko, CPA P.C.
July 23, 2024

SE3, LLC
STATEMENT OF DIRECT LABOR, FRINGE BENEFITS & OVERHEAD
FOR THE YEAR ENDED DECEMBER 31, 2023

<u>Account Description</u>	<u>Acct. Balance</u>	<u>Disallowed Costs</u>	<u>Allowed Costs</u>	<u>% of Direct Labor</u>
Direct Labor	\$ 4,443,213	\$ -	\$ 4,443,213	
<u>Fringe Benefits</u>				
Paid Time Off	682,837		682,837	
Health Insurance	891,902		891,902	
Payroll Taxes	580,193	(10,992) (T)	569,201	
Employee Benefits	8,359	(2,150) (C)(P)	6,209	
Other Insurance	76,389	(92,600) (B)	(16,211)	
Bonus Expense	376,716	(5,765) (S)	370,951	
Pension Contribution	240,072		240,072	
Total Fringe Benefits	\$ 2,856,468	\$ (111,507)	\$ 2,744,961	61.78%
<u>General Overhead:</u>				
Salaries/Wages	2,078,635	(136,278) (D)	1,942,357	
Costs of Goods Sold	2,655,627	(2,655,627) (A)	-	
Repairs & Maintenance	158,101		158,101	
Insurance	268,734		268,734	
Meals & Entertainment	79,202	(13,833) (A)(C)(D)(L)	65,369	
Taxes - Other	173,462	(133,174) (L)(N)	40,288	
Vehicle & Travel Expense	385,591	(21,834) (A)(C)(L)(M)	363,757	
Vehicle - Personal Use Company Car	7,437	(7,437) (M)	-	
Vehicle Recovery	(175,018)	(A)	(175,018)	
Outside Services	20,526		20,526	
Professional Fees	328,593	(51,375) (L)(P)(Q)	277,218	
Office Expense	119,759		119,759	
Bank Fees	3,724	(2,000) (G)	1,724	
Rent	299,726	(75,731) (R)	223,995	
Utilities	115,599		115,599	
Telephone	28,044		28,044	
Postage & Delivery	9,503		9,503	
Software	134,352		134,352	
Professional Development/Dues	90,957	(11,038) (C)(D)(E)(N)	79,919	
Contributions	765	(765) (F)	-	
Book Depreciation	353,467	(7,228) (M)	346,239	
Bad Debt Expense	55,765	(55,765) (U)	-	
Internet	(445)		(445)	
Equipment Rental/Expenses	29,035		29,035	
Printing	5,833		5,833	
Promotional/Business Development	33,649	(2,783) (C)(D)	30,866	
Computer Expense	5,423		5,423	

<u>Account Description</u>	<u>Acct. Balance</u>	<u>Disallowed Costs</u>	<u>Allowed Costs</u>	<u>% of Direct Labor</u>
Interest Expense	56,381	(56,381) (G)	-	
Uniforms	5,999	(4,799) (D)	1,200	
Reimbursable Expenses	(8,391)	8,391 (A)	-	
Miscellaneous Expense	14,324	(437) (C)(L)	13,887	
Penalties & Fines	31	(31) (N)	-	
Equipment Rental-Recovery	(315,557)	(A)	(315,557)	
(Book) Gain-Sale of Fixed Assets	(13,055)	(W)	(13,055)	
Organization Costs	814,813	(814,813) (O)	-	
Total General Overhead	<u>\$ 7,820,591</u>	<u>\$ (4,042,938)</u>	<u>\$ 3,777,653</u>	<u>85.02%</u>
Total Fringe Benefits and Overhead Costs	<u>\$ 10,677,059</u>	<u>\$ (4,154,445)</u>	<u>\$ 6,522,614</u>	<u>146.80%</u>
Facilities Capital Cost of Money	\$ 75,856	(J)	\$ 75,856	<u>1.71%</u>
Total Fringe Benefits and Overhead Rate plus Facilities Capital Cost of Money Rate				<u>148.51%</u>

FAR References & Notes

- (A) FAR 31.202 - Direct Costs
- (B) FAR 31.205-19(e)(2)(v) - Life Insurance
- (C) FAR 31.205-14 - Entertainment
- (D) FAR 31.205-1 - Public Relations & Advertising
- (E) FAR 31.205-22 - Lobbying Costs
- (F) FAR 31.205-8 - Contributions
- (G) FAR 31.205-20 - Interest & Other Financial Costs
- (H) FAR 31.201-5 - Credits
- (J) FAR 31.205-10 - Facilities Costs of Money
- (K) FAR 31.205-13-Employee Morale Costs
- (L) FAR 31.201-4-Determining Allocability
- (M) FAR 31.205-6(m)(2)-Personal Use Company Vehicle
- (N) FAR 31.205-15- Fines & Penalties
- (O) FAR 31.205-27- Organization Costs
- (P) FAR 31.201-2- Determining Allowability
- (Q) FAR 31.205-47-Costs Related to Legal Proceedings
- (R) FAR 31.205-36 (b)(3)- Rental Costs-Common Control
- (S) FAR 31.205-6(f)- Compensation for Personal Services
- (T) FAR 31.201-6 -Accounting For Unallowable Costs
- (U) FAR 31.205-3 -Bad Debts
- (V) FAR 31.205-41 Taxes
- (W) FAR 31.205-16 Gain on Sale of Fixed Assets

SE3, LLC

Notes to Statement of Direct Labor, Payroll Burden and General Overhead

December 31, 2023

1. PRINCIPLE BUSINESS ACTIVITY

SE3, LLC is a professional services company focused on transportation infrastructure projects, providing program management, program controls, engineering design, systems design/deployment, and construction management.

2. BASIS OF ACCOUNTING PRACTICES

The Company's policy is to prepare its overhead schedules, which support the statement of Direct Labor, Payroll Burden, and General Overhead, on the basis of accounting practices prescribed by Subparts 30.401, 402, and 410 - Part 9904 of the Cost Accounting Standards and Part 31 of the Federal Acquisition Regulations. Accordingly the above-mentioned statement is not intended to present the results of operations of the Company in conformity with generally accepted accounting principles.

3. DESCRIPTION OF ACCOUNTING POLICIES

The payroll burden and overhead rates were prepared on the accrual basis of accounting. All direct costs are segregated, accumulated and allocated to the proper final cost objective. All costs are allocated based on direct labor cost.

4. JOB COST SYSTEM

A job cost system exists which properly records and accumulates costs incurred. The job cost system is reconciled to the general ledger.

5. ESTIMATING SYSTEM

The Company's estimating system consistently handles costs in conjunction with the accounting system employed.

6. PAID TIME OFF

Vacation, personal and sick days (PTO) accrue on each employee based on years of service. Employees must have a minimum of 1600 billable hours for the current calendar year in order to be considered for reimbursement or carryover of unused PTO.

7. PREMIUM OVERTIME AND UNCOMPENSATED OVERTIME

The premium portion of overtime is not costed or billed to projects and therefore included in the overhead cost pool. Chargeable salaried employees are compensated for all hours worked.

8. PENSION PLAN

The Company operates a 401(k) profit sharing plan. The plan is available to all full-time employees beginning 90 days after the start of employment. A Company match is offered up to a maximum of 4%.

9. DEPRECIATION

Depreciation is computed using accelerated methods. The depreciation reflected on the Company's financial statements differs from acceptable depreciation in accordance with the Federal Acquisition Regulations. This report adjusts depreciation to be in accordance with these

regulations.

10. FACILITIES CAPITAL COST OF MONEY

Calculated in accordance with FAR 31.205-10.

Average book value of assets at 12/31/23	\$ 1,596,978
Cost of money multiplier	<u>x .0475</u>
Cost of money	<u>\$ 75,856</u>

11. DIRECT COSTS

The following types of costs are accumulated by project and properly segregated from the overhead pool at the actual costs incurred:

- Reproduction costs
- Employee mileage reimbursement
- Automobile (company owned/leased)
- Flow Monitoring
- Subcontractor Expense
- Travel

12. EXECUTIVE COMPENSATION

An analysis was performed to determine whether the compensation paid to executives exceeded the allowed amount in conjunction with the National Compensation Matrix. This analysis resulted in no adjustment to the overhead schedule.

13. SUBSEQUENT EVENTS

Management evaluated subsequent events through July 23, 2024, the date the payroll burden and overhead report was available to be issued. Events or transactions occurring after December 31, 2023 but prior to July 23, 2024 that provided additional evidence about conditions that existed at December 31, 2023 have been recognized in the payroll burden and overhead report for the year ended December 31, 2023.



Troy A. Washko, CPA, P.C.

1924 E. Sangamon Ave.
Springfield, IL 62702

217-522-3300 ♦ FAX 217-522-3309

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROLS AND COMPLIANCE

SE3, LLC
Kansas City, Missouri

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in the *Government Auditing Standards* issued by the Controller General of the United States, the Statement of Direct Labor, Fringe Benefits, and General Overhead (Statement) of SE3, LLC (Company) as of December 31, 2023 and the related notes to the Statement and have issued our report thereon dated July 23, 2024.

Internal Control Over Financial Reporting

In planning and performing our audit of the Statement, we considered the Company's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing an opinion on the Statement, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Company's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

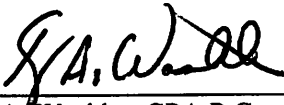
Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Company's Statement is free from material misstatement, we performed tests of the Company's compliance with certain provisions of laws, regulations, contracts, and grant agreements including provisions of the applicable sections of 48 CFR Part 31, noncompliance with which could have a direct and material effect on the determination of the amounts reported on the Statement. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly we do not

express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Company's internal control and compliance. This report is intended solely for the use and information of the Company and government agencies or other customers related to contracts employing the cost principles of the Federal Acquisition Regulations, and should not be used for any other purpose



Troy A. Washko, CPA P.C.
July 23, 2024



Illinois Department of Transportation

2300 South Dirksen Parkway / Springfield, Illinois / 62764

November 20, 2023

Subject: PRELIMINARY ENGINEERING
Consultant Unit
Prequalification File

Jing (Connie) Li
TranSmart, LLC
100 South Wacker Drive
400
Chicago, IL 60606

Dear Jing (Connie) Li,

We have completed our review of your "Statement of Experience and Financial Condition" (SEFC) which you submitted for the fiscal year ending Dec 31, 2022. Your firm's total annual transportation fee capacity will be \$22,400,000.

