

AMENDMENT NO. 1

This Amendment modifies Contract No. 1455-13427, for Pavement Resurfacing Enhancement Program North 2014 by and between the County of Cook, Illinois, herein referred to as "County" and Plote Construction Inc., authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

RECITALS

Whereas, the County and Contractor have entered into a Contract approved by the County Board on June 18, 2014, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide construction services (hereinafter referred to as the "Services") from June 25, 2014 through June 24, 2016, in an amount not to exceed \$2,595,071.35; and

Whereas, an increase in the amount of \$1,847.73 is required for the continuation of Services; and

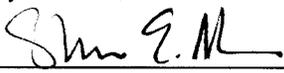
Whereas, the County and Contractor desire to include additional scope of services to the Contract; and

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:

1. The Contract is increased by \$1,847.73 and the Total Contract Amount is revised to \$2,596,919.08.
2. The Contract is hereby amended to incorporate Attachment A and made part of the Contract.
3. The attached Economic Disclosure Statement form is incorporated and made a part of this Contract.
4. All other terms and conditions remain as stated in the Contract.

In witness whereof, the County and Contractor have caused this Amendment No. 1 to be executed on the date and year last written below.

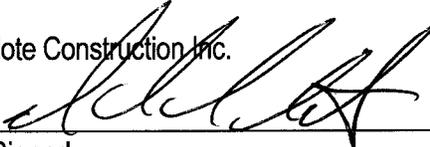
County of Cook, Illinois

By: 
Chief Procurement Officer

By: 
State's Attorney

Date: 20 October 2015

Plote Construction Inc.


Signed

Daniel R. Plote
Type or print name
President
Title

Date: 7/30/15

ATTACHMENT A

CONTRACT CHANGE ORDER



**COOK COUNTY DEPARTMENT OF
TRANSPORTATION AND HIGHWAYS
BUREAU OF CONSTRUCTION**

Project: Pavement Resurfacing Enhancement
Program North 2014
Section: 13-PREP-N-01-RS
Contract: 1455-13427
GC: Plote Construction Inc.

Date: 6/29/2015
Chief Engr: Holly Cichy, P.E.
RE: Hassan Mohebbi, P.E.
SE: Mark McMillan, P.E.
OSE: Aaron Lebowitz, P.E.

