

AMENDMENT NO. 1

This Amendment modifies Contract No.1388-13279 for Broadband Project Phase IV by and between the County of Cook, Illinois, herein referred to as "County" and Globetrotters Engineering Corporation, authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

RECITALS

Whereas, the County and Contractor have entered into a Contract approved by the County Board on January 15, 2014, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide Fiber Optic Services (hereinafter referred to as the "Services") from January 15, 2014 through December 31, 2015, with one (1) one (1) year extension option, in an amount not to exceed \$408,646.00; and

Whereas, the Contract will expire on December 31, 2015 and the agreed upon Services are still required; and

Whereas, an extension is desired for the continuation of Services; and

Whereas, an increase in the amount of \$102,800.00 is required for the continuation of Services; and

Whereas, the County and Contractor desire to extend the Contract for one year beginning on January 1, 2016 to December 31, 2016.

Whereas, the County and Contractor desire to include additional scope of services to the Contract; and

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:

1. The Contract is extended through December 31, 2016.
2. The Contract is increased by \$102,800.00 and the Total Contract Amount is revised to \$511,446.00
3. The Contract is hereby amended to incorporate Attachment A and made part of the Contract No.1388-13279.
4. Article 5(b) Method of Payment, of the Agreement is deleted in its entirety and is revised as follows:

All invoices submitted by the Consultant shall be in accordance with the cost provisions contained in the Agreement and shall contain a detailed description of the Deliverables, including the quantity of the Deliverables, for which payment is requested. All invoices for services shall include itemized entries indicating the date or time period in which the services were provided, the amount of time spent performing the services, and a detailed description of the services provided during the period of the invoice. All invoices shall reflect the amounts invoiced by and the amounts paid to the Consultant as of the date of the invoice. Invoices for new charges shall not include "past due" amounts, if any, which amounts must be set forth on a separate invoice. Consultant shall not be entitled to invoice the County for any late fees or other penalties.

In accordance with Section 34-177 of the Cook County Procurement Code, the County shall have a right to set off and subtract from any invoice(s) or Contract price, a sum equal to any fines and penalties, including interest, for any tax or fee delinquency and any debt or obligation owed by the Consultant to the County.

The Consultant acknowledges its duty to ensure the accuracy of all invoices submitted to the County for payment. By submitting the invoices, the Consultant certifies that all itemized entries set forth in the invoices are true and correct. The Consultant acknowledges that by submitting the invoices, it certifies that it has delivered the Deliverables, i.e., the goods, supplies, services or equipment set forth in the Agreement to the Using Agency, or that it has properly performed the services set forth in the Agreement. The invoice must also reflect the dates and amount of time expended in the provision of services under the Agreement. The Consultant acknowledges that any inaccurate statements or negligent or intentional misrepresentations in the invoices shall result in the County exercising all remedies available to it in law and equity including, but not limited to, a delay in payment or non-payment to the Consultant, and reporting the matter to the Cook County Office of the Independent Inspector General.

When a Consultant receives any payment from the County for any supplies, equipment, goods, or services, it has provided to the County pursuant to its Agreement, the Consultant must make payment to its Subcontractors within 15 days after receipt of payment from the County, provided that such Subcontractor has satisfactorily provided the supplies, equipment, goods or services in accordance with the Contract and provided the Consultant with all of the documents and information required of the Consultant. The Consultant may delay or postpone payment to a Subcontractor when the Subcontractor's supplies, equipment, goods, or services do not comply with the requirements of the Contract; the Consultant is acting in good faith, and not in retaliation for a Subcontractor exercising legal or contractual rights.

- 5. The attached Economic Disclosures Statement form is incorporated and made a part of this Contract.
- 6. The attached MBE/WBE Utilization Plan is incorporated and made a part of this Contract.
- 7. All other terms and conditions remain as stated in the Contract.

