

**AMENDMENT NO. 2**

This Amendment modifies Contract No. 10-41-41, for Architectural and Engineering Services for the Countywide Security Enhancement Project by and between the County of Cook, Illinois, herein referred to as "County" and Knight E/A, Inc., authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

**RECITALS**

Whereas, the County and Contractor have entered into a Contract approved by the County Board on January 26, 2010, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide architectural and engineering services (hereinafter referred to as the "Services") from January 26, 2010 through July 28, 2016, in an amount not to exceed \$654,000.00; and

Whereas, Amendment # 1 was executed on December 18, 2012, in the amount of \$143,032.00; and

Whereas, an increase in the amount of \$46,786.72 is required for the continuation of Services; and

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:

1. The Contract is increased by \$46,786.72 and the Total Contract Amount is revised to \$843,818.72.
2. The Contract is hereby amended to incorporate Attachment A and made part of the Contract.
3. The attached Economic Disclosures Statement and MBE/WBE Utilization Plan forms are incorporated and made a part of this Contract.
4. All other terms and conditions remain as stated in the Contract.

In witness whereof, the County and Contractor have caused this Amendment No. 2 to be executed on the date and year last written below.

County of Cook, Illinois

Knight E/A, Inc.

By:   
Chief Procurement Officer

Signed   
KEVIN E. LENTZ  
Type or print name

PRESIDENT  
Title

Date: 14 November 2015

Date: 8/14/2015

Contract No. 10-41-41  
Vendor Name: Knight E/A, Inc.

ATTACHMENT A



**CHANGE ORDER LOG**  
(ATTACH REVIEW AND RECOMMENDATION FORM TO THIS LOG)

PROJECT: Countywide Perimeter Security Enhancements  
 CONTRACTOR: Friedler Construction  
 CONSULTANT: Knight E/A

CONTRACT TYPE: \_\_\_\_\_  
 CC CONTRACT NO: 12-18-345  
 GC PO NO: \_\_\_\_\_

DATE: 23-Feb-15  
 BEG. CONTRACT BALANCE: \$654,000.00  
 CHANGES RECOMMENDED TO DATE: \$189,818.72  
 CONTRACT BALANCE W/CHANGES: \$843,818.72  
 PERCENT CHANGE: 29.02%

I ITEM NO	II COUNTY ASSIGNED	III BRIEF DESCRIPTION OF WORK	IV ITEM OPEN		V BY (CHECK ONE)		VI DATE SUBMITTED TO COUNTY WITH CC FORM & ATTACHMENTS		VII AE RECOMMENDATION		VIII (CHECK ONE)		IX COUNTY ACTION		X DATE OF CC NOTICE TO AE	XI (CHECK ONE)	XII ACCEPT	XIII REJECT	XIV ORIGINAL PROPOSAL AMOUNT	XV FINAL AE RECOMMENDED PROPOSAL AMOUNT FOR CO	XVI REVISED CONTRACT AMOUNT TO DATE
			ITEM OPEN DATE	CC OR AE RFP	GC	DATE	DATE	DATE	DATE	DATE	DATE	DATE	DATE	DATE							
1		Phase 2 Design Services for PARCS.																	143,032.00	143,032.00	797,032.00
2		PARCS Construction Administration and Perimeter Security additional construction administration for additional construction scope.																	46,786.72	46,786.72	843,818.72
																			0.00	0.00	843,818.72
																			0.00	0.00	843,818.72
																			0.00	0.00	843,818.72

Knight E/A, Inc.  
221 North LaSalle Street  
Suite 300  
Chicago, IL 60601-1211

Telephone (312) 577-3300  
Fax (312) 577-3526  
www.knightea.com



February 9, 2015

Ms. Sheila Atkins  
Project Director  
County of Cook  
Office of Capital Planning & Policy  
69 West Washington, 30<sup>th</sup> Floor  
Chicago, IL 60602

Subject: Request for Purchase Order for Additional Services #7 and Additional Services #8  
Countywide Perimeter Security Enhancements Project  
Contract No. 10-41-41

Dear Ms. Atkins,

Knight E/A, Inc. (Knight) respectfully submits this request for a purchase order to cover additional services for work completed related to the PARCS Project and the Countywide Perimeter Security Enhancements Project. Additional Services #7 is related to the PARCS Project and includes securing building permits and Construction Administration. The proposal (attached) was submitted on June 25, 2013 and subsequently approved. Additional Services #8 is related to the Countywide Perimeter Security Enhancement Project and includes additional hours related to construction administration to County directed scope increases to the construction project. A breakdown of these additional hours is attached.