Number: 1

Contract Amount: \$2,595,071.35

ITEM	DESCRIPTION	UNIT	UNIT PRICE	CONTRACT QUANTITIES	ADJUSTMENTS	AMOUNT OF THIS CHANGE	CHANGES TO DATE
1	HOT-MIX ASPHALT SURFACE REMOVAL, 2 1/2 IN [M/WBE - P]	SQ.YD.	\$5.00	8,815.00	14,433.00	\$72,165.00	\$72,165.00
2	HOT-MIX ASPHALT SURFACE REMOVAL, 4 IN [M/WBE - P]	SQ.YD.	\$6.15	80,710.00	(6,784.00)	(\$41,721.60)	(\$41,721.60)
3	BITUMINOUS MATERIALS (PRIME COAT)	GAL.	\$0.01	17,905.00	(7,662.00)	(\$76.62)	(\$76.62)
4	AGGREGATE (PRIME COAT)	TON	\$10.00	180.00	(113.00)	(\$1,130.00)	(\$1,130.00)
5	POLYMERIZED LEVELING BINDER (MACHINE METHOD) IL-4.75, N50 [M/WBE - P]	TON	\$135.00	371.00	(1.00)	(\$135.00)	(\$135.00)
6	HOT-MIX ASPHALT BINDER COURSE, IL-19.0, N70 [M/WBE - P]	TON	\$74.00	10,170.00	(992.00)	(\$73,408.00)	(\$73,408.00)
7	HOT-MIX ASPHALT SURFACE COURSE, MIX "D", IL-9.5, N70 [M/WBE - P]	TON	\$84.00	8,777.00	856.00	\$71,904.00	\$71,904.00
8	CONSTRUCTING TEST STRIP	EACH	\$1,500.00	6.00	(5.00)	(\$7,500.00)	(\$7,500.00)
9	CUTTING HOT-MIX ASPHALT SURFACE	FOOT	\$3.00	1,468.00	(1,468.00)	(\$4,404.00)	(\$4,404.00)
10	TEMPORARY BUTT JOINTS	FOOT	\$9.00	1,468.00	(1,121.00)	(\$10,089.00)	(\$10,089.00)
11	COMBINATION CURB AND GUTTER REMOVAL [MBE]	FOOT	\$10.00	400.00	830.00	\$8,300.00	\$8,300.00
12	COMBINATION CONCRETE CURB AND GUTTER, TYPE B-6.12 [MBE]	FOOT	\$35.00	150.00	520.00	\$18,200.00	\$18,200.00
13	COMBINATION CONCRETE CURB AND GUTTER, TYPE B-6.24 [MBE]	FOOT	\$45.00	250.00	310.00	\$13,950.00	\$13,950.00
14	SIDEWALK REMOVAL [MBE]	SQ.FT.	\$3.00	1,400.00	262.00	\$786.00	\$786.00
15	AGGREGATE BASE COURSE, TYPE B, 4 IN [MBE]	SQ.YD.	\$4.00	75.00	232.00	\$928.00	\$928.00
16	PORTLAND CEMENT CONCRETE SIDEWALK, 5 IN [MBE]	SQ.FT.	\$12.00	525.00	1,112.00	\$13,344.00	\$13,344.00
17	PORTLAND CEMENT CONCRETE SIDEWALK, 8 IN [MBE]	SQ.FT.	\$15.00	875.00	(875.00)	(\$13,125.00)	(\$13,125.00)
18	DETECTABLE WARNINGS [MBE]	SQ.FT.	\$35.00	450.00	(230.00)	(\$8,050.00)	(\$8,050.00)
19	GUARDRAIL REMOVAL	FOOT	\$7.00	450.00	(300.00)	(\$2,100.00)	(\$2,100.00)
20	STEEL PLATE BEAM GUARDRAIL, TYPE A	FOOT	\$22.00	50.00	(50.00)	(\$1,100.00)	(\$1,100.00)
21	TRAFFIC BARRIER TERMINAL, TYPE 1, SPECIAL	EACH	\$2,400.00	8.00	(5.00)	(\$12,000.00)	(\$12,000.00)
22	FRAMES AND LIDS TO BE ADJUSTED, SPECIAL [MBE]	EACH	\$600.00	36.00	(6.00)	(\$3,600.00)	(\$3,600.00)
23	INLET FILTERS [MBE]	EACH	\$75.00	36.00	(36.00)	(\$2,700.00)	(\$2,700.00)
24	FRAMES AND GRATES, TYPE 23V [MBE]	EACH	\$300.00	5.00	(5.00)	(\$1,500.00)	(\$1,500.00)
25	FRAMES AND GRATES, TYPE 24V [MBE]	EACH	\$300.00	5.00	(5.00)	(\$1,500.00)	(\$1,500.00)
26	FRAMES AND LIDS, TYPE 1 (CLOSED) [MBE]	EACH	\$300.00	5.00	(5.00)	(\$1,500.00)	(\$1,500.00)
27	WATERPROOFING SANITARY FRAMES AND LIDS TO BE ADJUSTED, SPECIAL [MBE]	EACH	\$1,200.00	5.00	(5.00)	(\$6,000.00)	(\$6,000.00)
28	WATERPROOFING SANITARY MANHOLES TO BE RECONSTRUCTED, SPECIAL [MBE]	EACH	\$2,000.00	2.00	(2.00)	(\$4,000.00)	(\$4,000.00)
29	INLETS TO BE RECONSTRUCTED, SPECIAL [MBE]	EACH	\$1,200.00	2.00	(2.00)	(\$2,400.00)	(\$2,400.00)
30	CATCH BASINS TO BE RECONSTRUCTED, SPECIAL [MBE]	EACH	\$1,500.00	2.00	5.00	\$7,500.00	\$7,500.00
31	MANHOLES TO BE RECONSTRUCTED, SPECIAL [MBE]	EACH	\$1,500.00	2.00	(2.00)	(\$3,000.00)	(\$3,000.00)
32	VALVE VAULTS TO BE RECONSTRUCTED, SPECIAL [MBE]	EACH	\$1,200.00	2.00	(2.00)	(\$2,400.00)	(\$2,400.00)

CONTRACT CHANGE ORDER



COOK COUNTY DEPARTMENT OF TRANSPORTATION AND HIGHWAYS BUREAU OF CONSTRUCTION

Project: Pavement Resurfacing Enhancement
Program North 2014
Section: 13-PREPN-01-RS
Contract: 1455-13427
GC: Plote Construction Inc.

