

**AMENDMENT NO. 1**

This Amendment modifies Contract No. 1355-12953 for Cook County Sheriff's Video Camera and Recording Systems by and between the County of Cook, Illinois, herein referred to as "County" and Broadway Electric, Inc., authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

**RECITALS**

Whereas, the County and Contractor have entered into a Contract approved by the County Board on October 23, 2013, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide Video Camera and Recording Systems (hereinafter referred to as the "Services") from December 2, 2013 through September 29, 2014, in an amount not to exceed \$11,514,000.00; and

Whereas, the term of the Contract expired on September 29, 2014, and the agreed upon Services are still required; and

Whereas, an extension is desired for the continuation of Services; and

Whereas, an increase in the amount of \$7,256.20 is required for the Services; and

Whereas, the County and Contractor desire to extend the Contract through September 25, 2015; and

Whereas, the County and Contractor desire to include additional professional services to the Contract.

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:

1. The Contract is extended through September 25, 2015.
2. The Contract is increased by \$7,256.20 and the Total Contract Amount is revised to \$11,521,256.20.
3. The Contract is hereby amended to incorporate Attachment 1 and made part of the Contract.
4. The attached Economic Disclosures Statement and DBE Utilization Plan forms are incorporated and made a part of this Contract.
5. All other terms and conditions remain as stated in the Contract.

In witness whereof, the County and Contractor have caused this Amendment No. 1 to be executed on the date and year last written below.

County of Cook, Illinois

Broadway Electric, Inc.

By: John S. M  
Chief Procurement Officer

Signed [Signature]

By: James J. McKeel  
State's Attorney

JOHN R OEHLEK  
Type or print name

President  
Title

Date: 17 November 2015

Date: 7.27.15

Contract No. 1355-12953  
Vendor Name: Broadway Electric, Inc.

ATTACHMENT 1



525 W. Monroe, Suite 200  
Chicago, Illinois 60661  
Phone: 1.312.251.3000; Fax 1.312.384.6388  
[www.jacobs.com](http://www.jacobs.com)

May 26, 2015

Ms. Sheila V. Atkins  
Project Director  
Cook County Department of Capital Planning & Policy  
69 W. Washington, Suite 3000  
Chicago, IL 60602

RE: BEI Change Order Request #1 for new core fiber switch  
Sheriff's Video Camera and Recording Project  
Contract #1355-12953

Ms. Atkins,

We have reviewed the revised Change Order Request (COR) #1, Bulletin #21 submitted by Broadway Electric, Inc. issued by the Architect of Record (EXP US Services Inc.), for a new core fiber switch for the Sheriff's recording and monitoring project in the amount of \$7,256.20. We have thoroughly reviewed the attached revised proposal and agree with the cost provided for the work associated and submitted by BEI. We are in agreement that the attached costs are fair and reasonable for the scope described in the bulletin.

Please note, the initial cost for this proposal was \$141,455.00 and was negotiated down to \$36,635.00 including the above requested shortfall.

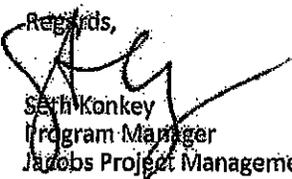
In addition, we agree and recommend approval of the time extension of (365) calendar days are appropriate for the procurement, fabrication, installation and implementation of the new switch into the existing network. Thereby the new Substantial Completion date will be September 25, 2015.

We have analyzed the remaining project funding to accommodate this discovered condition. Currently, the balance is \$29,378.80; although the COR #1 submitted by BEI totals \$36,635.00.

The remaining balance of the project is \$29,378.80. The disparity left is \$7,256.20 and will require a change order issued to BEI to fund this shortfall. Thus, BEI has provided a change order item package for this amount as well.

Please review the attached packages and feel free to contact me at your convenience with any questions.

Regards,

  
Seth Konkey  
Program Manager  
Jacobs Project Management Co.

Attachments:

- Change Order Item Review Summary & Recommendation form
- Change Order Request Summary
- Change Order Request Summary & Processing Form
- County Change Order Log
- Allowance Allocation Form
- Broadway Electric, Inc. CCN #22R1

CHANGE ORDER REQUEST SUMMARY  
OFFICE OF CAPITAL PLANNING AND POLICY

DATE: 19-May-16

TO: Cook County Office of the Chief Procurement Officer

ATTN: \_\_\_\_\_

PROJECT: Sheriff's Video Camera & Systems Recording Systems Project

CC PROJECT DIRECTOR: S. Atkins

CONTRACTOR: Broadway Electric, Inc.

CC DOC. NO: 1355-12853

P.O. NO: 1843-000-OP

GC ORG PCI NO \_\_\_\_\_

CC C.O. NO: \_\_\_\_\_ 1

C.O. ITEM NO (If more than 1 item is incl) \_\_\_\_\_ 1

CONTRACT COST: \$11,514,000.00

Item will result in a change to the Contract in the form of a: CREDIT \_\_\_\_\_ ADD X IN THE AMOUNT OF: \$7,256.20

CONTRACT TIME:

This item will INCREASE X DECREASE \_\_\_\_\_ the Contract Time by the following number of days: 365

The AE/Consultant for this Project is: EXP

- 1) The AE has completed and submitted the Cook County form "Change Order Item Review Summary & Recommendation" and all required attachments. YES X NO \_\_\_\_\_
- 2) The AE has submitted an updated Change Order Log in the County format. YES X NO \_\_\_\_\_

Who initiated this change order request? (Check all that apply below):

Owner \_\_\_\_\_ User Agency \_\_\_\_\_ AE/Consultant X Gen. Contractor \_\_\_\_\_ Other (Specify): \_\_\_\_\_

What caused this Change Order request? (Check all that apply below):

AE/Consultant Error/Omission \_\_\_\_\_ Field Condition X Code Change \_\_\_\_\_ Other (Specify): \_\_\_\_\_

E&O FOLLOW-UP: if this was an E&O when is the E&O meeting with the consultant scheduled? DATE: N/A

Below, provide summary details for any reason checked above (i.e. Why is this considered an error/omission on the part of the AE/Consultant? Why is this considered a field condition? Was it unforeseen and why?; For code changes, state the date the code changed and the date the contract for work was awarded; Elaborate on any reason stated as "Other". Provide any other relevant information.

Upon project implementation it was determined that the existing core fiber switch was insufficient to support the addition of the new camera system and data. Thus, a new (larger) core fiber switch was required to obtain the performance required to support the new camera system.