In witness whereof, the County and Contractor have caused this Amendment No. 1 to be executed on the date and year last written below.

County of Cook, Illinois

Globetrotters Engineering Corporation

By: Sam E. M
Chief Procurement Officer

[Signature]
Signed

By: N/A
State's Attorney (if applicable)

Michael J. McMurray
Type or print name

President
Title

Date: 4 June 2015

Date: April 24, 2015

ATTACHMENT A



November 18, 2014 (Rev. A January 21, 2015, Rev. B April 8, 2015)

Mr. Doug Coupland
Cook County Bureau of Technology
Broadband Initiatives PM
69 W. Washington Street
Chicago, IL 60602

Subject: Globetrotters Engineering Corporation Proposal for Budget Additions to Phase IV SOW.

Dear Mr. Coupland:

Globetrotters Engineering Corporation (GEC) is pleased to submit this proposal to provide Added Fiber Optics related Services to Cook County.

Based on the County's additional needs resulting from work with the Chicago Transit Authority (CTA), Illinois Department of Central Management (CMS), South Suburban Mayors and Managers Association (SSMMA) and the Chicago Department of Transportation (CDOT) as well as continuing and evolving needs at Provident Hospital, 69 West Washington, 118 N. Clark, Oak Forest Hospital and Markham Courthouse, additional design work has been requested.

We appreciate your time and consideration and hope to continue being a valued resource to Cook County Department of Technology.

If you have any questions or need any clarification, please feel free to contact Project Manager, Zygi Boxer, at (312) 697-3530.

Sincerely,

GLOBETROTTERS ENGINEERING CORPORATION

Zygmunt J. Boxer, PE,
VP and Engineering Manager

GEC PHASE 4 ADDED BUDGET DESCRIPTIONS

Added Budget - 01: Fiber Optic Backbone OSP Design from CTA to Provident Hospital.

Description: Due to additional efforts related to designing a path using the CTA facilities and changing CDOT requirements for the fiber construction plans to Provident Hospital, additional budget will be required to support the following tasks:

1. Martin Luther King Drive Crossing Coordination with CDOT and the various crossing options.
2. Revised fiber path design, Option 2 & 3 to cross at MLK crosswalks & at and E. 50th place.
3. Revise and submit CDOT OUC Existing Facility Protection Permit for revised fiber path options, including the creation of a separate package for MLK crossing
4. CDOT follow up on revised paths.
5. Additional coordination with the CTA to gain approval of the Green Line attachment plan.
6. Additional coordination due to the extended delay in OUC approval (eight months from 6/14 to 2/15)

Estimated Cost: \$24,000

Added Budget - 02: Dept. of Water Mgmt. (DWM) coordination and redesign of MLK & 51st Street crossing.

Description: Due to the CDOT requirement to cross MLK at the 51st Street crosswalks, the OUC EFP submittal has resulted in the identification of a DWM thrust block for a 24" water main located in the approximate SE corner of the MLK East service drive and 51st Street. DWM was requiring that Cook County identify the exact location of the 24" water main thrust block and engineer plans to minimize the impact of the soil around the thrust block for consideration. Potential solutions were to move the path as far away from the thrust block while still staying in the crosswalk and using a mechanical earth retention system to support the bearing soil around the fiber path. Ultimately, CDOT allowed the design of a modified path that avoided this location. In order to provide the necessary engineered documents for the DWM to consider, additional budget was required to support the following tasks:

1. Revised fiber path design to minimize impact to thrust block location based on coordination with CDOT and Cook County.
2. Coordinate with Dept. of Water Management.
3. Revise and submit CDOT OUC Existing Facility Protection Permit for revised fiber path. A complete resubmission package was required by CDOT.
4. CDOT follow up on revised paths.



5. To address the Department of Forestry concerns/comments, conducted a survey of tree locations, tree diameter and tree species and re submitted for their review.
6. To create a final engineering package it was necessary to combine two OUC packages and a separate CTA package.