Date: 6/29/2015
Chief Engr: Holly Cichy, P.E.
RE: Hassan Mohebbi, P.E.
SE: Mark McMillan, P.E.
OSE: Aaron Lebowitz, P.E.

Number: 1

Contract Amount: \$2,595,071.35

ITEM	DESCRIPTION	UNIT	UNIT PRICE	CONTRACT QUANTITIES	ADJUSTMENTS	AMOUNT OF THIS CHANGE	CHANGES TO DATE
33	AGGREGATE SHOULDERS, TYPE A [M/WBE - P]	TON	\$177.00	150.00	289.00	\$51,153.00	\$51,153.00
34	TOPSOIL FURNISH AND PLACE, 4 IN	SQ.YD.	\$10.00	183.00	(91.00)	(\$910.00)	(\$910.00)
35	SEEDING, CLASS 1A	ACRE	\$50,000.00	0.35	(0.33)	(\$16,500.00)	(\$16,500.00)
36	EROSION CONTROL BLANKET	SQ.YD.	\$10.00	183.00	(183.00)	(\$1,830.00)	(\$1,830.00)
37	PHOSPHORUS FERTILIZER NUTRIENT	POUND	\$5.00	34.00	(34.00)	(\$170.00)	(\$170.00)
38	POTASSIUM FERTILIZER NUTRIENT	POUND	\$5.00	34.00	(34.00)	(\$170.00)	(\$170.00)
39	NITROGEN FERTILIZER NUTRIENT	POUND	\$5.00	34.00	(34.00)	(\$170.00)	(\$170.00)
40	THERMOPLASTIC PAVEMENT MARKING - LINE 4 IN	FOOT	\$0.55	94,829.00	688.00	\$378.40	\$378.40
41	THERMOPLASTIC PAVEMENT MARKING - LINE 6 IN	FOOT	\$0.80	2,403.00	(14.00)	(\$11.20)	(\$11.20)
42	THERMOPLASTIC PAVEMENT MARKING - LINE 8 IN	FOOT	\$1.15	845.00	402.00	\$462.30	\$462.30
43	THERMOPLASTIC PAVEMENT MARKING - LINE 12 IN	FOOT	\$1.70	1,666.00	248.00	\$421.60	\$421.60
44	THERMOPLASTIC PAVEMENT MARKING - LINE 24 IN	FOOT	\$0.35	660.00	(169.00)	(\$59.15)	(\$59.15)
45	THERMOPLASTIC PAVEMENT MARKING - LETTERS AND SYMBOLS	SQ.FT.	\$3.50	811.00	(50.00)	(\$175.00)	(\$175.00)
46	RAISED REFLECTIVE PAVEMENT MARKER REMOVAL	EACH	\$15.00	492.00	(135.00)	(\$2,025.00)	(\$2,025.00)
47	RAISED REFLECTIVE PAVEMENT MARKER	EACH	\$25.00	732.00	(97.00)	(\$2,425.00)	(\$2,425.00)
48	RAILROAD PROTECTIVE LIABILITY INSURANCE	L.SUM	\$4,000.00	1.00		\$0.00	\$0.00
49	SURVEY MONUMENTS	EACH	\$1,250.00	9.00	(9.00)	(\$11,250.00)	(\$11,250.00)
50	ENGINEERS FIELD OFFICE, TYPE A	CAL.MO.	\$2,800.00	9.00	(9.00)	(\$25,200.00)	(\$25,200.00)
51	TRAFFIC PROTECTION	L.SUM	\$48,000.00	1.00		\$0.00	\$0.00
52	CONTRACT EXTRA WORK	UNIT	\$1.00	40,000.00		\$0.00	\$0.00
53	CLASS C PATCHES, TYPE II, 10 IN [MBE]	SQ.YD.	\$99.00	325.00		\$0.00	\$0.00
54	CLASS C PATCHES, TYPE III, 10 IN [MBE]	SQ.YD.	\$94.00	250.00		\$0.00	\$0.00
55	CLASS D PATCHES, TYPE II, 8 IN [M/WBE - P]	SQ.YD.	\$99.00	200.00		\$0.00	\$0.00
56	CLASS D PATCHES, TYPE III, 8 IN [M/WBE - P]	SQ.YD.	\$94.00	150.00		\$0.00	\$0.00
*57	RAIL ROAD FLAGGER (T&M)	L.SUM	\$2,205.00		1.00	\$2,205.00	\$2,205.00
*58	FRAMES & LIDS TO BE ADJUSTED	EACH	\$345.00		13.00	\$4,485.00	\$4,485.00