Your firm's Project Owner's Office Rate rate of 88.70% and Home Office Rate rate of 141.31% are approved on a provisional basis. The rate used in agreement negotiations may be verified by our Bureau of Investigations and Compliance in a pre-award audit. Pursuant to 23 CFR 172.11(d), we are providing notification that we will post your company's indirect cost rate to the Federal Highway Administration's Audit Exchange where it may be viewed by auditors from other State Highway Agencies.

Your firm is required to submit an amended SEFC through the Engineering Prequalification & Agreement System (EPAS) to this office to show any additions or deletions of your licensed professional staff or any other key personnel that would affect your firm's prequalification in a particular category. Changes must be submitted within 15 calendar days of the change and be submitted through the Engineering Prequalification and Agreement System (EPAS).

Your firm is prequalified until December 31, 2023. You will be given an additional six months from this date to submit the applicable portions of the "Statement of Experience and Financial Condition" (SEFC) to remain prequalified.

Sincerely,
Jack Elston, P.E.
Bureau Chief
Bureau of Design and Environment

SEFC PREQUALIFICATIONS FOR TranSmart, LLC

CATEGORY	STATUS
Highways - Freeways	X
Structures - Highway: Simple	X
Special Plans - Lighting: Complex	X
Special Services - Construction Inspection	X
Hydraulic Reports - Waterways: Typical	X
Special Services - Electrical Engineering	X
Special Studies - Safety	X
Location Design Studies - Reconstruction/Major Rehabilitation	X
Location Design Studies - Rehabilitation	X
Special Studies - Feasibility	X
Transportation Studies - Mass Transit	X
Special Studies- Location Drainage	X
Special Studies - Traffic Studies	X
Special Plans - Traffic Signals	X
Special Plans - Lighting: Typical	X
Structures - Highway: Typical	X
Structures - Railroad	X
Highways - Roads and Streets	X
Structures - Highway: Advanced Typical	X
Special Studies - Signal Coordination & Timing (SCAT)	X

X	PREQUALIFIED
A	NOT PREQUALIFIED, REVIEW THE COMMENTS UNDER CATEGORY VIEW FOR DETAILS IN EPAS.
S	PREQUALIFIED, BUT WILL NOT ACCEPT STATEMENTS OF INTEREST

ATTACHMENT B



COOK COUNTY
OFFICE OF THE
Chief Procurement
Officer

161 N. Clark
Suite 2300
Chicago, Illinois 60601

Date: April 22, 2025

TO: Raffi Sarrafian, Chief Procurement Officer
Office of the Chief Procurement Officer

FROM: JEANETTA CARDINE
Jeanetta Cardine, Deputy Director
Compliance Center of Excellence
Center of Business Enterprise Development

RE: Contract No. 2138-10282A Amendment no. 1
Design Engineering Services Various Various
Department of Transportation and Highways
RFQ: Professional Services
Contractor: AECOM Technical Services, Inc.
Original Contract Value: \$5,000,000.00
Original Contract Term: 7/1/2022 – 6/30/2025
Amendment 1 renews the contract for one year through June 30, 2026, and increases the contract value by \$2,000,000.00 to a total contract value of \$7,000,000.00
Revised Contract Value: \$7,000,000.00
Revised Contract Term: 7/1/2022 – 6/30/2026
Participation Goal: 35% DBE

The Center of Business Enterprise Development is in receipt of the above-referenced contract amendment and has reviewed this contract for compliance with the Minority and Women owned Business Enterprises (MBE/WBE) Ordinance. After careful review of our records as reported by the vendor, it has been determined the vendor is in compliance with the MBE/WBE Ordinance.



Utilization Plan from Original Contract (Based on contract value of \$5,000,000.00)

<u>Subcontractor</u>	<u>Status</u>	<u>Certifying Agency</u>	<u>Commitment (Direct)*</u>
Right of Way Acquisitions, Inc.	DBE	IL UCP	1%
CERA Solutions	DBE	PACE	3%
RM Chin and Associates	DBE	City of Chicago	6%
SE3, LLC	DBE	METRA	2%
2iM Group, LLC	DBE	IL UCP	5%
American Surveying & Engineering	DBE	IL UCP	5%
DB Sterlin Consultants, Inc.	DBE	IL UCP	6%
GSG Consultants, Inc.	DBE	METRA	4%
Atlas Engineering Group	DBE	IL UCP	5%
Total			37%

Utilization Plan post modification approval (Based on contract value of \$5,000,000.00)

<u>Subcontractor</u>	<u>Status</u>	<u>Certifying Agency</u>	<u>Commitment (Direct)*</u>
Right of Way Acquisitions, Inc.	DBE	IL UCP	1%
CERA Solutions	DBE	PACE	3%
RM Chin and Associates	DBE	City of Chicago	6%
SE3, LLC	DBE	METRA	1%
2iM Group, LLC	DBE	IL UCP	4%
American Surveying & Engineering	DBE	IL UCP	5%
DB Sterlin Consultants, Inc.	DBE	IL UCP	5%
GSG Consultants, Inc.	DBE	METRA	4%
Atlas Engineering Group	DBE	IL UCP	5%
JLK Architects, Inc.	DBE	METRA	1%
CCJM	DBE	IL-UCP	1%
J.A.Watts, Inc.	DBE	City of Chicago	1%
Total			37%

A utilization plan revision request was granted on July 27, 2023, which add J.A. Watts, Inc (JWI), C.C. Johnson & Malhotr, P.C. (CCJM) and Johnson, Lasky Kindelin Architects, Inc. (JLK) each with a commitment of 1%. In addition, they will also be slightly decreasing three (3) existing sub-consultants, SE3, LLC by 1% from 2% to 1%; 2IM Group, LLC by 1% from 5% to 4%; and DB Sterlin Consultants, Inc. by 1% from 6% to 5%.



Utilization Plan from Amendment no. 1 (Based on contract value of \$7,000,000.00)

<u>Subcontractor</u>	<u>Status</u>	<u>Certifying Agency</u>	<u>Commitment (Direct)*</u>
Right of Way Acquisitions, Inc.	DBE	IL UCP	1%
CERA Solutions	DBE	PACE	3%
RM Chin and Associates	DBE	City of Chicago	6%
SE3, LLC	DBE	METRA	1%
2iM Group, LLC	DBE	IL UCP	4%
American Surveying & Engineering	DBE	IL UCP	5%
DB Sterlin Consultants, Inc.	DBE	IL UCP	5%
GSG Consultants, Inc.	DBE	METRA	4%
Atlas Engineering Group	DBE	IL UCP	5%
JLK Architects, Inc.	DBE	METRA	1%
CCJM	DBE	IL-UCP	1%
J.A.Watts, Inc.	DBE	City of Chicago	1%
Total			37%

Original DBE forms were used in the determination of the responsiveness of this contract amendment.

JC/mk

CC: Rahel Abraham, (OCPO)
 Nathan Roseberry, (DOH)

DBE LETTER OF INTENT - FORM 2

DBE Firm: 2IM Group, LLC

Certifying Agency: Illinois Department of Transportation

Contact Person: Luis Montgomery

Certification Expiration Date: July 1, 2025

Address: 118 S. Clinton Street, Suite 350

City/State: Chicago/IL Zip: 60661

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 312.441.9554 Fax: N/A

FEIN #: 42-1679389

Email: luis.m@2imgroup.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Hydraulics and Drainage; Civil Engineering

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 4%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State, to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.


Signature (DBE)

Luis Montgomery
Print Name

2IM Group, LLC
Firm Name

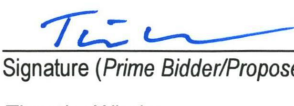
April 14, 2025
Date

Subscribed and sworn before me
this 14th day of April, 2025.

Notary Public 



SEAL


Signature (Prime Bidder/Proposer)

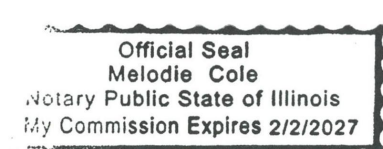
Timothy Whalen
Print Name

AECOM Technical Services, Inc.
Firm Name

April 16, 2025
Date

Subscribed and sworn before me
this 16th day of April, 2025.

Notary Public 



SEAL



Illinois Department of Transportation

Office of Business & Workforce Diversity
2300 South Dirksen Parkway / Springfield, Illinois 62764

June 12, 2024

CERTIFIED – RETURN RECEIPT REQUESTED

Mr. Luis Montgomery
2IM Group, LLC
118 S. Clinton St., Ste. 350
Chicago, IL 60661

Dear Mr. Montgomery

The Illinois Department of Transportation (IDOT) has approved the *No Change Affidavit* for 2IM Group, LLC and determined that the firm continues to meet DBE eligibility standards to perform work towards DBE goals.

To remain certified and in good standing, you must annually submit a *No Change Affidavit*. Your firm's next affidavit is due on **July 1, 2025**. IDOT will send an affidavit form 60 days prior to that date.

Should the submitted information change, you are required to notify IDOT's Bureau of Small Business Enterprises within 30 days of the change.

Note: Pursuant to 49 CFR Part 26.83(i), whenever there is a change in circumstances affecting your firm's eligibility status, your firm must provide written notification to IDOT within 30 days of the occurrence of the change. If you fail to make timely notification, it may result in the loss of your firm's certification.

If you have any questions, please contact the Bureau of Small Business Enterprises at (217) 782-5490.

Sincerely,

A handwritten signature in blue ink that reads "Debra A. Clark" with a small flourish at the end.

Debra A. Clark
Interim DBE Certification Section Manager
Bureau of Small Business Enterprises

DBE LETTER OF INTENT - FORM 2

DBE Firm: American Surveying and Engineering, Ltd.

Certifying Agency: Illinois Department of Transportation

Contact Person: Coventine Fidis

Certification Expiration Date: July 1, 2025 *

Address: 120 N. LaSalle Street, Suite 3350

City/State: Chicago/IL Zip: 60602

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 312.277.2000 Fax: 815.288.6277

FEIN #: 36-3307274

Email: c.fidis@americansurvey.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Topographic and ROW Surveying; Land Acquisition

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 5%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

[Signature]
Signature (DBE)

[Signature]
Signature (Prime Bidder/Proposer)

Coventine Fidis
Print Name

Timothy Whalen
Print Name

American Surveying and Engineering, Ltd.
Firm Name

AECOM Technical Services, Inc.
Firm Name

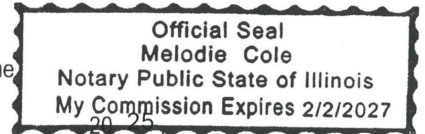
April 16, 2025
Date



April 17, 2025
Date

Subscribed and sworn before me
this 16th day of April, 2025

Subscribed and sworn before me
this 17th day of April, 2025



Notary Public [Signature]

Notary Public [Signature]

SEAL

SEAL

*American Surveying & Engineering, Ltd. (ASE) is an active DBE in the IL UCP. ASE submitted all No Change Affidavit documentation to the Illinois Department of Transportation (IDOT) prior to the March 1, 2025 deadline and are waiting for an updated letter from IDOT. Per an email received from IDOT, dated 3/12/25, their anniversary date for their certification is actually July 1, 2025. Please see attached for ASE's certification letter, a copy of the email received from IDOT, and a printout showing their active status in the IL UCP directory.