Has the work been completed? YES X DATE: 01-May-16 NO \_\_\_\_\_ Work is in progress \_\_\_\_\_

provide additional information (if any) considered relevant to this change order request:

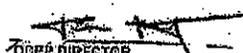
The Project Director shall attach the following to this form:

- > Board Item Check List
- > Errors and Omissions Assessment
- > Consultant's Change Order Package & Log

List additional relevant attachments below:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The Office of Capital Planning and Policy, in reliance on the attached Change Order Summary and Recommendation provided by the AE/Consultant, concurs that this change order request is reasonable

  
Project Director



# PROPOSED CHANGE ORDER

**Broadway Electric Inc.**  
831 Oakton Street  
Elk Grove Village, IL 60007

CCN # 22R1  
Date: 5/4/2015  
Project Name: Cook County Sheriff's Cameras, Packages 1 & 2  
Page Number: 1

## Work Description

This contract is for the Cook County Sheriff's Camera & Recording Systems project - Packages 1 and 2 (Purchase Order 186617-000-OP, Contract 1355-12953) to be performed at the Courthouses and Dept of Corrections locations.

This pricing and scope of work includes the following:

## BULLETIN NO. 21

Replacement of the installed core switch with a larger core switch is being requested. This solution is to alleviate the data loss, video tearing and the delay in pulling up video at the work stations that has been observed on the network.

## ELECTRICAL

A. Use the existing project installed electrical infrastructure to power the core switch.

## SECURITY

A. Remove the currently installed 3750 Fiber Optic Switch(es) being used as a component of the core switch.

B. Remove the currently installed 3850 Copper Switch(es) being used as a component of the core switch.

C. Install a new core switch model WS-C4507R+E= Cisco Catalyst 4507R+E Switch - rack-mountable.

Provide the following components in quantities as required by the project for an operational core switch:

1. PWR-C45- 1400AC= Cisco Power Supply ( internal ) - AC 100/240 V - 1400 Watt - for Catalyst 4503, 4506, 4507R, 4510R
2. WS-X45- SUP7L-E= Cisco Supervisor Engine 7L-E Control processor - plug-in module  
WS-X45SUP7L-E=Note: S45EUK9-S7-36E
3. WS-X4648 - RJ45-E= Cisco Catalyst 4500E Series Line Card Switch - 48 x 10/100/1000 -plug-in module
4. WS-X4612- SFP-E= Cisco Line Card E-Series Switch - 12 x Gigabit SFP - plug-in module
5. WS-X4712- SFP+E= Cisco Line Card E-Series Switch - 12 x 10 Gigabit SFP+ - plug-in module - for Catalyst 4503-E, 4503-E Data Bundle, 4503-E PoE Bundle, 4506-E, 4506-E PoE GE Bundle

D. Provide programming of the core switch and project installed switches as required for an operational network.

E. Re-package the removed switches and turn them over to Cook County as attic stock.

Reference attached Pagoda Electric and Siemens proposals for additional information. Finally, if this CO exceeds the allowance - a reconciliation CO will need to be created.

**ORIGINAL**

PROPOSED CHANGE ORDER

**Broadway Electric Inc.**  
831 Oakton Street  
Elk Grove Village, IL 60007

CCN # 22R1  
Date: 5/4/2015  
Project Name: Cook County Sheriff's Cameras, Packages 1 & 2  
Page Number: 2

**Notes, Clarifications**

- 1 - We reserve the right to correct this quote for errors and omissions.
- 2 - This quote covers direct costs only and we reserve the right to claim for impact and consequential costs.
- 3 - This price is good for acceptance within 30 days from the date of receipt.
- 4 - We will supply and install all materials, labor, and equipment as per your instructions.

**Itemized Breakdown**

**Summary**

PAGODA ELECTRIC (SIEMENS CORE SWITCH)	Total Request:	\$36,635.00	36,635.00
Subtotal	From Remaining Allowances:	\$29,378.80	36,635.00
Final Amount	Change Order #1:	\$ 7,256.20	\$36,635.00

**CONTRACTOR CERTIFICATION**

Name: \_\_\_\_\_  
Date: \_\_\_\_\_  
Signature: \_\_\_\_\_  
I hereby certify that this quotation is complete and accurate based on the information provided.

**CLIENT ACCEPTANCE**

CCN #: 22R1  
Final Amount: \$36,635.00  
Name: \_\_\_\_\_  
Date: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Change Order #: \_\_\_\_\_  
I hereby accept this quotation and authorize the contractor to complete the above described work.

**ORIGINAL**



**PAGODA ELECTRIC & CONSTRUCTION, INC.**

1728 CORNELL ROAD  
FLOSSMOOR, IL 60422  
Office: 708-263-9540 Fax: 708-547-6368  
[accounting@pagodaelectric.com](mailto:accounting@pagodaelectric.com)

Kristen Murphy  
Broadway Electric Inc  
831 Oakton Street  
Elk Grove Village, IL 60007

**This work is for the additional labor, material and equipment necessary to complete the installation of the new core switch as described in the attached Siemens proposal.**

Description	Qty	Subcontractor	Material	Labor	Time Exten.
Reference attached Siemens quote for complete description of equipment and services being provided	1	\$33,530.00			
Expedited Shipping		\$3,105.00			
<b>Totals</b>		<b>\$36,635.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>0.00</b>

**Summary**

Direct Labor					\$0.00
Direct Material					\$0.00
Subcontractors					\$36,635.00
Expedited Delivery (Included Above)					\$0.00
			<b>Total Direct Cost</b>		<b>\$36,635.00</b>
Direct Overhead	0.00%				\$0.00
Profit	0.00%				\$0.00
Bonds/Insurance					\$0.00
Taxes on Material and Equipment		Tax Exempt			
<b>Total Direct Charge Order Cost:</b>					<b>\$36,635.00</b>

# SIEMENS

May 1, 2015

Dr. Vincent "Shorty" Mills  
 President & Owner  
 Pagoda Electric  
 1728 Cornell Rd.  
 Flossmore, IL 60608

RE: Construction Bulletin #21  
 Cook County Sheriff's & Recording Systems

Shorty,

Per your request following is the Bill of Materials and associated costs that was initially defined in my email of 12/19. Revised per mutual agreement.