AMOUNT: \$1,847.73 \$1,847.73

ADJUSTED CONTRACT AMOUNT: \$2,596,919.08

% CHANGE: 0.1%

CONTRACT CHANGE ORDER



COOK COUNTY DEPARTMENT OF
TRANSPORTATION AND HIGHWAYS
BUREAU OF CONSTRUCTION

Project: Pavement Resurfacing Enhancement
Program North 2014

Date: 6/29/2015

Section: 13-PREPN-01-RS

Chief Engr: Holly Cichy, P.E.

RE: Hassan Mohebbi,
P.E.

Contract: 1455-13427

SE: Mark McMillan, P.E.

GC: Plote Construction Inc.

OSE: Aaron Lebowitz, P.E.

Number: 1

Contract Amount: \$2,595,071.35

To: Chief Procurement Officer

Please be advised of a proposed contract change order on the above captioned project, as follows:

LINE ITEM CHANGES

Items 1, 2, 6, & 7:

It was the intent of the contract to remove existing bituminous overlays on Euclid Avenue from Hicks Road to Meacham Road and Naperville Road from Bartlett Road to Route 20 and overlay with bituminous leveling binder or bituminous binder course and top with bituminous surface course. Insufficient plan quantities were inadvertently provided for **HOT-MIX ASPHALT SURFACE REMOVAL, HOT-MIX ASPHALT BINDER COURSE**, and **HOT-MIX ASPHALT SURFACE COURSE** to perform the intended work of the contract.

Item 8:

CONSTRUCTION TEST STRIP was performed only for placement of bituminous binder course on Techny Road. The Rapid start method was utilized in lieu of test strips for placement of bituminous materials on other sections of this contract.

Item 10:

At contractor's option a different method of providing a smooth transition from ground areas to existing pavement at the project limits were utilized in lieu of **TEMPORARY BUTT JOINTS**.

Items 11 - 13:

Existing concrete curb and gutter type B-6.12 and B-6.24 were repaired as deemed necessary to provide proper drainage and a functional curb. Insufficient plan quantities were provided for **COMBINATION CURB AND GUTTER REMOVAL** and **COMBINATION CONCRETE CURB AND GUTTER** to make the necessary proper repairs.

Items 16 - 18:

PORTLAND CEMENT CONCRETE SIDEWALK and **DETECTABLE WARNINGS** were utilized to remove and replace existing sidewalk within the project limits to bring the sidewalks in compliance with ADA requirements and to replace deteriorated sidewalks. These changes represent the quantities required to meet the intent of the contract.

Item 21:

Field conditions required less use of **TRAFFIC BARRIER TERMINAL, TYPE1, SPECIAL** to replace existing guard rail end sections for this contract.

Item 27:

No sanitary manholes were located in the pavement within the project limits, therefore **WATERPROOFING SANITARY FRAMES AND LIDS TO BE ADJUSTED** was not utilized.

CONTRACT CHANGE ORDER



COOK COUNTY DEPARTMENT OF
TRANSPORTATION AND HIGHWAYS
BUREAU OF CONSTRUCTION

Project: Pavement Resurfacing Enhancement
Program North 2014

Section: 13-PREPN-01-RS

Contract: 1455-13427

GC: Plote Construction Inc.

Date: 6/29/2015

Chief Engr: Holly Cichy, P.E.

RE: Hassan Mohebbi,
P.E.

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Number: 1

Contract Amount: \$2,595,071.35

Item 30:

Additional catch basins were found in need of reconstruction than anticipated resulting in an increased use of CATCH BASINS TO BE RECONSTRUCTED, SPECIAL.

Item 33:

Field conditions required additional AGGREGATE SHOULDERS, TYPE A be placed to fill in the holes and drop offs in the existing shoulders.