Illinois Department of Transportation

Office of Business & Workforce Diversity
2300 South Dirksen Parkway / Springfield, Illinois 62764

February 27, 2024

CERTIFIED – RETURN RECEIPT REQUESTED

Mr. Coventine Fidis
American Surveying & Engineering, LTD
200 N. LaSalle St., Ste. 2630
Chicago, IL 60601

Dear Mr. Fidis:

The Illinois Department of Transportation (IDOT) has approved the *No Change Affidavit* for American Surveying & Engineering, LTD and determined that the firm continues to meet DBE eligibility standards to perform work towards DBE goals.

To remain certified and in good standing, you must annually submit a *No Change Affidavit*. Your firm's next affidavit is due on **March 1, 2025**. IDOT will send an affidavit form 60 days prior to that date.

Should the submitted information change, you are required to notify IDOT's Bureau of Small Business Enterprises within 30 days of the change.

Note: Pursuant to 49 CFR Part 26.83(i), whenever there is a change in circumstances affecting your firm's eligibility status, your firm must provide written notification to IDOT within 30 days of the occurrence of the change. If you fail to make timely notification, it may result in the loss of your firm's certification.

If you have any questions, please contact the Bureau of Small Business Enterprises at (217) 782-5490.

Sincerely,

A handwritten signature in blue ink that reads "Debra A. Clark" with a small "cc" mark at the end.

Debra A. Clark
Interim Bureau Chief
Bureau of Small Business Enterprises

AMERICAN SURVEYING &
ENGINEERING, LTD.
APR 08 2024
200 N. LaSalle St. Suite 2630
CHICAGO, IL 60601

Subject: RE: American Surveying & Engineering, Ltd. DBE
Sent: 3/12/2025, 8:19:46 AM
From: Blackwell, Lincoln<Lincoln.Blackwell@Illinois.gov>
To: Lindsey Stutzke

WARNING: This email was received from the Internet. Do NOT click on links or open attachments unless you're sure it is safe. Do NOT respond to this email or furnish passwords or confidential information.

Ms. Stutzke-Jones,

Yes, the Declaration of Eligibility (DOE) has been received and is being processed. The firm's anniversary date is actually July 1 but we will have it returned before then.

Have a good day.

Lincoln E. Blackwell
Northern DBE Certification Unit Manager
Bureau of Small Business Enterprises
(217) 524-6967



Illinois Department of Transportation

Office of Business & Workforce Diversity
2300 South Dirksen Parkway / Springfield, Illinois 62764

From: Lindsey Stutzke <l.stutzke@americansurvey.com>
Sent: Wednesday, March 12, 2025 6:53 AM
To: Blackwell, Lincoln <Lincoln.Blackwell@Illinois.gov>
Subject: [External] American Surveying & Engineering, Ltd. DBE
Importance: High

Good Morning,

I wanted to confirm the American Surveying & Engineering, Ltd. No Change Affidavit was received?

Thank you,

American Surveying & Engineering, Ltd.

Lindsey Stutzke-Jones

Administrative/Clerical III
Accounts Receivable

Chicago | Naperville | Dixon
312-277-2000 | 630-897-4105 | 815-288-6231
Visit our website at www.americansurvey.com

Celebrating 47 years in 2025



AMERICAN
SURVEYING & ENGINEERING, LTD.



Illinois Department of Transportation
Gia Biagi, Acting Secretary



State of Illinois
Governor JB Pritzker

Unified Certification Program - Search

[Browse F.A.Q. Sheet \(/UCP/Search/Help\)](#)

Contractor Details

Print

American Surveying & Engineering, LTD

Coventine Fidis
200 N. LaSalle St., Ste.
2630
Chicago, IL 60601

County: Cook

Email: c.fidis@americansurvey.com

Phone: (312) 277-2000

Fax: (815) 288-6277

Categories: Architecture\Engineering, Construction,
Professional

NAICS	Speciality
238910-Site Preparation Contractors	238910- MISC: CONSTRUCTION LAYOUT AND STAKING
541330-Engineering Services	541330- LOCATION DRAINAGE
541370-Surveying & Mapping (except Geophysical) Serv.	ROADS AND STREETS FREEWAYS
541690-Other Scientific & Technical Consulting	WATERWAYS: COMPLEX WATERWAYS: TYPICAL SUBSURFACE UTILITY ENGINEERING
	541370- SURVEYING
	541690- TECHNICAL TRAINING

DBE LETTER OF INTENT - FORM 2

DBE Firm: Atlas Engineering Group, Ltd.

Certifying Agency: Illinois Department of Transportation

Contact Person: Natalia Homedi

Certification Expiration Date: August 1, 2025

Address: 211 W. Wacker Drive, Suite 730

City/State: Chicago/IL Zip: 60606

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 847.753.8020 Fax: 847.753.8023

FEIN #: 141990721

Email: nhomedi@aegroupltd.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Civil Engineering

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 5%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Natalia A. Homedi
Signature (DBE)

Natalia Homedi
Print Name

Atlas Engineering Group, Ltd.
Firm Name

04/14/2025
Date

Subscribed and sworn before me

this 14th day of April, 2025.

Notary Public Jeffrey J. Kraines



Timothy Whalen
Signature (Prime Bidder/Proposer)

Timothy Whalen
Print Name

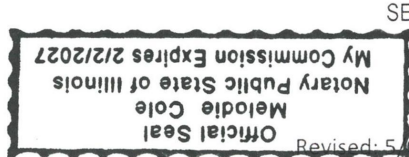
AECOM Technical Services, Inc.
Firm Name

April 16, 2025
Date

Subscribed and sworn before me

this 16th day of April, 2025.

Notary Public Melodie Cole





Illinois Department of Transportation

Office of Business & Workforce Diversity
2300 South Dirksen Parkway / Springfield, Illinois 62764

July 25, 2024

CERTIFIED-RETURN RECEIPT REQUESTED

Ms. Natalia Homedi
Atlas Engineering Group, Ltd.
710 Estate Dr.
Deerfield, IL 60015

Dear Ms. Homedi:

The Illinois Department of Transportation (IDOT) has approved the *Declaration of Eligibility/No Change Affidavit* for **Atlas Engineering Group, Ltd.** and determined that the firm continues to meet DBE eligibility standards to perform work towards DBE goals.

To remain certified and in good standing, you must annually submit a *Declaration of Eligibility/No Change Affidavit*. Your firm's next affidavit is due on **August 1, 2025**. IDOT will send an affidavit form 60 days prior to that date.

Should the submitted information change, you are required to notify IDOT's Bureau of Small Business Enterprises within 30 days of the change.

Note: Pursuant to 49 CFR Part 26.83(i), whenever there is a change in circumstances affecting your firm's eligibility status, your firm must provide written notification to IDOT within 30 days of the occurrence of the change. If you fail to make timely notification, it may result in the loss of your firm's certification.

If you have any questions, please contact the Bureau of Small Business Enterprises at (217) 782-5490.

Sincerely,

A handwritten signature in blue ink that reads "Debra A. Clark".

Debra A. Clark
Interim DBE Certification Section Manager
Bureau of Small Business Enterprises

DBE LETTER OF INTENT - FORM 2

DBE Firm: C.C. Johnson & Malhotra, P.C.

Certifying Agency: Illinois Department of Transportation

Contact Person: Paul Ghassan

Certification Expiration Date: June 1, 2025

Address: 303 E. Wacker Drive, Suite 303

City/State: Chicago/IL Zip: 60601

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 312.669.0609 Fax: 312.669.0525

FEIN #: 52-1150780

Email: pghassan@ccjm.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Mechanical, Electrical, and Plumbing Engineering

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 1%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Paul Ghassan
Signature (DBE)

Tim
Signature (Prime Bidder/Proposer)

Paul Ghassan
Print Name

Timothy Whalen
Print Name

C.C. Johnson & Malhotra, P.C.
Firm Name

AECOM Technical Services, Inc.
Firm Name

April 16, 2025
Date

April 17, 2025
Date

Subscribed and sworn before me

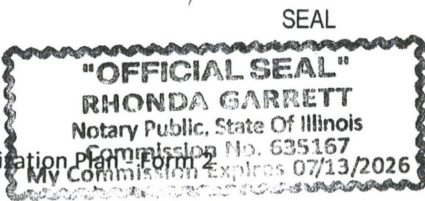
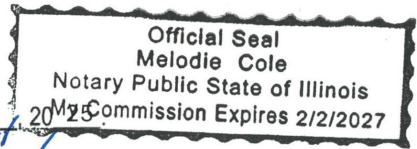
Subscribed and sworn before me

this 16th day of April, 2025

this 17th day of April, 2025

Notary Public *Rhonda Garrett*

Notary Public *Melodie Cole*





Illinois Department of Transportation

Office of Business & Workforce Diversity
2300 South Dirksen Parkway / Springfield, Illinois 62764

December 26, 2024

CERTIFIED-RETURN RECEIPT REQUESTED

Mr. S. Kumar Malhotra
C. C. Johnson & Malhotra, P.C.
9210 Corporate Blvd., Suite 370
Rockville, MD 20850-6219

Dear Mr. Malhotra:

The Illinois Department of Transportation (IDOT) has approved the *Declaration of Eligibility/No Change Affidavit* for **C. C. Johnson & Malhotra, P.C.** and determined that the firm continues to meet DBE eligibility standards to perform work towards DBE goals.

To remain certified and in good standing, you must annually submit a *Declaration of Eligibility/No Change Affidavit*. Your firm's next affidavit is due on **June 1, 2025**. IDOT will send an affidavit form 60 days prior to that date.

Should the submitted information change, you are required to notify IDOT's Bureau of Small Business Enterprises within 30 days of the change.

Note: Pursuant to 49 CFR Part 26.83(i), whenever there is a change in circumstances affecting your firm's eligibility status, your firm must provide written notification to IDOT within 30 days of the occurrence of the change. If you fail to make timely notification, it may result in the loss of your firm's certification.

If you have any questions, please contact the Bureau of Small Business Enterprises at (217) 782-5490.

Sincerely,

A handwritten signature in blue ink that reads "Debra Lee".