Estimated Cost: \$11,000.00

Added Budget - 03: CTA 35th St Green Line station fiber build to CMS HH at 31st & State.

Description: The CTA tie from the 35th St Green Line station to the CMS fiber tie at 31st St and State, were not in the original design scope. This option became viable to close out the fiber ring to the South Suburbs when Gigabit Squared was no longer going to provide a fiber path for the County network. Additional budget will be required to support the following tasks to complete the project:

1. CTA path design into 35th St. Green Line station and the CTA overhead structure to 31st Street. This will include engineering cable attachments to the CTA structure.
2. Continued coordination with Cook County and CTA on progress and status of access, design and fiber availability.
3. Provide detail designs for CTA and CMS IGA fiber ties.
4. Design of second riser and a County hand Hole per County request.

Estimated Cost: \$14,000

Added Budget - 04: CTA Express Fiber continuity testing from CTA 59th St. Green Line station to CTA Green Line Roosevelt station.

Description: Test CTA Green Line express fibers from CTA 59th Street to Roosevelt Station and provide test reports and assessment of each fiber along path.

1. Bi-Directional OTDR and Power meter testing.
2. Assemble and report on fiber condition.

Estimated Cost: \$5,000

Added Budget - 05: Fiber Locating Services Annual Fee Years 3 through 5 (first year rate with 5% annual escalation)

Description: GEC will be responsible for locating County fiber optic cables, based on requests from the County and the City of Chicago (DIGGER). This scope of work was approved under phase three work and we are currently in year two of a five year assignment. The remaining costs are as follows:

Year 3 \$10,769
Year 4 \$11,308



Year 5 \$11,873

These committed funds will be moved over from the Phase III contract.

Estimated Cost: \$33,950.00

Added Budget - 06: Software interface with the new DIGGER Chicago Utility Alert Network Annual Fee Years 3 through 5

Description: The City of Chicago DIGGER Utility Alert Network has changed the method of issuing utility locate tickets to a web based system. The new system requires that each user obtain interface software in order to continue receiving notification tickets. The cost of the use of the interface software as well as the handling and interface provided by Globetrotters is \$4,950.00 per year for a total three year cost of \$14,850.00.

The following is a request summary table:

01: Fiber Optic Backbone OSP Design from CTA to Provident Hospital	\$24,000
02: Dept. of Water Mgmt.(DWM) coordination and redesign of MLK & 51 st	\$11,000
03: CTA 35 th St Green Line station fiber build to CMS HH at 31 st & State.	\$14,000
04: CTA Express Fiber continuity testing	\$5,000
05: Fiber Locating Services Annual Fee Years 3 through 5	\$33,950
06: Software interface with DIGGER Chicago Utility Alert Network Years 3 through 5	\$14,850
Total SOW	\$102,800



SECTION 3

REQUIRED DISCLOSURES

1. DISCLOSURE OF LOBBYIST CONTACTS

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name Address

None

2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)

Local business means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?

Yes: X No: _____

b) If yes, list business addresses within Cook County:

300 S. Wacker Drive, Suite 400, Chicago, IL 60606

c) Does Applicant employ the majority of its regular full-time workforce within Cook County?

Yes: X No: _____

3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.

4. REAL ESTATE OWNERSHIP DISCLOSURES.

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

PERMANENT INDEX NUMBER(S): _____

(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)

OR:

- b) The Applicant owns no real estate in Cook County.

5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.

COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing.