Description	Qty	Price	Extended
Analysis & Diagnosis; Consultant(s) & Technician(s)			
Switch/WS-C4507R+E= Cisco Catalyst 4507R+E Switch - rack-mountable	1	\$2,200.00	\$2,200.00
Power Supply/PWR-C45-1400AC= Cisco Power supply ( internal ) - AC 100/240 V - 1400 Watt - for Catalyst 4503, 4506, 4507R, 4510R	2	\$ 800.00	\$1,600.00
Supervisor/WS-X45-SUP7L-E= Cisco Supervisor Engine 7L-E Control processor - plug-in module WS-X45-SUP7L-E= Note: S45EUK9-S7-36E	1	\$7,310.00	\$7,310.00
Linecard/WS-X4648-RJ45-E= Cisco Catalyst 4500E Series Line Card Switch - 48 x 10/100/1000 -plug-in module	3	\$1,990.00	\$5,970.00
Linecard/WS-X4612-SFP-E= Cisco Line Card E-Series Switch - 12 x Gigabit SFP - plug-in module	2	\$2,480.00	\$4,960.00
Linecard/WS-X4712-SFP+E= Cisco Line Card E-Series Switch - 12 x 10 Gigabit SFP+ - plug-in module - for Catalyst 4503-E, 4503-E Data Bundle, 4503-E PoE Bundle, 4506-E, 4506-E PoE GE Bundle	1	\$11,490.00	\$11,490.00
Shipping			\$3,105.00
<b>FINAL AMOUNT</b>			<b>\$36,635.00</b>

After receipt of a formal change order, leadtime for the above material is currently being quoted at 2-5 weeks (linecards are currently backordered and are the longest leadtime). Once all material is available, installation, commissioning, and test is expected to be 3-5 days.

Tailgate rush shipping (3-5 business days) - \$1815, 2<sup>nd</sup> Day Air- \$2645, Next Day Air- \$3105

With kind regards,  
*Mark Peterschmidt*  
 Mark Peterschmidt  
 Security Solutions  
 Siemens Industry, Inc.

cc: Broadway Electric, Siemens Operations, Project File

Siemens Industry, Inc.  
 Building Technologies

685 Slavin Ct.  
 M. Prospect, IL 60069  
 USA

Tel: 847-803-2700  
 www.usa.siemens.com



XC: F/805/CHI-21532-001

Seth Konkey - Jacobs

Kristen Murphy - BEI

Katherine Gibson - Cook County Sheriff  
Office

John Stryker - EXP

## CONSTRUCTION BULLETIN

DATE: January 8, 2016

NO.: 21

PROJECT: Cook County Sheriff's Camera &  
Recording Systems

PROJECT NO.: 21532.001

TO: Cook County Office of Capital Planning & Policy  
Curtis Florence  
69 W. Washington, Suite 3000  
Chicago, IL 60602

RE: Core Switch Replacement

### GENERAL:

This bulletin is issued to define the scope of revision in drawings and/or specifications for a contemplated change. The work required by these revisions shall be in accordance with the general requirements of the original contract documents. This bulletin is not a change order and work shall not be started without written authorization to proceed by Owner. The Contractor is requested to submit an Itemized proposal for the revised work and identify the effect this change will have on construction cost and schedule if any.

Replacement of the installed core switch with a larger core switch is being requested. This solution is to alleviate the data loss, video tearing and the delay in pulling up video at the work stations that has been observed on the network. This Construction Bulletin does not alleviate the contractor from completing the federation of the courthouses, deployment of the HP work stations, programming and optimizing the archive servers, programming of the video management system, and/or further programming and optimization of the surveillance network in order to alleviate any of the performance issues noted above and provide a fully functional surveillance system.

### ELECTRICAL

A. Use the existing project installed electrical infrastructure to power the core switch.

### SECURITY

- A. Remove the currently installed 3750 Fiber Optic Switch(es) being used as a component of the core switch.
- B. Remove the currently installed 3850 Copper Switch(es) being used as a component of the core switch.
- C. Install a new core switch model WS-C4507R+E = Cisco Catalyst 4507R+E Switch - rack-mountable. Provide the following components in quantities as required by the project for an operational core switch:
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  2. WS-X45- SUP7L-E= Cisco Supervisor Engine 7L-E Control processor - plug-in module WS-X45SUP7L-E=Note: S45EUK9-S7-36E
  3. WS-X4648 - RJ45-E= Cisco Catalyst 4500E Series Line Card Switch - 48 x 10/100/1000 -plug-in module
  4. WS-X4612- SFP-E= Cisco Line Card E-Series Switch - 12 x Gigabit SFP - plug-in module
  5. WS-X4712- SFP+E= Cisco Line Card E-Series Switch - 12 x 10 Gigabit SFP+ - plug-in module - for Catalyst 4503-E, 4503-E Data Bundle, 4503-E PoE Bundle, 4506-E, 4506-E PoE GE Bundle
- D. Provide programming of the core switch and project installed switches as required for an operational network.
- E. Re-package the removed switches and turn them over to Cook County as attic stock.

### END CONSTRUCTION BULLETIN #21

ATTACHMENTS: None

ISSUED BY: Gregory R. Spraitz

**ALLOWANCE ALLOCATION FORM**

CONTRACTOR TO COMPLETE AND SUBMIT THIS FORM WITH ITS DOCUMENTATION TO THE AE/CONSULTANT FOR REVIEW

PROJECT NAME: COOK COUNTY SHERIFF'S VIDEO CAMERA & RECORDING SYSTEM DATE: 15-May-15

CONTRACTOR: BROADWAY ELECTRIC, INC. ALLOWANCE TYPE: CONTINGENCY

AE/CONSULTANT: EXP US SERVICES, INC. ALLOWANCE RQST. No: FINAL

CONTRACT No: 1355-12953 PURCHASE ORDER NO: 186617-000-OP AMOUNT OF REQUEST: 36,635.00

REFERENCE: \_\_\_\_\_

REQUESTED BY: Cook County  Consultant  Contractor  Other (Specify): \_\_\_\_\_

BRIEFLY SUMMARIZE THE REASON/S FOR THIS REQUEST BELOW:

IS THIS A "NOT TO EXCEED" COST? YES X NO  
 WILL THIS REQUEST REQUIRE A CHANGE ORDER TO THE CONTRACT? X YES NO

**THE FOLLOWING ITEMS ARE REQUIRED TO BE SUBMITTED WITH THIS ALLOWANCE REQUEST FROM THE CONTRACTOR:**

Incomplete or incorrect requests will be returned for revision. The County retains the right to request additional documentation.