Item 35:

Less areas of parkway were disturbed by construction activities than anticipated requiring less use of SEEDING, CLASS 1A.

Item 46:

RAISED REFLECTIVE PAVEMENT MARKER REMOVAL was intended to be used to remove existing markers within the project limits on the section of the pavement to be grinded. Less markers were found than anticipated.

Item 49:

No existing SURVEY MONUMENTS were found on the pavement within the project limits eliminating the need for this pay item.

Item 50:

ENGINEERS FIELD OFFICE, TYPE A was not required for this project. A field office for a different County project was utilized by the inspection staff.

Other Items:

The Quantities of various other items were adjusted to reflect the actual quantities required to complete in the field.

CONTRACT CHANGE ORDER



COOK COUNTY DEPARTMENT OF
TRANSPORTATION AND HIGHWAYS
BUREAU OF CONSTRUCTION

Project: Pavement Resurfacing Enhancement
Program North 2014

Date: 6/29/2015

Section: 13-PREPN-01-RS

Chief Engr: Holly Cichy, P.E.

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Contract: 1455-13427

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Number: 1

Contract Amount: \$2,595,071.35

NEW ITEMS

Item *57:

RAILROAD FLAGGER was required HMA removal and placement operations adjacent to the railroad tracks on Naperville Road.

Item *58:

FRAMES AND LIDS TO BE ADJUSTED was inadvertently omitted from the contract. This work was required to adjust existing frames for catch basins in the sections of curb and gutter removal and replacement.

Note:

The undersigned has determined that the circumstances that necessitate this change are germane to the original contract, were not reasonably foreseeable at the time the contract was signed and is in the best interest of the local agency.

Amount of this change order:

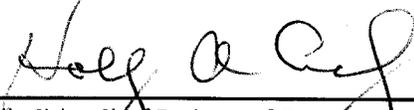
INCREASE

\$1,847.73

Total amount of change orders to date:

INCREASE

\$1,847.73



Holly Cichy, Chief Engineer of Construction

6-29-15

Date



John Yonan, Superintendent

6/30/15

Date

Section 7: REQUIRED DISCLOSURES

1. DISCLOSURE OF LOBBYIST CONTACTS

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name	Address
N/A	

2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)

Local business means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?
Yes: X No: _____

b) If yes, list business addresses within Cook County:

 2200 Graham St., Bartlett, IL 60103

c) Does Applicant employ the majority of its regular full-time workforce within Cook County?
Yes: _____ No: X

3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.



1100 BRANDT DRIVE • HOFFMAN ESTATES, IL 60192

ROAD BUILDING
SITE DEVELOPMENT

PHONE: (847) 695-9300
Estimating FAX: (847) 695-9317
Administration FAX: (847) 695-7251

COMPLETE LIST OF REAL ESTATE OWNED IN COOK COUNTY:

PERMANENT INDEX NUMBER	
	06-30-101-002-0000 .
	12-20-202-041-0000
	12-20-200-018-0000
	12-20-200-020-0000
	12-20-202-027-0000
	12-20-200-025-0000
	12-20-202-040-0000
	12-20-202-042-0000
	12-20-202-044-0000
	12-20-202-046-0000
	12-20-201-029-0000
	12-20-101-017-0000
	12-20-101-022-0000
	12-20-200-028-0000
	12-20-202-029-0000
	12-20-202-023-0000

Section 7: COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT

The Cook County Code of Ordinances (§2-610 et seq.) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing.

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

- 1. An Applicant for County Action and
- 2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under Ownership Interest Declaration.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the Applicant or Stock/Beneficial Interest Holder

This Statement is an: Original Statement or Amended Statement

Identifying Information:

Name Plote Construction Inc.