Debra Lee
Bureau Chief
Bureau of Small Business Enterprises

DBE LETTER OF INTENT - FORM 2

DBE Firm: Vaughn Management LLC DBA CERA Solutions Certifying Agency: Pace

Contact Person: Stephen Vaughn Certification Expiration Date: August 1, 2025

Address: 125 S. Wacker Drive, Suite 300

City/State: Chicago/IL Zip: 60606

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 773.997.2204 Fax: N/A

FEIN #: 83-0645194

Email: svaughn@cerasolutions.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(if more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Civil Engineering

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 3%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.



Signature (DBE)

Stephen Vaughn

Print Name

Vaughn Management LLC DBA CERA Solutions

Firm Name

Firm Name

04/14/2025

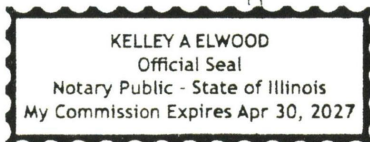
Date

Date

Subscribed and sworn before

me this 14 day of April, 2025.

Notary Public Kelley A. Elwood



SEAL



Signature (Prime Bidder/Proposer)

Timothy Whalen

Print Name

AECOM Technical Services, Inc.

Firm Name

Firm Name

April 16, 2025

Date

Date

Subscribed and sworn before me

this 16th day of April, 2025.

Notary Public Melodie Cole



SEAL



Melinda J. Metzger
Executive Director

June 4, 2024

Mr. Stephen Vaughn
Vaughn Management, LLC dba CERA Solutions
1 N. State St, Suite 1500
Chicago, IL 60602

Dear Mr. Vaughn:

Pace has reviewed your Declaration of Eligibility and supporting documentation and is pleased to inform you that your firm continues to meet the Disadvantaged Business Enterprise (DBE) program certification eligibility standards set forth in 49 CFR Part 26. Your next Declaration of Eligibility is due **August 1, 2025**. A notification will be sent to you sixty (60) days prior to this date.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra and Pace.

If there is any change in your certification that affects your ability to meet size standards, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification, including a duly executed Declaration of Eligibility with the notice, to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is a ground for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firm's name will appear in the IL UCP Directory, which is used by prime contractors/consults, as well as other agencies, to solicit participation of DBE firms. The directory can be accessed at <https://pace.diversitycompliance.com/>. Your firm's name will appear in the Directory under the commodity codes and specialties listed on the following page.

Your participation on contracts will only be credited toward DBE contract goals when your firm performs in a Commercially Useful Function (CUF) in its approved area(s) of specialty.

Sincerely,

Chi Kwan Tang
Diversity Programs



Melinda J. Metzger
Executive Director

Disadvantaged Business Enterprise (DBE) Certification

June 4, 2024
Vaughn Management, LLC dba CERA Solutions
1 N. State St, Suite 1500
Chicago, IL 60602

Your firm's name will appear in the IL UCP DBE Directory, which is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The directory can be accessed at <https://pace.diversitycompliance.com/>. Your firm's name will appear in the Directory under the following commodity codes and specialties:

Commodity Codes:

NAICS 541330: ENGINEERING SERVICES
NAICS 541350: BUILDING INSPECTION SERVICES
NAICS 561110: OFFICE ADMINISTRATIVE SERVICES

In the following Specialties:

NAICS 541330: Engineering Services (Specialty – Engineering services construction inspection); NAICS 541350: Building Inspection Services; NAICS 561110: Office Administrative Services (Specialty – Administrative management services)

DBE LETTER OF INTENT - FORM 2

DBE Firm: DB Sterlin Consultants, Inc.

Certifying Agency: Illinois Department of Transportation

Contact Person: Regine Jeune

Certification Expiration Date: June 1, 2025

Address: 123 N. Wacker Drive, Suite 2000

City/State: Chicago/IL Zip: 60606

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 312.857.1006 Fax: 312.857.1056

FEIN #: 36-4149498

Email: rjeune@dbsterlin.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Structural Engineering; Civil Engineering; Survey

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:
Dollar Amount: TBD; Estimated 5%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Regine Jeune
Signature (DBE)

Timothy Whalen
Signature (Prime Bidder/Proposer)

Regine Jeune
Print Name

Timothy Whalen
Print Name

DB Sterlin Consultants, Inc.
Firm Name

AECOM Technical Services, Inc.
Firm Name

4/15/2025
Date

April 16, 2025
Date

Subscribed and sworn before me
this 15th day of April, 2025.

Subscribed and sworn before me
this 16th day of April, 2025.

Notary Public [Signature]

Notary Public [Signature]



SEAL



SEAL



Illinois Department of Transportation

Office of Business & Workforce Diversity
2300 South Dirksen Parkway / Springfield, Illinois 62764

May 28, 2024

CERTIFIED – RETURN RECEIPT REQUESTED

Ms. Florence Sterlin
D B Sterlin Consultants, Inc.
123 N. Wacker Dr., Ste. 2300
Chicago, IL 60606

Dear Ms. Sterlin

The Illinois Department of Transportation (IDOT) has approved the *No Change Affidavit* for D B Sterlin Consultants, Inc. and determined that the firm continues to meet DBE eligibility standards to perform work towards DBE goals.

To remain certified and in good standing, you must annually submit a *No Change Affidavit*. Your firm's next affidavit is due on **June 1, 2025**. IDOT will send an affidavit form 60 days prior to that date.

Should the submitted information change, you are required to notify IDOT's Bureau of Small Business Enterprises within 30 days of the change.

Note: Pursuant to 49 CFR Part 26.83(i), whenever there is a change in circumstances affecting your firm's eligibility status, your firm must provide written notification to IDOT within 30 days of the occurrence of the change. If you fail to make timely notification, it may result in the loss of your firm's certification.

If you have any questions, please contact the Bureau of Small Business Enterprises at (217) 782-5490.

Sincerely,

A handwritten signature in blue ink that reads "Debra A. Clark".

Debra A. Clark
Interim DBE Certification Section Manager
Bureau of Small Business Enterprises

DBE LETTER OF INTENT - FORM 2

DBE Firm: GSG Consultants, Inc.

Certifying Agency: Metra

Contact Person: Ala Sassila

Certification Expiration Date: January 1, 2026 (Pending) *

Address: 735 Remington Road

City/State: Schaumburg/IL Zip: 60173

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 630.994.2600 Fax: N/A

FEIN #: 36-3844476

Email: Asassila@gsg-consultants.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Geotechnical and Environmental Services; Civil Engineering

Indicate the **Dollar Amount, Percentage, and the Terms of Payment** for the above-described Commodities/ Services:
Dollar Amount: TBD; Estimated 4%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Ala Sassila
Signature (DBE)

Timothy Whalen
Signature (Prime Bidder/Proposer)

Ala Sassila
Print Name

Timothy Whalen
Print Name

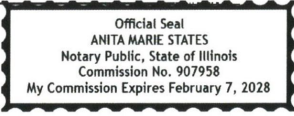
GSG Consultants, Inc.
Firm Name

AECOM Technical Services, Inc.
Firm Name

April 16, 2025
Date

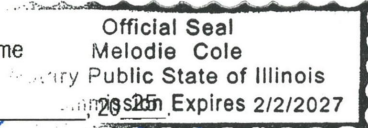
April 17, 2025
Date

Subscribed and sworn before me
this 16th day of April, 2025.



Notary Public Anita Marie States

Subscribed and sworn before me
this 17th day of April, 2025.



Notary Public Melodie Cole

SEAL

SEAL

*GSG Consultants, Inc. (GSG) is an active DBE in the IL UCP. GSG submitted all *No Change Affidavit* documentation to Metra prior to the January 1, 2025 deadline and are waiting for an updated letter from Metra. Please see attached for GSG's certification letter, a copy of the email received from Metra confirming receipt of their *No Change Affidavit* documentation, and a printout showing their active status in the IL UCP directory.



547 W. Jackson Boulevard, Chicago, IL 60661

312.322.6900

metra.com

January 18, 2024

Guillermo Garcia
GSG Consultants, Inc.
735 Remington Road
Schaumburg, IL 60173

Dear Mr. Garcia:

Metra has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm continues to meet the Disadvantaged Business Enterprise (DBE) program certification eligibility standards set forth in 49 CFR Subpart D 26.61. Your next No Change Affidavit is due **January 1, 2025**.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra, and Pace.

If there is any change in your certification that affect your ability to meet size standards, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is grounds for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firms name will appear in the IL UCP directory, which is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The Directory can be accessed at www.metra.com under the Office of Diversity & Business Enterprise link. Your firm's name will appear in the IL UCP DBE Directory under the following:

NAICS Codes: 237310, 237990, 541330, 562910

Specialty: 237310 - Construction Management, Highway, Road, Street and Bridge
237990 - Construction Management, Mass Transit
541330 - Geo-Technical Engineering, Civil Engineering, Construction Inspection,
Industrial Hygiene
562910 - Remediation Services

Your participation on contracts will only be credited toward DBE contract goals when your firm performs in a Commercially Useful Function (CUF) in its approved area(s) of specialty.

Sincerely,

Adriana Mena

Adriana Mena
Sr. DBE Certification Specialist
Office of Diversity & Business Enterprise

From: Dasjah Lewis <DasLewis@METRARR.COM>
Sent: Monday, December 23, 2024 8:01 AM
To: Guillermo Garcia <ggarcia@gsg-consultants.com>
Cc: Michele A. Sutton <MSutton@METRARR.COM>
Subject: DBE Declaration of Eligibility

Good morning,

Your Disadvantaged Business Enterprise (DBE) Declaration of Eligibility has been received and is pending review. GSG Consultants, Inc. remains certified with the Illinois Unified Certification Program (IL UCP) during the certification process. If additional information is required, you will be notified.

If you have any questions or concerns about the review process or the status of your application please contact Michele Sutton, Sr. DBE Certification Specialist II at (312) 322-6328.

Sincerely,
Dasjah



Dasjah Lewis | DBE Coordinator

547 W. Jackson Blvd, Chicago, IL, 60661

P: 312-322-6561 | E: DasLewis@METRARR.COM

Metra is an essential resource that safely and reliably connects individuals to the things that matter most in their lives — their work, their homes and their families.

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Illinois Department of Transportation
Gia Biagi, Acting Secretary



State of Illinois
Governor JB Pritzker

Unified Certification Program - Search

[Browse F.A.Q. Sheet \(/UCP/Search/Help\)](#)

Contractor Details

Print

GSG Consultants, Inc.