1. Copy of County or Consultant's RFP for this Work, any AGIs, Letters or other documentation including meeting minutes
2. For a Contractor Initiated Allowance Request include the Contractor's reason for the request
3. Reduced plan showing area of proposed work
4. Contractor's itemized cost estimate
5. Schedule for the additional work or a statement that it will not impact the schedule

**CONTRACTOR'S ALLOWANCE REQUEST SUMMARY**

A. BEGINNING BALANCE FOR THIS ALLOWANCE TYPE:	<u>335,000.00</u>
B. TOTAL APPROVED ALLOWANCE REQUESTS TO DATE:	<u>305,621.20</u>
C. COUNTY APPROVED FUNDS ADDED TO THIS ALLOWANCE TYPE:	<u>0.00</u>
D. REMAINING BALANCE WITH APPROVED ALLOWANCE REQUESTS TO DATE:	<u>29,378.80</u>
E. AMOUNT OF THIS ALLOWANCE REQUEST:	<u>36,635.00</u>
F. ADJUSTED BALANCE FOR THIS ALLOWANCE TYPE TO DATE:	<u>(7,256.20)</u>
G. ADDITIONAL AMT. REQUIRED FOR THIS REQUEST If Line 'F' results in a negative balance	<u>7,256.20</u>
H. ENDING BALANCE FOR THIS TYPE OF ALLOWANCE TO DATE:	<u>0.00</u>
<b>I. SUMMARY ITEMIZATION:</b>	
1. _____	<u>0.00</u>
2. _____	<u>0.00</u>
3. _____	<u>0.00</u>
4. _____	<u>0.00</u>
7. Other / Credit: _____	<u>0.00</u>
8. Other / Credit: _____	<u>0.00</u>
<b>J. TOTAL PROPOSED ALLOWANCE REQUEST (This line must match Line E &amp; documentation)</b>	<b><u>36,635.00</u></b>

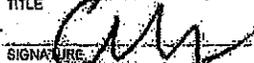
**CONTRACTOR'S WARRANTY:**

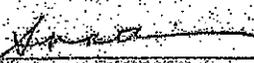
This Allowance Request submitted by the Contractor for this Project includes all services required to fulfill the proposed scope of Work. The Contractor guarantees that services provided by this request will be governed by the Contract for Work between the County and the Contractor and agrees that no terms presented in this Allowance Request or in the related documentation will supercede any conditions of the Contract for Work.

**AE/CONSULTANT RECOMMENDATION:**

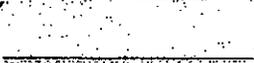
The Consultant has reviewed this Allowance Request and found to the best of its knowledge that the scope of Work and the amount requested for the Work to be reasonable and recommends its acceptance by the County.  
 The Consultant has reviewed this Allowance Request and recommends rejection for the following reason (Add additional sheet if necessary):  
 \_\_\_\_\_

JONATHAN GATH  
 PREPARED & SUBMITTED BY (PRINT OR TYPE NAME)  
SR. PROJECT MANAGER  
 TITLE  
  
 SIGNATURE  
5/15/15  
 DATE

SETH KONKEY  
 PREPARED & SUBMITTED BY (PRINT OR TYPE NAME)  
Program Mgr.  
 TITLE  
  
 SIGNATURE  
5/15/15  
 DATE

  
 SIGNATURE: PROJECT DIRECTOR  
6/1/15  
 DATE

**COUNTY USE ONLY**

  
 SIGNATURE: DIRECTOR OR DEPUTY DIRECTOR  
 \_\_\_\_\_  
 DATE

**COOK COUNTY  
ECONOMIC DISCLOSURE STATEMENT  
AND EXECUTION DOCUMENT  
INDEX**

<b>Section</b>	<b>Description</b>	<b>Pages</b>
1	Instructions for Completion of EDS	EDS i - ii
2	Certifications	EDS 1-2
3	Economic and Other Disclosures, Affidavit of Child Support Obligations and Disclosure of Ownership Interest	EDS 3 - 12
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5	Cook County Signature Page	EDS 16

**SECTION 1**  
**INSTRUCTIONS FOR COMPLETION OF**  
**ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

This Economic Disclosure Statement and Execution Document ("EDS") is to be completed and executed by every Bidder on a County contract, every Proposer responding to a Request for Proposals, and every Respondent responding to a Request for Qualifications, and others as required by the Chief Procurement Officer. The execution of the EDS shall serve as the execution of a contract awarded by the County. The Chief Procurement Officer reserves the right to request that the Bidder or Proposer, or Respondent provide an updated EDS on an annual basis.

**Definitions.** Terms used in this EDS and not otherwise defined herein shall have the meanings given to such terms in the Instructions to Bidders, General Conditions, Request for Proposals, Request for Qualifications, as applicable.

*Affiliate* means a person that directly or indirectly through one or more intermediaries, Controls is Controlled by, or is under common Control with the Person specified.

*Applicant* means a person who executes this EDS.

*Bidder* means any person who submits a Bid.

*Code* means the Code of Ordinances, Cook County, Illinois available on municode.com.

*Contract* shall include any written document to make Procurements by or on behalf of Cook County.

*Contractor or Contracting Party* means a person that enters into a Contract with the County.

*Control* means the unfettered authority to directly or indirectly manage governance, administration, work, and all other aspects of a business.

*EDS* means this complete Economic Disclosure Statement and Execution Document, including all sections listed in the Index and any attachments.

*Joint Venture* means an association of two or more Persons proposing to perform a for-profit business enterprise. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationship between the partners and their relationship and respective responsibility for the Contract

*Lobby or lobbying* means to, for compensation, attempt to influence a County official or County employee with respect to any County matter.

*Lobbyist* means any person who lobbies.

*Person or Persons* means any individual, corporation, partnership, Joint Venture, trust, association, Limited Liability Company, sole proprietorship or other legal entity.

*Prohibited Acts* means any of the actions or occurrences which form the basis for disqualification under the Code, or under the Certifications hereinafter set forth.

*Proposal* means a response to an RFP.

*Proposer* means a person submitting a Proposal.

*Response* means response to an RFQ.

*Respondent* means a person responding to an RFQ.

*RFP* means a Request for Proposals issued pursuant to this Procurement Code.

*RFQ* means a Request for Qualifications issued to obtain the qualifications of interested parties.

**INSTRUCTIONS FOR COMPLETION OF  
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

**Section 1: Instructions.** Section 1 sets forth the instructions for completing and executing this EDS.

**Section 2: Certifications.** Section 2 sets forth certifications that are required for contracting parties under the Code and other applicable laws. Execution of this EDS constitutes a warranty that all the statements and certifications contained, and all the facts stated, in the Certifications are true, correct and complete as of the date of execution.

**Section 3: Economic and Other Disclosures Statement.** Section 3 is the County's required Economic and Other Disclosures Statement form. Execution of this EDS constitutes a warranty that all the information provided in the EDS is true, correct and complete as of the date of execution, and binds the Applicant to the warranties, representations, agreements and acknowledgements contained therein.

**Required Updates.** The Applicant is required to keep all information provided in this EDS current and accurate. In the event of any change in the information provided, including but not limited to any change which would render inaccurate or incomplete any certification or statement made in this EDS, the Applicant shall supplement this EDS up to the time the County takes action, by filing an amended EDS or such other documentation as is required.