D/B/A: _____ FEIN NO/SSN (LAST FOUR DIGITS): 36-2814492

Street Address: 1100 Brandt Drive

City: Hoffman Estates State: IL Zip Code: 60192

Phone No.: 847-695-9300 Fax Number: 847-695-9317 Email: dplote@plote.com

Cook County Business Registration Number: _____
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): 5241-213-7

Form of Legal Entity:

Sole Proprietor Partnership Corporation Trustee of Land Trust

Business Trust Estate Association Joint Venture

Other (describe) _____

Ownership Interest Declaration:

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
Daniel R. Plote	330 Seers Rd., Schaumburg, IL 60173	90%
Raymond E. Plote	10211 Frank Rd., Algonquin, IL 60102	9.5%
Janice R. Plote	10211 Frank Rd., Algonquin, IL 60102	0.5%

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address
N/A		

3. Is the Applicant constructively controlled by another person or Legal Entity? Yes No
 If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship
N/A			

Corporate Officers, Members and Partners Information:

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
Daniel R. Plote	330 Seers Rd., Schaumburg IL 60173	President	
Raymond E. Plote	10211 Frank Rd., Algonquin IL 60102	CEO	
Janice R. Plote	10211 Frank Rd., Algonquin IL 60102	Secretary/Treasurer	

Declaration (check the applicable box):

- I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

Daniel R. Plote
Name of Authorized Applicant/Holder Representative (please print or type)

[Signature]
Signature

dplote@plote.com
E-mail address

Subscribed to and sworn before me
this 12th day of June, 2015.

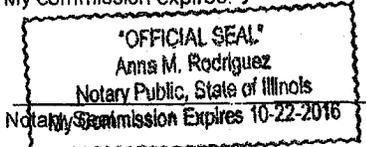
x [Signature]
Notary Public Signature

President
Title

6/12/15
Date

847-695-9300
Phone Number

My commission expires: 10-22-16





COOK COUNTY BOARD OF ETHICS
 69 W. WASHINGTON STREET, SUITE 3040
 CHICAGO, ILLINOIS 60602
 312/603-4304 Office 312/603-9988 Fax

Section 7: FAMILIAL RELATIONSHIP DISCLOSURE PROVISION

Nepotism Disclosure Requirement:

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

Additional Definitions:

"Familial relationship" means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- | | | |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent | <input type="checkbox"/> Grandparent | <input type="checkbox"/> Stepfather |
| <input type="checkbox"/> Child | <input type="checkbox"/> Grandchild | <input type="checkbox"/> Stepmother |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law | <input type="checkbox"/> Stepson |
| <input type="checkbox"/> Sister | <input type="checkbox"/> Mother-in-law | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt | <input type="checkbox"/> Son-in-law | <input type="checkbox"/> Stepbrother |
| <input type="checkbox"/> Uncle | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister |
| <input type="checkbox"/> Niece | <input type="checkbox"/> Brother-in-law | <input type="checkbox"/> Half-brother |
| <input type="checkbox"/> Nephew | <input type="checkbox"/> Sister-in-law | <input type="checkbox"/> Half-sister |

Section 7: COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM

A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY

Name of Person Doing Business with the County: Plote Construction Inc.

Address of Person Doing Business with the County: 1100 Brandt Drive, Hoffman Estates IL 60192

Phone number of Person Doing Business with the County: 847-695-9300

Email address of Person Doing Business with the County: dplote@plote.com

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

Daniel R. Plote, President

B. DESCRIPTION OF BUSINESS WITH THE COUNTY

Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the preceding calendar year if disclosure is made on January 1), identify:

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: _____

Contract #1523-14543

The aggregate dollar value of the business you are doing or seeking to do with the County: \$ _____

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: _____

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: _____

C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS

Check the box that applies and provide related information where needed

The Person Doing Business with the County is an individual and there is no familial relationship between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

The Person Doing Business with the County is a business entity and there is no familial relationship between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**Section 7: COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- The Person Doing Business with the County is an individual and there is a familial relationship between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. The familial relationships are as follows:

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship
N/A			

If more space is needed, attach an additional sheet following the above format.

- The Person Doing Business with the County is a business entity and there is a familial relationship between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. The familial relationships are as follows:

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship
N/A			

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship

Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

If more space is needed, attach an additional sheet following the above format.

VERIFICATION: To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.


 Signature of Recipient **Daniel R. Plote, President**

6/12/15
 Date

SUBMIT COMPLETED FORM TO: Cook County Board of Ethics
 69 West Washington Street, Suite 3040, Chicago, Illinois 60602
 Office (312) 603-4304 – Fax (312) 603-9988
 CookCounty.Ethics@cookcountyil.gov

* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (*i.e.* in laws and step relations) or adoption.