Guillermo Garcia
735 Remington Road
Schaumburg, IL 60173-
0000

County: Cook

Email: ggarcia@gsg-consultants.com

Phone: 630-994-2600

Fax: 630-994-2699

Categories: Architecture\Engineering, Construction,
Professional

NAICS

237310 - Highway, Street,
and Bridge Construction
237990 - Other Heavy and
Civil Engineering
Construction
541330 - Engineering
services
541360 - Geophysical
Surveying and Mapping
Services
541370 - Surveying and
Mapping (except
Geophysical) Services
562910 - Remediation
Services

Speciality

237310 - Construction
Management, Highway,
Road, Street and Bridge
237990 - Construction
Management, Mass Transit
541330 - Geo-Technical
Engineering, Civil
Engineering, Construction
Inspection, Industrial
Hygiene
562910 - Remediation
Services
541360 - Geophysical
Surveying and Mapping
Services
541370 - Surveying and
Mapping (except
Geophysical)

DBE LETTER OF INTENT - FORM 2

DBE Firm: J.A. Watts, Inc.

Certifying Agency: City of Chicago

Contact Person: Ed Schoonveld

Certification Expiration Date: February 1, 2026 (Pending) *

Address: 8550 W. Bryn Mawr Ave., Suite 200

City/State: Chicago/IL Zip: 60631

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 312.997.3720 Fax: 312.997.3726

FEIN #: 36-4295682

Email: eschoonveld@jwincorporated.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

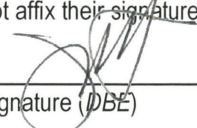
The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Civil Engineering; Electrical Engineering

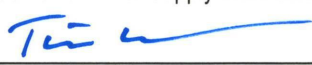
Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 1%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.



Signature (DBE)
Julie Watts



Signature (Prime Bidder/Proposer)
Timothy Whalen

Print Name

Print Name

J.A. Watts, Inc.

Firm Name

AECOM Technical Services, Inc.

Firm Name

April 16, 2025

Date



April 17, 2025

Date



Subscribed and sworn before me

Subscribed and sworn before me

this 16th day of April, 20 25.

this 17th day of April, 20 25.

Notary Public Crystal Carter

Notary Public Melodie Cole

SEAL

SEAL

*J.A. Watts, Inc. (JWI) is an active DBE in the IL UCP. JWI submitted all *No Change Affidavit* documentation to the City of Chicago prior to the February 1, 2025 deadline and are waiting for an updated letter from the City. Please see attached for JWI's certification letter, a printout from the certification application system verifying that JWI's Declaration of Eligibility information was received by the City, and a printout showing their active status in the IL UCP directory.



CITY OF CHICAGO



DEPARTMENT OF PROCUREMENT SERVICES

MAR 20 2024

Julie Watts
J.A. Watts, Inc
940 W. Adams Street, Suite 400
Chicago, Illinois 60607

Dear Ms. Watts:

The City of Chicago has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm, **J.A. Watts, Inc.**, continues to meet the **Disadvantaged Business Enterprise ("DBE")** program certification eligibility standards set forth in 49 CFR Part 26. Your next No Change Affidavit is due **February 1, 2025**.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the City of Chicago, Illinois Department of Transportation, the Chicago Transit Authority, Metra and Pace.

If there is any change in circumstances during the course of your certification period that affect your ability to meet size, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within **thirty (30) days** of the occurrence of the change. Failure to provide this information is a ground for denial of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firm's name will appear in the IL UCP DBE Directory under the following category name(s):

NAICS Code(s):

- 236210 – Industrial Building (except warehouses) Construction**
- 236220 – Commercial Building Construction**
- 237310 – Highway, Road, Street and Bridge Construction**
- 237990 – Railroad Track Maintenance and Construction**
- 541330 – Engineering Services**
- 541611 – Administrative and General Management Consulting Services**
- 561720 – Janitorial Services**

The Directory is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE, and ACDBE firms. The Directory can be accessed on the Internet at <https://webapps.dot.illinois.gov/UCP/ExternalSearch>.

Your participation on contracts will only be credited toward **DBE** contract goals when you perform in your firm's approved area(s) of specialty. Credit for participation in an area outside your specialty requires prior approval (verification of resources, expertise, and corresponding support documentation, etc.).

Sincerely,



Tammi Morgan
Contracting Equity Officer

TM/cm 

Certification Application: Main Summary

[Main](#) [Documents](#) [Signature](#) [Submit](#) [Q & A](#) [Utilities](#) [Cert List](#)

J.A. Watts Inc.

Type: **Declaration of Eligibility Submission**

App #: **2635163**

Status: **Received & In Process**

Started: **11/1/2024**

Submitted: **11/19/2024**

The form is currently being reviewed.

Need Help?

[User manual](#)

Declaration of Eligibility Submission Information

TYPE	Declaration of Eligibility Submission
CERTIFYING AGENCY	City of Chicago
BUSINESS NAME	J.A. Watts Inc.
CURRENT STATUS	Received & In Process
APPLICATION NUMBER	2635163
CONTACT PERSON	Crystal Carter <input type="button" value="v"/> (Add user not on list)

Only the Contact Person can access the application unless you reassign it or grant access through the Utilities tab by adding users to the Access List. Note: If you reassign the Contact Person, you will lose access to the application.

[View and Print App For Your Records](#)

Sections and Documentation

SECTION 1: GENERAL INFORMATION	<input checked="" type="checkbox"/> <input type="button" value="View"/>	Complete: 0 completed of 0 required; 12 completed of 15 optional (by Crystal Carter, 11/1/2024)
DOCUMENT LIST	<input checked="" type="checkbox"/> <input type="button" value="View"/>	Complete: 2 attached of 2 mandatory; 1 attached of 1 required

Signature and Submittal

SIGNATURE	<input checked="" type="checkbox"/> <input type="button" value="View"/>	Signed (Crystal Carter, 11/19/2024)
SUBMITTAL	<input checked="" type="checkbox"/> <input type="button" value="View"/>	Submitted (Crystal Carter, 11/19/2024)

Receipt and Processing

RECEIVED



Received (Sonia Larkin, 11/21/2024)

IN PROCESS



Processing started (Sonia Larkin, 11/21/2024)

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Illinois Department of Transportation
Gia Biagi, Acting Secretary



State of Illinois
Governor JB Pritzker

Unified Certification Program - Search

[Browse F.A.Q. Sheet \(/UCP/Search/Help\)](#)

Contractor Details

Print

J.A. Watts Inc.

Julie Watts Klein
940 W ADAMS ST
CHICAGO, IL 60607-
0000

County: Cook

Email: ccarter@jwincorporated.com

Phone: 312-997-3720

Fax: 312-997-3726

Categories: Construction, Professional

NAICS

236210 - Industrial Building Construction
236220 - Commercial building construction
237310 - Highway, Street, and Bridge Construction
237310 - Road construction
237990 - Railroad construction
237990 - Railway construction (e.g., interlocker, roadbed, signal, track)
541330 - Engineering services
541611 - Administrative Management and General Management Consulting Services
561720 - Janitorial Services

Speciality

236210 – Industrial Building (except warehouses)
Construction 236220 – Commercial Building Construction
237310 – Highway, Road, Street and Bridge Construction
237990 – Railroad Track Maintenance and Construction
541330 – Engineering Services
541611 – Administrative and General Management Consulting Services
561720 – Janitorial Services

DBE LETTER OF INTENT - FORM 2

Johnson Lasky Kindelin Architects, Inc.

DBE Firm: DBA JLK Arch Inc

Certifying Agency: Metra

Contact Person: Meg Kindelin

Certification Expiration Date: May 1, 2025

Address: 230 W. Huron Street, Suite 510

City/State: Chicago/IL Zip: 60654

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 312.375.1221 Fax: n/a

FEIN #: 36-3851436

Email: mkindelin@jlkarch.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes - Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(if more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Architecture

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 1%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Meg Kindelin
Signature (DBE)

Timothy Whalen
Signature (Prime Bidder/Proposer)

Meg Kindelin

Timothy Whalen

Print Name

Print Name

Johnson Lasky Kindelin Architects, Inc.

AECOM Technical Services, Inc.

DBA JLK Arch Inc

Firm Name

Firm Name

4-14-25

April 16, 2025

Date

Date

Subscribed and sworn before me

Subscribed and sworn before me

this 14th day of April, 2025

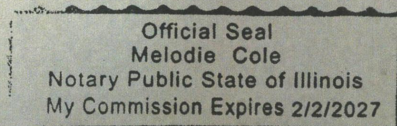
this 16th day of April, 2025

Notary Public O Perez

Notary Public Melodie Cole



SEAL



SEAL



547 W. Jackson Boulevard, Chicago, IL 60661

312.322.6900

metra.com

May 7, 2024

Marguerite Kindelin
Johnson Lasky Kindelin Architects, Inc.
230 West Huron Street, Suite 510
Chicago, IL 60654

Dear Ms. Kindelin:

Metra has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm continues to meet the Disadvantaged Business Enterprise (DBE) program certification eligibility standards set forth in 49 CFR Subpart D 26.61. Your next No Change Affidavit is due **May 1, 2025**.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra, and Pace.

If there is any change in your certification that affect your ability to meet size standards, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is grounds for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firms name will appear in the IL UCP directory, which is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The Directory can be accessed at www.metra.com under the Office of Diversity & Business Enterprise link. Your firm's name will appear in the IL UCP DBE Directory under the following:

NAICS Code: 541310

Specialty: Architectural Design Services

Your participation on contracts will only be credited toward DBE contract goals when your firm performs in a Commercially Useful Function (CUF) in its approved area(s) of specialty.

Sincerely,

Adriana Mena

Adriana Mena
Sr. DBE Certification Specialist
Office of Diversity & Business Enterprise

DBE LETTER OF INTENT - FORM 2

DBE Firm: R.M. Chin & Associates, Inc.

Certifying Agency: City of Chicago

Contact Person: Eileen Chin

Certification Expiration Date: April 30, 2025

Address: 500 W. 18th Street, Suite 200

City/State: Chicago/IL Zip: 60616

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 312.595.2000 Fax: 312.644.0999

FEIN #: 36-3631821

Email: eileenc@rmchin.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(if more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Public Involvement; Civil Engineering

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 6%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

[Signature]
Signature (DBE)

[Signature]
Signature (Prime Bidder/Proposer)

Eileen Chin
Print Name

Timothy Whalen
Print Name

R.M. Chin & Associates, Inc.
Firm Name

AECOM Technical Services, Inc.
Firm Name

April 16, 2025
Date

April 17, 2025
Date

Subscribed and sworn before me

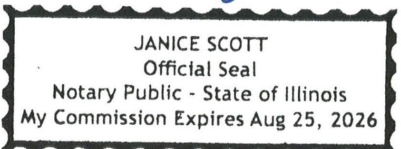
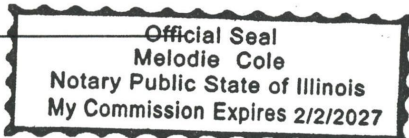
Subscribed and sworn before me

this 16th day of APRIL, 2025.

this 17th day of April, 2025.