**Additional Information.** The County's Governmental Ethics and Campaign Financing Ordinances impose certain duties and obligations on persons or entities seeking County contracts, work, business, or transactions, and the Applicant is expected to comply fully with these ordinances. For further information please contact the Director of Ethics at (312) 603-4304 (69 W. Washington St. Suite 3040, Chicago, IL 60602) or visit the web-site at [cookcountyil.gov/ethics-board-of](http://cookcountyil.gov/ethics-board-of).

**Authorized Signers of Contract and EDS Execution Page.** If the Applicant is a corporation, the President and Secretary must execute the EDS. In the event that this EDS is executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization by the Corporation, satisfactory to the County that permits the person to execute EDS for said corporation. If the corporation is not registered in the State of Illinois, a copy of the Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute the EDS, unless one partner or joint venture has been authorized to sign for the partnership or joint venture, in which case, the partnership agreement, resolution or evidence of such authority satisfactory to the Office of the Chief Procurement Officer must be submitted with this Signature Page.

If the Applicant is a member-managed LLC all members must execute the EDS, unless otherwise provided in the operating agreement, resolution or other corporate documents. If the Applicant is a manager-managed LLC, the manager(s) must execute the EDS. The Applicant must attach either a certified copy of the operating agreement, resolution or other authorization, satisfactory to the County, demonstrating such person has the authority to execute the EDS on behalf of the LLC. If the LLC is not registered in the State of Illinois, a copy of a current Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute the EDS.

A "Partnership" "Joint Venture" or "Sole Proprietorship" operating under an Assumed Name must be registered with the Illinois county in which it is located, as provided in 805 ILCS 405 (2012), and documentation evidencing registration must be submitted with the EDS.

## SECTION 2

### CERTIFICATIONS

THE FOLLOWING CERTIFICATIONS ARE MADE PURSUANT TO STATE LAW AND THE CODE. THE APPLICANT IS CAUTIONED TO CAREFULLY READ THESE CERTIFICATIONS PRIOR TO SIGNING THE SIGNATURE PAGE. SIGNING THE SIGNATURE PAGE SHALL CONSTITUTE A WARRANTY BY THE APPLICANT THAT ALL THE STATEMENTS, CERTIFICATIONS AND INFORMATION SET FORTH WITHIN THESE CERTIFICATIONS ARE TRUE, COMPLETE AND CORRECT AS OF THE DATE THE SIGNATURE PAGE IS SIGNED. THE APPLICANT IS NOTIFIED THAT IF THE COUNTY LEARNS THAT ANY OF THE FOLLOWING CERTIFICATIONS WERE FALSELY MADE, THAT ANY CONTRACT ENTERED INTO WITH THE APPLICANT SHALL BE SUBJECT TO TERMINATION.

#### A. PERSONS AND ENTITIES SUBJECT TO DISQUALIFICATION

No person or business entity shall be awarded a contract or sub-contract, for a period of five (5) years from the date of conviction or entry of a plea or admission of guilt, civil or criminal, if that person or business entity:

- 1) Has been convicted of an act committed, within the State of Illinois, of bribery or attempting to bribe an officer or employee of a unit of state, federal or local government or school district in the State of Illinois in that officer's or employee's official capacity;
- 2) Has been convicted by federal, state or local government of an act of bid-rigging or attempting to rig bids as defined in the Sherman Anti-Trust Act and Clayton Act. Act. 15 U.S.C. Section 1 *et seq.*;
- 3) Has been convicted of bid-rigging or attempting to rig bids under the laws of federal, state or local government;
- 4) Has been convicted of an act committed, within the State, of price-fixing or attempting to fix prices as defined by the Sherman Anti-Trust Act and the Clayton Act. 15 U.S.C. Section 1, *et seq.*;
- 5) Has been convicted of price-fixing or attempting to fix prices under the laws the State;
- 6) Has been convicted of defrauding or attempting to defraud any unit of state or local government or school district within the State of Illinois;
- 7) Has made an admission of guilt of such conduct as set forth in subsections (1) through (6) above which admission is a matter of record, whether or not such person or business entity was subject to prosecution for the offense or offenses admitted to; or
- 8) Has entered a plea of *nolo contendere* to charge of bribery, price-fixing, bid-rigging, or fraud, as set forth in subparagraphs (1) through (6) above.

In the case of bribery or attempting to bribe, a business entity may not be awarded a contract if an official, agent or employee of such business entity committed the Prohibited Act on behalf of the business entity and pursuant to the direction or authorization of an officer, director or other responsible official of the business entity, and such Prohibited Act occurred within three years prior to the award of the contract. In addition, a business entity shall be disqualified if an owner, partner or shareholder controlling, directly or indirectly, 20% or more of the business entity, or an officer of the business entity has performed any Prohibited Act within five years prior to the award of the Contract.

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant has read the provisions of Section A, Persons and Entities Subject to Disqualification, that the Applicant has not committed any Prohibited Act set forth in Section A, and that award of the Contract to the Applicant would not violate the provisions of such Section or of the Code.

#### B. BID-RIGGING OR BID ROTATING

**THE APPLICANT HEREBY CERTIFIES THAT:** In accordance with 720 ILCS 5/33 E-11, neither the Applicant nor any Affiliated Entity is barred from award of this Contract as a result of a conviction for the violation of State laws prohibiting bid-rigging or bid rotating.

#### C. DRUG FREE WORKPLACE ACT

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant will provide a drug free workplace, as required by (30 ILCS 580/3).

**D. DELINQUENCY IN PAYMENT OF TAXES**

*THE APPLICANT HEREBY CERTIFIES THAT: The Applicant is not an owner or a party responsible for the payment of any tax or fee administered by Cook County, by a local municipality, or by the Illinois Department of Revenue, which such tax or fee is delinquent, such as bar award of a contract or subcontract pursuant to the Code, Chapter 34, Section 34-171.*

**E. HUMAN RIGHTS ORDINANCE**

No person who is a party to a contract with Cook County ("County") shall engage in unlawful discrimination or sexual harassment against any individual in the terms or conditions of employment, credit, public accommodations, housing, or provision of County facilities, services or programs (Code Chapter 42, Section 42-30 *et seq.*).

**F. ILLINOIS HUMAN RIGHTS ACT**

*THE APPLICANT HEREBY CERTIFIES THAT: It is in compliance with the Illinois Human Rights Act (775 ILCS 5/2-105), and agrees to abide by the requirements of the Act as part of its contractual obligations.*

**G. INSPECTOR GENERAL (COOK COUNTY CODE, CHAPTER 34, SECTION 34-174 and Section 34-250)**

The Applicant has not willfully failed to cooperate in an investigation by the Cook County Independent Inspector General or to report to the Independent Inspector General any and all information concerning conduct which they know to involve corruption, or other criminal activity, by another county employee or official, which concerns his or her office of employment or County related transaction.