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the Applicant or Stock/Beneficial Interest Holder

This Statement is an: Original Statement or Amended Statement

Identifying Information:

Name Globetrotters Engineering Corporation

D/B/A: _____ FEIN NO/SSN (LAST FOUR DIGITS): 36-2819661

Street Address: 300 S. Wacker Drive, Suite 400

City: Chicago State: Illinois Zip Code: 60606

Phone No.: (312) 922-6400 Fax Number: (312) 922-0267 Email: marketing@gec-group.com

Cook County Business Registration Number: _____
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): _____

Form of Legal Entity:

Sole Proprietor Partnership Corporation Trustee of Land Trust

Business Trust Estate Association Joint Venture

Other (describe) _____

Ownership Interest Declaration:

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
Niranjan Shah	300 S. Wacker Dr., #400, Chicago, IL 60606	53.33%
Trust A c/u Shah 2011 Gift Trust	300 S. Wacker Dr., #400, Chicago, IL 60606	46.67%
Trustee: Pratima Shah, Beneficiary: Ajay Shah		

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address

3. Is the Applicant constructively controlled by another person or Legal Entity? Yes No
 If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship

Corporate Officers, Members and Partners Information:

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
Please see attachment.			

Declaration (check the applicable box):

- I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

Officers of Globetrotters Engineering Corporation

Name	Address	Title	Term of Office
Niranjan S. Shah	214 Canterbury Lane Oak Brook, IL 60523	Chairman	2013 – Present
		Chairman, President and Treasurer	2011 – 2013
		President and Treasurer	2005 – 2011
		Chairman, CEO, Treasurer and Assistant Secretary	N/A – 2005
Michael J. McMurray	2358 W. Bloomingdale, 1W Chicago, IL 60647	President	2013 – Present
		Chief Operating Officer	2006 – 2013
Ajay Shah	1335 S. Prairie Ave., #1001 Chicago, IL 60605	Chief Operating Officer, General Counsel & Secretary	2014 – Present
		Executive Vice President, General Counsel & Secretary	2012 – 2014
		Executive Vice President & General Counsel	2010 – 2012
		Vice President and General Counsel	2008 – 2010
Brian McPartlin	1 E. Lonquist Blvd Mount Prospect, IL 60056	Senior Vice President	2013 – Present
Ahmad I. Karim	5259 S. Nottingham Chicago, IL 60638	Vice President	2009 – Present
Michael J. Paulius	6825 N. Jean Ave. Chicago, IL 60646	Vice President	2006 – Present
Jack J. Svaicer	431 Selborne Road Riverside, IL 60544	Vice President	2002 – Present
Sung Lee	208 Westminster Drive Bloomington, IL 60108	Vice President	2013 – Present
Gregory A. Boltz	1912 Brighton Street Downers Grove, IL 60516	Vice President	2011 – Present
		Chief Financial Officer	1998 – 2011
Wendell Fransen	1105 Chicago Ave. Downers Grove, IL 60515	Treasurer and Controller	2014 – Present
		Treasurer	2013 – 2014

Michael J. McMurray

Name of Authorized Applicant/Holder Representative (please print or type)

Signature

marketing@gec-group.com

E-mail address

Subscribed to and sworn before me
this 24th day of April, 2015

X

Carolyn Valentino
Notary Public Signature

President

Title

April 24, 2015

Date

(312) 922-6400

Phone Number

My commission expires:

April 14, 2018

Notary Seal





COOK COUNTY BOARD OF ETHICS
69 W. WASHINGTON STREET, SUITE 3040
CHICAGO, ILLINOIS 60602
312/603-4304 Office 312/603-9988 Fax

FAMILIAL RELATIONSHIP DISCLOSURE PROVISION

Nepotism Disclosure Requirement:

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

Additional Definitions:

"Familial relationship" means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- | | | |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent | <input type="checkbox"/> Grandparent | <input type="checkbox"/> Stepfather |
| <input type="checkbox"/> Child | <input type="checkbox"/> Grandchild | <input type="checkbox"/> Stepmother |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law | <input type="checkbox"/> Stepson |
| <input type="checkbox"/> Sister | <input type="checkbox"/> Mother-in-law | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt | <input type="checkbox"/> Son-in-law | <input type="checkbox"/> Stepbrother |
| <input type="checkbox"/> Uncle | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister |
| <input type="checkbox"/> Niece | <input type="checkbox"/> Brother-in-law | <input type="checkbox"/> Half-brother |
| <input type="checkbox"/> Nephew | <input type="checkbox"/> Sister-in-law | <input type="checkbox"/> Half-sister |

**COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY

Name of Person Doing Business with the County: Globetrotters Engineering Corporation
Address of Person Doing Business with the County: 300 S. Wacker Drive, Suite 400, Chicago, IL 60606
Phone number of Person Doing Business with the County: (312) 922-6400
Email address of Person Doing Business with the County: marketing@gec-group.com

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

Michael J. McMurray, President, (312) 922-6400, marketing@gec-group.com

B. DESCRIPTION OF BUSINESS WITH THE COUNTY

Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the proceeding calendar year if disclosure is made on January 1), identify:

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: _____

Contract No. 1388-13279

The aggregate dollar value of the business you are doing or seeking to do with the County: \$ 511,446.00

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: _____

Hermine Wise, Contract Negotiator, (312) 603-3950, Hermine.Wise@cookcountyl.gov

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: _____

Fitz Miller, Director - Telecom & Network Infrastructure, (312) 603-1415, fitz.miller@cook
countyl.gov

C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS

Check the box that applies and provide related information where needed

- The Person Doing Business with the County is an **individual** and there is **no familial relationship** between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.
- The Person Doing Business with the County is a **business entity** and there is **no familial relationship** between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- The Person Doing Business with the County is an individual and there is a familial relationship between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

If more space is needed, attach an additional sheet following the above format.

- The Person Doing Business with the County is a business entity and there is a familial relationship between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
<u>John Naughton, PE</u>	<u>Thomas Nietmotka</u>	<u>Maintenance Operations Group</u> <u>Department of Corrections</u>	<u>father in law</u>
_____	_____	_____	_____
_____	_____	_____	_____

If more space is needed, attach an additional sheet following the above format.

VERIFICATION: To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.



 Signature of Recipient

April 24, 2015

 Date

SUBMIT COMPLETED FORM TO: Cook County Board of Ethics
 69 West Washington Street, Suite 3040, Chicago, Illinois 60602
 Office (312) 603-4304 – Fax (312) 603-9988
 CookCounty.Ethics@cookcountyil.gov

* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (*i.e.* in laws and step relations) or adoption.

NOTEPAD:

HOLDER CODE COUNTY2
INSURED'S NAME Globetrotters Engineering Corp

GLOBE-3
OP ID: JJ

PAGE 2
Date 04/07/2015

Additional Insured to the General Liability and Auto Liability on a primary and non-contributory basis: County of Cook

SECTION 4

CONTRACT AND EDS EXECUTION PAGE

PLEASE EXECUTE THREE ORIGINALS

The Applicant hereby certifies and warrants: that all of the statements, certifications and representations set forth in this EDS are true, complete and correct; that the Applicant is in full compliance and will continue to be in compliance throughout the term of the Contract or County Privilege issued to the Applicant with all the policies and requirements set forth in this EDS; and that all facts and information provided by the Applicant in this EDS are true, complete and correct. The Applicant agrees to inform the Chief Procurement Officer in writing if any of such statements, certifications, representations, facts or information becomes or is found to be untrue, incomplete or incorrect during the term of the Contract or County Privilege.

Execution by Corporation

Michael J. McMurray

President's Name

(312) 922-6400

Telephone

Secretary Signature

[Handwritten Signature]

President's Signature

marketing@gec-group.com

Email

April 24, 2015

Date

Execution by LLC

Member/Manager (Signature)*

Date

Telephone

Email

Execution by Partnership/Joint Venture

Partner/Joint Venturer (Signature)*

Date

Telephone

Email

Execution by Sole Proprietorship

Signature

Date

Telephone

Email

Subscribed and sworn to before me this

24th day of April, 2015.