Notary Public [Signature]

Notary Public [Signature]



SEAL

SEAL



CITY OF CHICAGO

DEPARTMENT OF PROCUREMENT SERVICES

OCT 23 2024

Eileen Chin
R.M. Chin & Associates, Inc.
500 W. 18th Street, Suite 200
Chicago, Illinois 60616

Dear Ms. Chin:

The City of Chicago has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm, **R.M. Chin & Associates, Inc.**, continues to meet the **Disadvantaged Business Enterprise (“DBE”)**, and **Airport Concession Disadvantaged Business Enterprise (“ACDBE”)** certification program eligibility standards set forth in 49 CFR Parts 23 & 26. Your next No Change Affidavit is due **April 30, 2025**.

This certification allows your firm to participate as a DBE, and as an ACDBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the City of Chicago, Illinois Department of Transportation, the Chicago Transit Authority, Metra and Pace.

If there is any change in circumstances during the course of your certification period that affect your ability to meet size, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within **thirty (30) days** of the occurrence of the change. Failure to provide this information is a ground for denial of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firm’s name will appear in the IL UCP DBE Directory under the following category name(s):

DBE NAICS Code(s):

- 237110 - Construction Management, Water and Sewer Line; Water and Sewage Treatment Plant**
- 237130 - Construction Management, Power and Communication Transmission Line**
- 237310 - Construction Management, Highway, Road, Street and Bridge**
- 237990 - Construction Management, Dam; Mass Transit; Outdoor Recreation Facility; Tunnel**
- 485113 - Bus and Other Motor Vehicle Transit Systems**
- 485119 - Other Urban Transit Systems: Driverless Automatic Transit System**

541330 - Engineering Services
541511 - Computer Software Analysis and Design Services, Custom
541512 - Computer Hardware and Software Consulting Services or Consultants
541519 - Software Installation Services, Computer
541611 - General Management and Strategic Planning Consulting Services
541620 - Environmental Consulting Services
541820 - Public Relations Consulting Services

ACDBE NAICS Code(s):

541511 - Computer Software Analysis and Design Services, Custom
541512 - Computer Hardware and Software Consulting Services or Consultants
541519 - Software Installation Services, Computer
541611 - General Management and Strategic Planning Consulting Services
541820 - Public Relations Consulting Services


The Directory is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE, and ACDBE firms. The Directory can be accessed on the Internet at <https://webapps.dot.illinois.gov/UCP/ExternalSearch>.

Your participation on contracts will only be credited toward **DBE**, and/or **ACDBE** contract goals when you perform in your firm's approved area(s) of specialty. Credit for participation in an area outside your specialty requires prior approval (verification of resources, expertise, and corresponding support documentation, etc.).

Sincerely,



Sharla D. Roberts
Chief Procurement Officer

SDR/cm 

DBE LETTER OF INTENT - FORM 2

DBE Firm: Right of Way Acquisitions, Inc.

Certifying Agency: Illinois Department of Transportation

Contact Person: Digna Gomez

Certification Expiration Date: November 1, 2025

Address: 1000 W. Washington Boulevard, Suite 508

City/State: Chicago/IL Zip: 60607

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 800.406.7902 Fax: 800.406.7902

FEIN #: 71-1047130

Email: dgomez@rowacq.com

Participation: Direct Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes – Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Land Acquisition

Indicate the **Dollar Amount, Percentage, and the Terms of Payment** for the above-described Commodities/ Services:
Dollar Amount: TBD; Estimated 1%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Digna Gomez
Signature (DBE)

Timothy Whalen
Signature (Prime Bidder/Proposer)

Digna Gomez
Print Name

Timothy Whalen
Print Name

Right of Way Acquisitions, Inc.
Firm Name

AECOM Technical Services, Inc.
Firm Name

April 17, 2025
Date

April 17, 2025
Date

Subscribed and sworn before me
this 17 day of April, 2025.

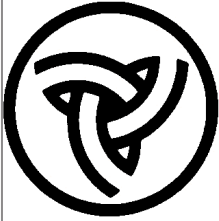
Subscribed and sworn before me
this 17th day of April, 2025.

Notary Public Christopher E Mueller

Notary Public Melodie Cole



SEAL



Illinois Department of Transportation

Office of Business & Workforce Diversity
2300 South Dirksen Parkway / Springfield, Illinois 62764

April 17, 2025

CERTIFIED – RETURN RECEIPT REQUESTED

Ms. Digna Gomez
Right of Way Acquisitions, Inc.
1000 W. Washington Blvd., Ste. 508
Chicago, IL 60607

Dear Ms. Gomez:

The Illinois Department of Transportation (IDOT) has approved the *Declaration of Eligibility* for Right of Way Acquisitions, Inc. and determined that the firm continues to meet DBE eligibility standards to perform work towards DBE goals.

To remain certified and in good standing, you must annually submit a *Declaration of Eligibility*. Your firm's next affidavit is due on **November 1, 2025**. IDOT will send an affidavit form 60 days prior to that date.

Should the submitted information change, you are required to notify IDOT's Bureau of Small Business Enterprises within 30 days of the change.

Note: Pursuant to 49 CFR Part 26.83(i), whenever there is a change in circumstances affecting your firm's eligibility status, your firm must provide written notification to IDOT within 30 days of the occurrence of the change. If you fail to make timely notification, it may result in the loss of your firm's certification.

If you have any questions, please contact the Bureau of Small Business Enterprises at (217) 782-5490.

Sincerely,

A handwritten signature in blue ink that reads "Debra Lee".

Debra Lee
Bureau Chief
Bureau of Small Business Enterprises

DBE LETTER OF INTENT - FORM 2

DBE Firm: SE3, LLC

Certifying Agency: Metra

Contact Person: Jason Martin

Certification Expiration Date: March 1, 2026 (Pending) *

Address: 111 Burlington Avenue, Suite 111

City/State: Lisle/IL Zip: 60532

Bid/Proposal/Contract #: RFQ No. 2138-10282

Phone: 630.641.9900 Fax: 708.469.2566

FEIN #: 201307980

Email: jmartin@se3.us

Participation: [X] Direct [] Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

[X] No [] Yes - Please attach explanation. Proposed Subcontractor(s): N/A

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

Civil Engineering

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

Dollar Amount: TBD; Estimated 1%, Paid When Paid

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Federal, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

PP

Signature (DBE)

Signature (Prime Bidder/Proposer)

Jason Martin

Timothy Whalen

Print Name

Print Name

SE3, LLC

AECOM Technical Services, Inc.

Firm Name

Firm Name

April 16, 2025

April 17, 2025

Date

Date

Subscribed and sworn before me

Subscribed and sworn before me

this 16 day of April, 2025

this 17th day of April, 2025

Notary Public Jeff L. Koch-Luphy

Notary Public Melodie Cole



SEAL

SEAL

*SE3, LLC (SE3) is an active DBE firm in the IL UCP. SE3 submitted all No Change Affidavit documentation to Metra prior to the March 1, 2025 deadline and are working on an updated letter from Metra. Please see attached for an email updating SE3's No Change Affidavit date to March 1, 2025; a copy of SE3's certificate of intent; a copy of the email received from Metra confirming receipt of their No Change Affidavit documentation on 2/25/2026; and a copy of their active status in the IL UCP directory.

Rafael Herrera

From: Adriana Mena <AMena@METRARR.COM>
Sent: Wednesday, October 2, 2024 10:36 AM
To: Nancy Carlson; Michele A. Sutton
Cc: Dasjah Lewis
Subject: RE: DBE Renewal Per the USDOT Final Rule

EXTERNAL EMAIL NOTICE: DO NOT CLICK on links or open attachments unless you are sure the content is safe. If you suspect phishing, click the Report Phishing button in your toolbar.

Good morning,

We currently have the firm listed as having their DOE due in March 1, 2025 to match the due date of your Jurisdiction of Original Certification. The firm should have received communication from our office stating the new due date.

The firm will receive notification 60 days prior to the due date with additional instructions of what needs to be submitted.

Please let me know if you have any questions.

Thank you,



Adriana Mena | Sr DBE Certification Spec
547 W. Jackson Blvd, Chicago, IL, 60661
P: 312 322-7391 | E: AMena@METRARR.COM

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From: Nancy Carlson <ncarlson@se3.us>
Sent: Wednesday, October 2, 2024 10:24 AM
To: Michele A. Sutton <MSutton@METRARR.COM>; Adriana Mena <AMena@METRARR.COM>
Subject: DBE Renewal Per the USDOT Final Rule

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Hello,

Please see the attached letter referencing the USDOT Final Rule to the DBE Program.

Please advise how you wish for SE3 to proceed and if you need any documents (2023 taxes) sent to you.

Thank you,

Nancy Carlson

ncarlson@se3.us

Administrative Assistant



1111 Burlington Avenue, Suite 111
Lisle, IL 60532

Teams: [Click](#)

Office: 630-641-9900

Mobile: 815-325-8884

www.se3.us



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547 W. Jackson Boulevard, Chicago, IL 60661

312.322.6900

metra.com

October 30, 2023

Vernal Stewart
SE3, LLC
8401 EM 350 Hwy
Kansas City, MO 64133

Dear Mr. Stewart:

Metra has reviewed your annual *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm continues to meet the Disadvantaged Business Enterprise (DBE) program certification eligibility standards set forth in 49 CFR Subpart D 26.61. Your next No Change Affidavit is due **October 1, 2024**.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra, and Pace.

If there is any change in your certification that affect your ability to meet size standards, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is grounds for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firms name will appear in the IL UCP directory, which is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The Directory can be accessed at www.metra.com under the Office of Diversity & Business Enterprise link. Your firm's name will appear in the IL UCP DBE Directory under the following:

NAICS Codes: 541330, 541611, 541512

Specialty: 541330 - Freeways, Roads and Streets, Traffic Signals, Studies: Traffic and Safety,
Reconstruction/Major Rehabilitation, Rehabilitation and Construction Inspection

541611 - Program Management, Program Controls

541512 - Systems Design/Deployment

Your participation on contracts will only be credited toward DBE contract goals when your firm performs in a Commercially Useful Function (CUF) in its approved area(s) of specialty.