The Applicant has reported directly and without any undue delay any suspected or known fraudulent activity in the County's Procurement process to the Office of the Cook County Inspector General.

**H. CAMPAIGN CONTRIBUTIONS (COOK COUNTY CODE, CHAPTER 2, SECTION 2-585)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning campaign contributions, which is codified at Chapter 2, Division 2, Subdivision II, Section 585, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**I. GIFT BAN, (COOK COUNTY CODE, CHAPTER 2, SECTION 2-574)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning receiving and soliciting gifts and favors, which is codified at Chapter 2, Division 2, Subdivision II, Section 574, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**J. LIVING WAGE ORDINANCE PREFERENCE (COOK COUNTY CODE, CHAPTER 34, SECTION 34-160;**

Unless expressly waived by the Cook County Board of Commissioners, the Code requires that a living wage must be paid to individuals employed by a Contractor which has a County Contract and by all subcontractors of such Contractor under a County Contract, throughout the duration of such County Contract. The amount of such living wage is annually by the Chief Financial Officer of the County, and shall be posted on the Chief Procurement Officer's website.

The term "Contract" as used in Section 4, I, of this EDS, specifically excludes contracts with the following:

- 1) Not-For Profit Organizations (defined as a corporation having tax exempt status under Section 501(C)(3) of the United State Internal Revenue Code and recognized under the Illinois State not-for -profit law);
- 2) Community Development Block Grants;
- 3) Cook County Works Department;
- 4) Sheriff's Work Alternative Program; and
- 5) Department of Correction inmates.

**SECTION 3**

**REQUIRED DISCLOSURES**

**1. DISCLOSURE OF LOBBYIST CONTACTS**

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name	Address
None	

**2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)**

*Local business* means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?

Yes:   X   No: \_\_\_\_\_

b) If yes, list business addresses within Cook County:

\_\_\_\_\_

831 Oakton Street, Elk Grove Village, IL 60007

\_\_\_\_\_

c) Does Applicant employ the majority of its regular full-time workforce within Cook County?

Yes:   X   No: \_\_\_\_\_

**3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)**

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

**All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.**

**4. REAL ESTATE OWNERSHIP DISCLOSURES.**

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

**PERMANENT INDEX NUMBER(S):** 08-27-100-057-1001; 08-27-100-057-1002; 08-27-100-057-1003  
08-27-100-057-1004; 08-27-100-057-1005; 08-27-100-057-1006  
08-27-100-057-1007; 08-27-100-057-1008

**(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)**

**OR:**

- b) \_\_\_\_\_ The Applicant owns no real estate in Cook County.

**5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.**

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

---

---

If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.

**COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT**

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing.

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the  Applicant or  Stock/Beneficial Interest Holder

This Statement is an:  Original Statement or  Amended Statement

**Identifying Information:**

Name Broadway Electric, Inc.

D/B/A: \_\_\_\_\_ FEIN NO/SSN (LAST FOUR DIGITS): 36-3280130

Street Address: 831 Oakton Street

City: Elk Grove Village State: IL Zip Code: 60007

Phone No.: 847-593-0001 Fax Number: 847-593-0004 Email: joehler@bei-chicago.com

Cook County Business Registration Number: NA  
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): 5336-273-7

**Form of Legal Entity:**

Sole Proprietor  Partnership  Corporation  Trustee of Land Trust

Business Trust  Estate  Association  Joint Venture

Other (describe) \_\_\_\_\_

**Ownership Interest Declaration:**

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
John R Oehler	18N 645 West Hill Road West Dundee, IL 60118	100%

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address
NA		

3. Is the Applicant constructively controlled by another person or Legal Entity?  Yes  No  
 If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship

**Corporate Officers, Members and Partners Information:**

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
John R Oehler	18 N 645 West Hill Rd, Dundee, IL 60118	President	01/01/2020
Paul G Mazzocco	14041 Palisades Ave., Huntley, IL 60142	Corp Secretary	01/01/2020

**Declaration (check the applicable box):**

- I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

John R Oehler  
Name of Authorized Applicant/Holder Representative (please print or type)

Signature 

joehler@bei-chicago.com  
E-mail address

Subscribed to and sworn before me  
this 27th day of July, 20 15

x Heather Orahim  
Notary Public Signature

President  
Title

07/27/2015  
Date

847-593-0001  
Phone Number

My commission expires: 7/22/2017





**COOK COUNTY BOARD OF ETHICS**  
69 W. WASHINGTON STREET, SUITE 3040  
CHICAGO, ILLINOIS 60602  
312/603-4304 Office 312/603-9988 Fax

**FAMILIAL RELATIONSHIP DISCLOSURE PROVISION**

**Nepotism Disclosure Requirement:**

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

**Additional Definitions:**

*"Familial relationship"* means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- |                                  |  |                                       |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent  | <input type="checkbox"/> Grandparent     | <input type="checkbox"/> Stepfather   |
| <input type="checkbox"/> Child   | <input type="checkbox"/> Grandchild      | <input type="checkbox"/> Stepmother   |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law   | <input type="checkbox"/> Stepson      |
| <input type="checkbox"/> Sister  | <input type="checkbox"/> Mother-in-law   | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt    | <input type="checkbox"/> Son-in-law      | <input type="checkbox"/> Stepbrother  |
| <input type="checkbox"/> Uncle   | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister   |
| <input type="checkbox"/> Niece   | <input type="checkbox"/> Brother-in-law  | <input type="checkbox"/> Half-brother |
| <input type="checkbox"/> Nephew  | <input type="checkbox"/> Sister-in-law   | <input type="checkbox"/> Half-sister  |

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

**A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY**

Name of Person Doing Business with the County: NONE

Address of Person Doing Business with the County: NONE

Phone number of Person Doing Business with the County: NONE

Email address of Person Doing Business with the County: NONE

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

NONE

**B. DESCRIPTION OF BUSINESS WITH THE COUNTY**

*Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the preceding calendar year if disclosure is made on January 1), identify:*

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: \_\_\_\_\_

NONE

The aggregate dollar value of the business you are doing or seeking to do with the County: \$ \_\_\_\_\_

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: \_\_\_\_\_

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: \_\_\_\_\_

**C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS**

*Check the box that applies and provide related information where needed*

The Person Doing Business with the County is an individual and there is no familial relationship between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

The Person Doing Business with the County is a business entity and there is no familial relationship between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- The Person Doing Business with the County is an individual and there is a familial relationship between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
NONE			

*If more space is needed, attach an additional sheet following the above format.*

- The Person Doing Business with the County is a business entity and there is a familial relationship between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
NONE			

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*

Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
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NONE

Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

*If more space is needed, attach an additional sheet following the above format.*

**VERIFICATION:** To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.

Signature of Recipient 

Date 07/27/2015

**SUBMIT COMPLETED FORM TO:** Cook County Board of Ethics  
 69 West Washington Street, Suite 3040, Chicago, Illinois 60602  
 Office (312) 603-4304 – Fax (312) 603-9988  
 CookCounty.Ethics@cookcountyil.gov

\* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (i.e. in laws and step relations) or adoption.