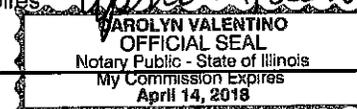
Carolyn Valentino

Notary Public Signature

My commission expires:

April 14, 2018

Notary Seal



If the operating agreement, partnership agreement or governing documents requiring execution by multiple members, managers, partners, or joint venturers, please complete and execute additional Contract and EDS Execution Pages.

MBE/WBE UTILIZATION PLAN - FORM 1

BIDDER/PROPOSER HEREBY STATES that all MBE/WBE firms included in this Plan are certified MBEs/WBEs by at least one of the entities listed in the General Conditions – Section 19.

I. BIDDER/PROPOSER MBE/WBE STATUS: (check the appropriate line)

- Bidder/Proposer is a certified MBE or WBE firm. (If so, attach copy of current Letter of Certification)
- Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified MBEs or WBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit – available online at www.cookcountylil.gov/contractcompliance)
- Bidder/Proposer is not a certified MBE or WBE firm, nor a Joint Venture with MBE/WBE partners, but will utilize MBE and WBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent – Form 2).

II. Direct Participation of MBE/WBE Firms Indirect Participation of MBE/WBE Firms

NOTE: Where goals have not been achieved through direct participation, Bidder/Proposer shall include documentation outlining efforts to achieve Direct Participation at the time of Bid/Proposal submission. Indirect Participation will only be considered after all efforts to achieve Direct Participation have been exhausted. Only after written documentation of Good Faith Efforts is received will Indirect Participation be considered.

MBEs/WBEs that will perform as subcontractors/suppliers/consultants include the following:

MBE/WBE Firm: SPAAN Tech, Inc.
Address: 311 S. Wacker Drive, Suite 2400, Chicago, IL 60606
E-mail: submittals@spaantech.com
Contact Person: Ms. Smita Shah Phone: (312) 277-8810
Dollar Amount Participation: \$ 179,006.00
Percent Amount of Participation: _____ **35** %
*Letter of Intent attached? Yes No _____
*Current Letter of Certification attached? Yes No _____

MBE/WBE Firm: _____
Address: _____
E-mail: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation: \$ _____
Percent Amount of Participation: _____ %
*Letter of Intent attached? Yes _____ No _____
*Current Letter of Certification attached? Yes _____ No _____

Attach additional sheets as needed.

*** Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.**

MBE/WBE LETTER OF INTENT - FORM 2

M/WBE Firm: SPAAN Tech, Inc.

Certifying Agency: City of Chicago

Contact Person: Ms. Smita Shah

Certification Expiration Date: 2/1/2017

Address: 311 S. Wacker Drive, Suite 2400

Ethnicity: Asian Indian American

City/State: Chicago, IL Zip: 60606

Bid/Proposal/Contract #: Contract No. 1388-13279

Phone: (312) 277-8810 Fax: (312) 277-8811

FEIN #: 36-4245016

Email: submittals@spaantech.com

Participation: Direct Indirect

Will the M/WBE firm be subcontracting any of the goods or services of this contract to another firm?

No Yes - Please attach explanation. Proposed Subcontractor(s): _____

The undersigned M/WBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: (If more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

Engineering Services

Indicate the **Dollar Amount**, **Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services:

\$179,006.00

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Michi E. Peña
Signature (M/WBE)

Michael J. McMurray
Signature (Prime Bidder/Proposer)

Michi E. Peña
Print Name

Michael J. McMurray
Print Name

SPAAN Tech, Inc.
Firm Name

Globetrotters Engineering Corporation
Firm Name

April 24, 2015
Date

April 24, 2015
Date

Subscribed and sworn before me
this 24th day of April, 20 15.

Subscribed and sworn before me
this 24th day of April, 20 15.

Notary Public *James R Harlan*

Notary Public *Carolyn Valentino*