Sincerely,

Michele Sutton

Michele Sutton
Sr. DBE Certification Specialist
Office of Diversity & Business Enterprise



RE: SE3 DBE Renewal Documents Attached

From Dasjah Lewis <daslewis@metrarr.com>
Date Tue 2/25/2025 8:49 AM
To Nancy Carlson <ncarlson@se3.us>
Cc Michele A. Sutton <MSutton@METRARR.COM>

EXTERNAL EMAIL NOTICE: DO NOT CLICK on links or open attachments unless you are sure the content is safe. If you suspect phishing, click the Report Phishing button in your toolbar.

Good morning,

Your Disadvantaged Business Enterprise (DBE) Declaration of Eligibility has been received and is pending review. SE3, LLC remains certified with the Illinois Unified Certification Program (IL UCP) during the certification process. If additional information is required, you will be notified.

If you have any questions or concerns about the review process or the status of your application please contact Michele Sutton, Sr. DBE Certification Specialist at (312) 322-6328.

Sincerely,
Dasjah



Dasjah Lewis | DBE Coordinator
547 W. Jackson Blvd, Chicago, IL, 60661
P: 312-322-6561 | E: DasLewis@METRARR.COM

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From: Nancy Carlson <ncarlson@se3.us>
Sent: Tuesday, February 25, 2025 8:17 AM
To: Dasjah Lewis <daslewis@metrarr.com>
Subject: SE3 DBE Renewal Documents Attached

[CAUTION:] This email originated from outside of Metra. Do not click links, open attachments or forward unless you recognize the sender and know the content is safe. Suspicious email should be deleted or reported to the Metra Helpdesk at 312-322-6508.

Good morning, Dasjah,

Please find attached the documents to process our DBE renewal.

Please let me know if you need anything else.

4/14/25, 9:50 AM

RE: SE3 DBE Renewal Documents Attached - Rafael Herrera - Outlook

Thank you and have a great day.

Nancy Carlson

ncarlson@se3.us

Administrative Assistant

1111 Burlington Ave., Suite 111

Lisle, IL 60532

Teams: [Click](#)

Mobile: 815-325-8884

Office: 630-641-9900


www.se3.us


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 Illinois Department of Transportation
Gia Biagi, Acting Secretary

 State of Illinois
Governor JB Pritzker

Unified Certification Program - Search

[Browse F.A.Q. Sheet \(/UCP/Search/Help\)](#)

Contractor Details

Print

SE3, LLC **Email:** certifications@se3.us
Vernal Stewart **Phone:** 816-272-5545
8401 EM 350 Hwy **Fax:** 816-817-0747
Kansas City, MO 64133-
0000

County: Jackson

Categories: Architecture\Engineering, Professional

NAICS	Speciality
541330 - Engineering services	541330 - Freeways, Roads and Streets, Traffic Signals, Studies:
541512 - Computer Systems Design Services	Traffic and Safety, Reconstruction/Major
541611 - Administrative Management and General Management Consulting Services	Rehabilitation, Rehabilitation and Construction Inspection
	541611 - Program Management, Program Controls
	541512 - Systems Design/Deployment

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: 2IM Group, LLC
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Luis Montgomery
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): luis.m@2imgroup.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 118 S. Clinton Street, Suite 350
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60661
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 312.441.9554, F: N/A
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Hydraulics and Drainage; Civil Engineering	Estimated 4%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title


Prime Contractor Signature

April 16, 2025

Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: American Surveying & Engineering, Ltd.
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Coventine Fidis Subconsultant:
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): c.fidis@americansurvey.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 120 N. LaSalle Street, Suite 3350
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60602
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 312.277.2000, F: 815.288.6277
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Topographic and ROW Surveying; Land Acquisition	Estimated 5%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title


Prime Contractor Signature

April 16, 2025

Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: Atlas Engineering Group, Ltd.
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Natalia Homedi
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): nhomedi@aegroupltd.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 211 W. Wacker Drive, Suite 730
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60606
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 847.753.8020, F: 847.753.8023
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Civil Engineering	Estimated 5%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title



April 16, 2025

Prime Contractor Signature

Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: C.C. Johnson & Malhotra, P.C. DBA CCJM Engineers, Ltd.
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Paul Ghassan
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): pghassan@ccjm.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 303 E. Wacker Drive, Suite 303
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60601
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 312.669.0609, F: N/A
Estimated Start and Completion Dates (Contractor): 2023 to 2027	Estimated Start and Completion Dates (Subcontractor): 2023 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Mechanical, Electrical, Plumbing Engineering	Estimated 1%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title



April 16, 2025

Prime Contractor Signature

Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

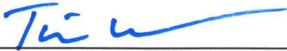
Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: Vaughn Management LLC DBA CERA Solutions
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Stephen Vaughn
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): svaughn@cerasolutions.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 125 S. Wacker Drive, Suite 300
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL. 60606
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 773.997.2204, F: N/A
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Civil Engineering	Estimated 3%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor
 Timothy Whalen
 Name
 Vice President
 Title

 Prime Contractor Signature
 April 16, 2025
 Date

Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form

OCPO ONLY:
<input type="checkbox"/> Disqualification <input type="checkbox"/> Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: DB Sterlin Consultants, Inc.
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Regine Jeune
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): rjeune@dbsterlin.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 123 N. Wacker Drive, Suite 2000
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60606
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 312.857.1006, F: 312.857.1056
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Structural Engineering; Civil Engineering; Survey	Estimated 5%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title



April 16, 2025

Prime Contractor Signature

Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: GSG Consultants, Inc.
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Ala Sassila Subconsultant:
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): asassila@gsg-consultants.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 735 Remington Road
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Schaumburg, IL 60173
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 630.994.2610, F: N/A
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Geotechnical and Environmental Services; Civil Engineering	Estimated 4%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title



April 16, 2025

Prime Contractor Signature

Date

Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be J.A. Watts, Inc. added or substitute:
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Ed Schoonveld Subconsultant:
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): eschoonveld@jwincorporated.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 940 W. Adams Street, Suite 400
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60607
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 312.997.3720, F: 312.997.3726
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Civil Engineering; Electrical Engineering	Estimated 1%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title



April 16, 2025

Prime Contractor Signature

Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.


Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: Johnson Lasky Kindelin Architects, Inc. DBA JLK Architects
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Meg Kindelin
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): mkindelin@jlkarch.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 230 W. Huron Street, Suite 510
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60654
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 312.357.1221, F: N/A
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Architecture	Estimated 1%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor
 Timothy Whalen
 Name
 Vice President
 Title

 Prime Contractor Signature
 April 16, 2025
 Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: R.M. Chin and Associates, Inc.
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Eileen Chin Subconsultant:
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): eileenc@rmchin.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 500 W. 18th Street, Suite 200
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60616
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 312.595.2000, F: 312.644.0999
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Public Involvement; Civil Engineering	Estimated 6%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title



April 16, 2025

Prime Contractor Signature

Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.


Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be Right of Way Acquisitions, Inc. added or substitute:
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Digna Gomez Subconsultant:
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): dgomez@rowacq.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address 1000 W. Washington Boulevard, Suite 508 (Subcontractor):
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60607
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 800.406-7902 F: 800.406.7902
Estimated Start and Completion Dates 2022 to 2027 (Contractor):	Estimated Start and Completion Dates 2022 to 2027 (Subcontractor):

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Land Acquisition	Estimated 1%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor	
Timothy Whalen	
Name	
Vice President	
Title	
	April 16, 2025
Prime Contractor Signature	Date

Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

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Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be SE3, LLC added or substitute:
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Jason Martin
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): jmartin@se3.us
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 1111 Burlington Avenue, Suite 111
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Lisle, IL 60532
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 630.641.9900, F: 708.469.2566
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Civil Engineering	Estimated 1%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor
 Timothy Whalen
 Name
 Vice President
 Title

 Prime Contractor Signature
 April 16, 2025
 Date

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="checkbox"/>	Disqualification
<input type="checkbox"/>	Check Complete

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Bid/RFP/RFQ No.: 2138-10282	Date: April 16, 2025
Total Bid or Proposal Amount: TBD	Contract Title: Design Engineering Services
Contractor: AECOM Technical Services, Inc.	Subcontractor/Supplier/ Subconsultant to be added or substitute: Atlas Technical Consultants, LLC formerly TranSmart, Inc.
Authorized Contact for Contractor: Timothy Whalen	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Jing (Connie) Li
Email Address (Contractor): tim.whalen@aecom.com	Email Address (Subcontractor): cli@transmartinc.com
Company Address (Contractor): Prudential Plaza 1, 130 E. Randolph Street, Suite 2400	Company Address (Subcontractor): 100 S. Wacker Drive, Suite 400
City, State and Zip (Contractor): Chicago, IL 60601	City, State and Zip (Subcontractor): Chicago, IL 60606
Telephone and Fax (Contractor): T: 312.373.6736, F: 312.373.6800	Telephone and Fax (Subcontractor): T: 312.972.1700, F: N/A
Estimated Start and Completion Dates (Contractor): 2022 to 2027	Estimated Start and Completion Dates (Subcontractor): 2022 to 2027

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Traffic Engineering; Signals; Civil Engineering	Estimated 2%

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

AECOM Technical Services, Inc.

Contractor

Timothy Whalen

Name

Vice President

Title



April 16, 2025

Prime Contractor Signature

Date

November 14, 2024

Via Regular Mail and E-mail: brian.czosnyka@aecom.com

Mr. Brian Czosnyka or Contract Manager
AECOM Technical Services, Inc.
303 E. Wacker Dr.
Ste. 1400
Chicago, Illinois 60601

RE: Transfer of Operations from TranSmart, LLC to Atlas Technical Consultants LLC

Dear Mr. Czosnyka:

In a letter sent to you in March 2022, TranSmart, LLC (“TranSmart”) informed you of a transaction with Atlas Technical Consultants LLC (“Atlas”) that resulted in TranSmart becoming a wholly-owned subsidiary of Atlas. This letter (“Letter”) is intended to provide notice that Atlas plans to transfer the operations of TranSmart to Atlas, its parent company, with an anticipated transfer date of January 1, 2025 (the “Succession”). After the Succession, the name of the company performing services will be Atlas Technical Consultants LLC, which has a different EIN than TranSmart, and future invoices will come from Atlas.

TranSmart is currently a party to the agreements with AECOM Technical Services, Inc. (the “Client”) listed on Schedule A attached hereto (the “Agreements”). Pursuant to Section 21 of the Agreements, TranSmart shall not assign or subcontract any part of the Agreements, nor any rights or obligations in the Agreements without the prior written consent of the Client.