SECTION 4

CONTRACT AND EDS EXECUTION PAGE

PLEASE EXECUTE PAGES 13, 14, & 15

The Applicant hereby certifies and warrants: that all of the statements, certifications and representations set forth in this EDS are true, complete and correct; that the Applicant is in full compliance and will continue to be in compliance throughout the term of the Contract or County Privilege issued to the Applicant with all the policies and requirements set forth in this EDS; and that all facts and information provided by the Applicant in this EDS are true, complete and correct. The Applicant agrees to inform the Chief Procurement Officer in writing if any of such statements, certifications, representations, facts or information becomes or is found to be untrue, incomplete or incorrect during the term of the Contract or County Privilege.

Execution by Corporation

Broadway Electric, Inc.
Corporation's Name
847-593-0001
Telephone
Secretary Signature

John R Oehler
President's Printed Name and Signature
joehler@bei-chicago.com
Email
07/27/2015
Date

Execution by LLC

LLC Name
Date

\*Member/Manager Printed Name and Signature
Telephone and Email

Execution by Partnership/Joint Venture

Partnership/Joint Venture Name
Date

\*Partner/Joint Venturer Printed Name and Signature
Telephone and Email

Execution by Sole Proprietorship

Printed Name and Signature
Telephone

Date
Email

Subscribed and sworn to before me this
27th day of July, 2015.

Notary Public Signature

My commission expires: 7/22/2017

Notary Seal



If the operating agreement, partnership agreement or governing documents require multiple members, managers, partners, or joint venturers, please complete and execute additional Contract and EDS Execution Pages.

**DBE UTILIZATION PLAN - FORM 1**

BIDDER/PROPOSER HEREBY STATES that all DBE firms included in this Plan are certified DBEs by at least one of the entities listed in the General Conditions – Section 19.

**I. BIDDER/PROPOSER MBE/WBE STATUS:** (check the appropriate line)

- Bidder/Proposer is a certified DBE firm. (If so, attach copy of current Letter of Certification)
- Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified DBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the DBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit – available online at [www.cookcountyil.gov/contractcompliance](http://www.cookcountyil.gov/contractcompliance))
- Bidder/Proposer is not a certified DBE firm, nor a Joint Venture with DBE partners, but will utilize DBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent – Form 2).

**II.**  **Direct Participation of DBE Firms**                       **Indirect Participation of DBE Firms**

**NOTE: Where goals have not been achieved through direct participation, Bidder/Proposer shall include documentation outlining efforts to achieve Direct Participation at the time of Bid/Proposal submission. Indirect Participation will only be considered after all efforts to achieve Direct Participation have been exhausted. Only after written documentation of Good Faith Efforts is received will Indirect Participation be considered.**

DBEs that will perform as subcontractors/suppliers/consultants include the following:

DBE Firm: Pagoda Electric & Construction, Inc.  
 Address: 1728 Cornell Road, Flossmoor, IL 60422  
 E-mail: smills@pagodaelectric.com  
 Contact Person: Vincent (Shorty) Mills Phone: 708-263-9540  
 Dollar Amount Participation: \$ 4,033,000.00  
 Percent Amount of Participation: 35 %

\*Letter of Intent attached?                      Yes X                      No \_\_\_\_\_  
 \*Current Letter of Certification attached?    Yes X                      No \_\_\_\_\_

DBE Firm: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 E-mail: \_\_\_\_\_  
 Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_  
 Dollar Amount Participation: \$ \_\_\_\_\_  
 Percent Amount of Participation: \_\_\_\_\_ %

\*Letter of Intent attached?                      Yes X                      No \_\_\_\_\_  
 \*Current Letter of Certification attached?    Yes X                      No \_\_\_\_\_

*Attach additional sheets as needed.*

**\* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.**

**DBE LETTER OF INTENT - FORM 2**

DBE Firm: Pagoda Electric & Construction

Certifying Agency: Chicago Transit Authority

Contact Person: Vincent (Shorty) Mills

Certification Expiration Date: 12/14/2015

Address: 1728 Cornell Road

Ethnicity: African American

City/State: Flossmoor Zip: 60422

Bid/Proposal/Contract #: 1355-12953

Phone: 708-263-9540 Fax: 708-647-6368

FEIN #: 36-4124778

Email: smills@pagodaelectric.com

Participation:  Direct  Indirect

Will the DBE firm be subcontracting any of the goods or services of this contract to another firm?

No  Yes -- Please attach explanation. Proposed Subcontractor(s): \_\_\_\_\_

The undersigned DBE is prepared to provide the following Commodities/Services for the above named Project/ Contract (If more space is needed to fully describe DBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)

Electrical Work: Provide and install cameras, workstations, monitors, switches, and raceways at the Department of Corrections and criminal courts building in accordance with specification 282300.

Indicate the **Dollar Amount, Percentage**, and the **Terms of Payment** for the above-described Commodities/ Services: \$4,033,000.00 Lump sum to be paid within 10 days of receipt of payment from Owner.

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a DBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.

Vincent Mills  
Signature (DBE)

John R Oehler  
Signature (Prime Bidder/Proposer)

Vincent (Shorty) Mills  
Print Name

John R Oehler  
Print Name

Pagoda Electric & Construction, Inc.  
Firm Name

Broadway Electric, Inc.  
Firm Name

07/27/2015  
Date

07/27/2015  
Date

Subscribed and sworn before me

Subscribed and sworn before me

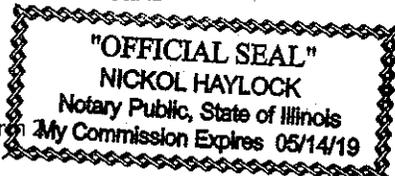
this 27th day of July, 2015

this 28th day of July, 2015

Notary Public Nickol Haylock

Notary Public Heather Orahim

SEAL



**PETITION FOR WAIVER OF DBE PARTICIPATION – FORM 3**

**A. BIDDER/PROPOSER HEREBY REQUESTS:**

- FULL DBE WAIVER
- REDUCTION (PARTIAL DBE PARTICIPATION)

\_\_\_\_\_ % of Reduction for DBE Participation

**B. REASON FOR FULL/REDUCTION WAIVER REQUEST**

Bidder/Proposer shall check each item applicable to its reason for a waiver request. Additionally, supporting documentation shall be submitted with this request.

- (1) Lack of sufficient qualified DBEs capable of providing the goods or services required by the contract. **(Please explain)**
- (2) The specifications and necessary requirements for performing the contract make it impossible or economically infeasible to divide the contract to enable the contractor to utilize DBEs in accordance with the applicable participation. **(Please explain)**
- (3) Price(s) quoted by potential DBEs are above competitive levels and increase cost of doing business and would make acceptance of such DBE bid economically impracticable, taking into consideration the percentage of total contract price represented by such DBE bid. **(Please explain)**
- (4) There are other relevant factors making it impossible or economically infeasible to utilize DBE firms. **(Please explain)**

**C. GOOD FAITH EFFORTS TO OBTAIN MBE/WBE PARTICIPATION**

- (1) Made timely written solicitation to identified DBEs for utilization of goods and/or services; and provided DBEs with a timely opportunity to review and obtain relevant specifications, terms and conditions of the proposal to enable DBEs to prepare an informed response to solicitation. **(Attach of copy written solicitations made)**
- (2) Used the services and assistance of the Office of Contract Compliance staff. **(Please explain)**
- (3) Timely notified and used the services and assistance of community, minority and women business organizations. **(Attach of copy written solicitations made)**
- (4) Followed up on initial solicitation of DBEs to determine if firms are interested in doing business. **(Attach supporting documentation)**
- (5) Engaged DBEs for direct/indirect participation. **(Please explain)**

**D. OTHER RELEVANT INFORMATION**

Attach any other documentation relative to Good Faith Efforts in complying with DBE participation.



CHICAGO TRANSIT AUTHORITY

567 West Lake Street  
Chicago, Illinois 60661-1498  
TEL 312 664-7200  
[www.transitchicago.com](http://www.transitchicago.com)

June 1, 2015

Mr. Vincent Mills  
Pagoda Electric & Construction, Inc.  
1728 Cornell Road  
Flossmoor, IL 60422

Dear Mr. Mills:

The Chicago Transit Authority has reviewed your *No Change Affidavit* and supporting documentation and is pleased to inform you that your firm continues to meet the Disadvantaged Business Enterprise (DBE) program certification eligibility standards set forth in 49 CFR Part 26. Your next *No Change Affidavit* is due **December 14, 2015**. Notification will be sent to you sixty (60) days prior to this date.

This certification allows your firm to participate as a DBE in the Illinois Unified Certification Program (IL UCP). The participating agencies include the Illinois Department of Transportation, the City of Chicago, the Chicago Transit Authority, Metra and Pace.

If there is any change in certification that affects your ability to meet size, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is a ground for denial of certification based on failure to cooperate pursuant to 49 CFR 26.109(c).

Your firm's name will appear in the IL UCP Directory, which is used by prime contractors/consultants, as well as other agencies, to solicit participation of DBE firms. The directory can be accessed at [www.ctavendor.com](http://www.ctavendor.com). Your firm's name will appear in the IL UCP Directory under the following:

**NAICS Code:**

**238210:** ELECTRICAL CONTRACTORS AND OTHER WIRING INSTALLATION CONTRACTORS

**Specialty:**

**238210:** Electrical contractors; Electrical work

Your participation on contracts will only be credited toward DBE contract goals when your firm performs in a Commercially Useful Function (CUF) in its approved area(s) of specialty. Please direct all inquiries and questions to this agency at **(312) 681-2601**.

Sincerely,

Mary Person  
Senior Manager, Diversity Programs



**CHICAGO TRANSIT AUTHORITY**

567 West Lake Street  
Chicago, Illinois 60661-1408  
TEL 312 664-7200  
[www.transitchicago.com](http://www.transitchicago.com)

October 18, 2011

Vincent Mills  
Pagoda Electric & Construction, Inc.  
6516 W. Archer Avenue  
Chicago, IL 60638

Dear Vincent Mills:

Your firm is currently certified as a Disadvantaged Business Enterprise (DBE) with the Illinois Unified Certification Program (IL UCP) for a period of five years, as provided under 49 CFR Part 26.83 (j). Agency records indicate your firm's certification anniversary renewal date is December 14, 2015. As a condition of continued certification for another five-year period, your firm must submit a No Change Affidavit to your host agency. This affidavit and supporting documentation (see checklist) must be completed online at [www.cta.dbesystem.com](http://www.cta.dbesystem.com) and submitted electronically or to the address below within sixty (60) calendar days of the date of this letter.

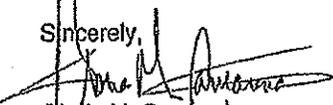
Chicago Transit Authority  
Purchasing & Small Business Compliance Department  
567 W Lake Street, 4th Floor  
Chicago, IL 60661

The affidavit specifically affirms that your firm meets small business size standards and is supported by documentation of your firm's size and gross receipts. Furthermore, if there is any change in circumstances during the course of your five-year certification period that affect your ability to meet size, disadvantaged status, ownership, or control requirements or any material change in the information provided in your initial application, you must provide written notification to this agency within thirty (30) days of the occurrence of the change. Failure to provide this information is a ground for removal of certification based on failure to cooperate pursuant to 49 CFR 26.109(o).

Failure to submit your affidavit within the specified time period will result in the removal of your DBE certification.

If you have any questions or need assistance, please call 312-681-2801.

Sincerely,

  
Gloria M. Camareha  
General Manager  
Purchasing & Small Business Compliance Department



OFFICE OF CONTRACT COMPLIANCE

JACQUELINE GOMEZ

DIRECTOR

118 N. Clark, County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

**TONI PRECKWINKLE**

PRESIDENT

**Cook County Board  
of Commissioners**

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15th District

JEFFREY R. TOBOLSKI

16th District

SEAN M. MORRISON

17th District

November 4, 2015

Ms. Shannon Andrews  
Chief Procurement Officer  
County Building, Room 1018  
Chicago, IL 60602

Re: Contract #1355-12953, Amendment No. 1  
Cook County Sheriff's Video Camera and Recording Systems

Dear Ms. Andrews:

The Office of Contract Compliance is in receipt of the above-referenced contract for review of the vendor's M/WBE participation as committed to in their contract. According to our records as reported by the vendor, it has been determined the vendor has met their committed MWBE goals.

Participation	Commitment	Actual
DBE	35%	35.12%
<b>Total</b>	<b>35%</b>	<b>35.12%</b>

Sincerely,

Jacqueline Gomez

Director

JG/la

Cc: Sheila Atkins, Office of Capital Planning