By this Letter, and effective as of the date of the Succession referenced above, Atlas hereby accedes to all of the terms, conditions and requirements of the Agreements. Additionally, upon the effective date of the Succession, please be advised that Atlas will perform the work required by the Agreements with the existing TranSmart professionals and there will be no interruption in the services provided pursuant to the Agreements.

We respectfully request that you countersign this letter to acknowledge the Succession and the other terms of this letter and return an executed copy to: TranSmart, LLC, 100 S. Wacker Drive, Suite 400, Chicago, IL 60606, Attn: Jing (Connie) Li or e-mail it to connie.li@oneatlas.com. Alternatively, to the extent you have other documentation necessary to effectuate the Succession, we are happy to execute such form(s) in accordance with your internal procedures.

Thank you in advance for your cooperation. If you have any questions regarding this letter, please do not hesitate to contact me at (312) 922-1700 Ex. 100. We appreciate your assistance and thank you in advance for your prompt attention to this matter.

Very truly yours,

TranSmart, LLC

By:  _____
Jing (Connie) Li, PE, PhD
President

Acknowledged and Agreed to this _____ day of _____, 2024.

AECOM Technical Services, Inc.

By: _____
Name: _____
Title: _____

Schedule A

Contracts to which TranSmart, LLC is a Subcontractor:

Contract Number	Owner Agency	Description
PO#148856, Spec#70270	CDOT	Chicago -Halsted Viaduct
PO#38938, Spec#129543	CDOT	Surface Transportation Planning Studies
PO#44371, Spec#114941	CDOT	Walk to Transit
PO#32203, Spec#118890	CDOT	Grand Ave Ogden to Canal St
PO#102104	CDOT	Roadway & Electrical Plan & Spec Release 4
PO#44253, Spect#116875	CDOT	Cicero Ave Bascule Bridge Phase 2
PO#44315, Spec#94238	CDOT	Consulting Services Master
PO#146234 AECOM Project #60686665	CTA	Bus Priority Zone (BPZ) Improvement
AECOM Project#60716787	CCDOETH	Var/Var Design Engineering Services
D-91-022-14	IDOT	IL Route 47 from N of IL 120 to US 14
RR-16-4265	ISTHA	I-294 Design Corridor Manager



ADDITIONAL REMARKS SCHEDULE

AGENCY Marsh Risk & Insurance Services		NAMED INSURED AECOM AECOM Technical Service, Inc. 130 East Randolph Street, Suite 2400 Chicago, IL 60601	
POLICY NUMBER		EFFECTIVE DATE:	
CARRIER	NAIC CODE		

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,
FORM NUMBER: 25 **FORM TITLE:** Certificate of Liability Insurance

This insurance is primary and non-contributory over any existing insurance and limited to liability arising out of the operations of the named insured and where required by written contract with respect to the GL & AL coverages. Waiver of Subrogation is applicable where required by written contract with respect to GL, AL and WC. Please note AECOM complies with the General Liability, Auto Liability, Workers Compensation and Umbrella limit requirements. Required Umbrella limits sit above the primary limits increasing the total limits. Umbrella limits are shown as the difference between the total limits and the maximum primary limits.

Cyber Liability, Carrier: Steadfast Insurance Company, NAIC #: 26387, Policy #: SPR106530307, Policy Term: 10/01/2024 - 10/01/2025, SIR: \$2,500,000; Limit: \$1,000,000.



**Cook County
Office of the Chief Procurement Officer**

Economic Disclosure Statement Recertification Affidavit

Applicant/Holder Name: AECOM Technical Services, Inc.

Contract #: 2138-10282

Address: 130 East Randolph Street, 24th Floor

City: Chicago

County: Cook

State: IL

Zip: 60601

Phone: 312.373.7700

Email: tim.whalen@aecom.com

Instructions

If the Applicant is a corporation, the President must execute this affidavit. If executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization, satisfactory to the County that permits the person to execute this affidavit for the corporation.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute this affidavit, unless one partner or joint venturer has been authorized to sign.

If the Applicant is a member-managed LLC all members must execute this affidavit, unless otherwise provided in the operating agreement, resolution or other corporate documents.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute this affidavit.

This recertification is being submitted in connection with

Contract Name: Design Engineering Services Various Various (Task Orders)

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this Economic Disclosure Statement ("EDS") recertification on behalf of the Applicant/Holder, (2) warrants that all certifications and statements contained in the Applicant/Holder's last submitted EDS dated February 3, 2022 are true, accurate and complete as of the date furnished to the County and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

Recertification of:

- Certifications (SECTION 2), if applicable, as updated on: 2/03/2022
- Economic and Other Disclosures (SECTION 3), if applicable, as updated on: 2/03/2022
- Cook County Child Support Affidavit (Please submit any additional Child Support Obligations as an attachment to this form), if applicable, as updated on: 4/14/2025
- Cook County Disclosure of Ownership Interest Statement, if applicable, as updated on: 4/14/2025
- Cook County Board of Ethics Familial Relationship Disclosure Form, if applicable, as updated on: 4/29/2025
- Cook County Affidavit for Wage Theft Ordinance (SECTION 4), if applicable, as updated on: 2/03/2022

If your recertification of any of the above is related to information contained in an updated form submitted after the last submitted full EDS, please indicate the date such information was updated.

IMPORTANT: If you are unable to re-certify any section(s) of your previous EDS, please submit a truthful, fully updated version of that section(s) of the EDS including separate signatures where required.

By: AECOM Technical Services, Inc.
(Print or type legal name of Applicant/Holder)

Date: April 14, 2025


President or authorized signatory (Signature)

Print or type name of President or authorized signatory:

Timothy Whalen

Title of signatory:

Vice President

Subscribed and sworn to before me on this 14 day of April, 2025

Notary Public Signature:  Seal:



COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing. **County reserves the right to request additional information to verify veracity of information contained in this statement.**

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the Applicant or Stock/Beneficial Interest Holder

This Statement is an: Original Statement or Amended Statement

Identifying Information:

Name AECOM Technical Services, Inc.

D/B/A: _____ FEIN # Only: 95-2661922

Street Address: 300 South Grand Ave.

City: Los Angeles State: CA Zip Code: 90071

Phone No.: _____ Fax Number: _____ Email: _____

Cook County Business Registration Number: _____
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): _____

Form of Legal Entity:

Sole Proprietor Partnership Corporation Trustee of Land Trust

Business Trust Estate Association Joint Venture

Other (describe) _____

Ownership Interest Declaration:

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
N/A		

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address
N/A		

3. Is the Applicant constructively controlled by another person or Legal Entity? [] Yes [] No
 If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship
The Earth Technology Corporation (USA)	300 South Grand Ave., Los Angeles, CA 90071	100%	Immediate Parent

Corporate Officers, Members and Partners Information:

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.


Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
Karl Jensen	300 South Grand Ave, Los Angeles, CA 90071	CEO	Continual
Matt Crane	300 South Grand Ave, Los Angeles, CA 90071	President	Continual
Armond Tatevossian	300 South Grand Ave, Los Angeles, CA 90071	Secretary	Continual
Allison Hall	300 South Grand Ave, Los Angeles, CA 90071	CFO / Treasurer	Continual

Declaration (check the applicable box):

- I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT SIGNATURE PAGE

Timothy Whalen

 Name of Authorized Applicant/Holder Representative (please print or type)


 Signature
 tim.whalen@aecom.com


 E-mail address

Vice President

 Title
 APRIL 14, 2025

 Date
 312.373.6736

 Phone Number

Subscribed to and sworn before me
 this 14 day of April, 2025

 X _____
 Notary Public Signature

My commission expires: Official Seal
 Melodie Cole
 Notary Public State of Illinois
 My Commission Expires 2/2/2027

 Notary Seal



COOK COUNTY BOARD OF ETHICS
 69 W. WASHINGTON STREET, SUITE 3040
 CHICAGO, ILLINOIS 60602
 312/603-4304 Office 312/603-9988 Fax

FAMILIAL RELATIONSHIP DISCLOSURE PROVISION

Nepotism Disclosure Requirement:

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

Additional Definitions:

“*Familial relationship*” means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- | | | |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent | <input type="checkbox"/> Grandparent | <input type="checkbox"/> Stepfather |
| <input type="checkbox"/> Child | <input type="checkbox"/> Grandchild | <input type="checkbox"/> Stepmother |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law | <input type="checkbox"/> Stepson |
| <input type="checkbox"/> Sister | <input type="checkbox"/> Mother-in-law | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt | <input type="checkbox"/> Son-in-law | <input type="checkbox"/> Stepbrother |
| <input type="checkbox"/> Uncle | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister |
| <input type="checkbox"/> Niece | <input type="checkbox"/> Brother-in-law | <input type="checkbox"/> Halfbrother |
| <input type="checkbox"/> Nephew | <input type="checkbox"/> Sister-in-law | <input type="checkbox"/> Halfsister |

**COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY

Name of Person Doing Business with the County: AECOM Technical Services, Inc.

Address of Person Doing Business with the County: 130 East Randolph Street, 24th Floor Chicago IL 60601

Phone number of Person Doing Business with the County: 312.373.7700

Email address of Person Doing Business with the County: tim.whalen@aecom.com

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

Timothy Whalen, Vice President 312.373.6736 tim.whalen@aecom.com

B. DESCRIPTION OF BUSINESS WITH THE COUNTY

Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the preceding calendar year if disclosure is made on January 1), identify:

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: _____

2138-10282A

The aggregate dollar value of the business you are doing or seeking to do with the County: \$ TBD (\$7,000,000 upper limit)

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: _____

Rahel Abraham, Lead Contract Negotiator, Construction/Architecture and Engineering 312.603.5380

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: _____

Noel Basquin, DOTH Bureau Chief of Design 312.603.1595

C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS

Check the box that applies and provide related information where needed

- The Person Doing Business with the County **is an individual** and there is **no familial relationship** between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.
- The Person Doing Business with the County **is a business entity** and there is **no familial relationship** between any member of this business entity’s board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

The Person Doing Business with the County **is an individual** and **there is a familial relationship** between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

If more space is needed, attach an additional sheet following the above format.

The Person Doing Business with the County **is a business entity** and **there is a familial relationship** between at least one member of this business entity’s board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
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_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

If more space is needed, attach an additional sheet following the above format.

VERIFICATION: To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.



 Signature of Recipient

April 29, 2025

 Date

SUBMIT COMPLETED FORM TO: Cook County Board of Ethics
 69 West Washington Street, Suite 3040, Chicago, Illinois 60602
 Office (312) 603-4304 – Fax (312) 603-9988
 CookCounty.Ethics@cookcountyil.gov

* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (*i.e.* in laws and step relations) or adoption.