Knight has a Purchase Order for \$90,000.00 for Additional Services. The current balance of this purchase order is \$9,812.00 which is below the values of Additional Services #7 and #8. Knight is requesting a purchase order so that we may invoice this work.

Knight was instructed to invoice the remaining balance of the Additional Services (Purchase Order 189351-000-OP) and the Reimbursable (Purchase Order 189350-001-OP). The credit for each balance is shown below along with the cost of Additional Services #7 and Additional Services #8.

Additional Services #7	+\$36,704.00
Additional Services #8	+\$25,160.00
Credit of Add Services Balance	- \$ 9,812.00
Credit of Reimbursable Balance	- <u>\$ 5,265.28</u>
Total Amount of Change Order	+\$46,786.72

Knight requests a purchase order in the amount of \$46,786.72 to cover Additional Service #7 and #8. We do not anticipate any further additional service will be required and estimate that this project will be closed out by the end of May 2015. Should you have any questions or require any additional information, please contact me at (312) 577-3345.

Respectfully submitted,

KNIGHT E/A, INC.

Patrick J. O'Brien, P.E.  
Project Manager

Knight E/A, Inc.  
221 North LaSalle Street  
Suite 200  
Chicago, IL 60601-1211

Telephone (312) 577-3300  
Fax (312) 577-3526  
www.knightea.com



June 25, 2013

Ms. Jessica Caffrey  
Program Executive  
County of Cook  
Office of Capital Planning & Policy  
69 West Washington, 30<sup>th</sup> Floor  
Chicago, IL 60602

Subject: Proposal for Additional A/E Services #7 (Revised)  
Countywide Perimeter Security Enhancements Project  
Contract No. 10-41-41  
Design Services – P.A.R.C.S. at eight Cook County Facilities listed below - Supplement to  
Phase II for PARCS Building Permits/PARCS Construction Administration/Cell Phone  
Locker Design

Dear Ms. Caffrey,

Knight E/A, Inc. (Knight) respectfully submit this proposal related to additional services for work to be completed following the submittal of the Construction Documents for the Parking Access and Revenue Control System (PARCS) at the following eight facilities:

1. Criminal Courts
2. Skokie Courthouse
3. Rolling Meadows Courthouse
4. Bridgeview Courthouse
5. Markham Courthouse
6. Provident Hospital
7. Oak Forest Hospital
8. Maywood Courthouse

The P.A.R.C.S. documents were submitted to the County on 2/22/13 for inclusion into the Parking Management Request for Proposal. The P.A.R.C.S. documents were then updated and submitted to the J.O.C. Contractor - Paul Borg Construction on 3/29/13 for price development and site visits. Three items were requested by the County that were not included in Phase II P.A.R.C.S. design scope. These items include Securing Building Permits for seven sites; Review of Paul Borg Construction Submittals and Design of the Cell Phone Locker and Shelter locations.

Knight has performed work after the 3/29/13 Submittal that we are not requesting additional compensation for, but wanted to document our level of effort. This work includes attending Site Visits with the Parking Management Prospective Bidders; attending Site Visits with Paul Borg Construction; Responding to Questions from the Parking Management Prospective Bidders and Evaluating the Parking Management R.F.P Bids.

#### **Building Permits**

Secure Building Permits at seven sites (excluding Provident Hospital). A Cook County Building Permit is required at Oak Forest Health Center. Modify Design as required to address Municipal/Chicago/Cook County review comments. **84 Hours**

**KNIGHT**

**Construction Administration**

Review submittals from the J.O.C. Contractor Paul Borg Construction. Submittals include Parking Operator Prefabricated Buildings, Prefabricated Pay On Foot Station/Cell Phone Locker Shelters, Cell Phone Lockers and Electrical Equipment. **18 Hours**

Review Pay Applications and Site Inspection at the eight locations including Observation Reports.  
**12 Hours/Week X 11 Weeks = 132 Hours**

**Cell Phone Locker Design**

Attended a 2/21/13 meeting to discuss the design of a Cell Phone Locker Installation at the four Court Houses: Rolling Meadows, Markham, Bridgeview and Skokie. Research Manufacturers of both fee based/non fee based locker systems for exterior use. Specify Prefabricated Shelters to house the locker system. Locate the system within the Court House grounds. Incorporate the Cell Phone Lockers and Shelters into the J.O.C. P.A.R.C.S. Infrastructure Documents. **14 Hours**

Total of all above hours (not included in P.A.R.C.S. Phase II Scope) = 84 + 18 + 132 + 14 = 248 Hours

Knight proposes to perform this work for a lump sum fee of \$36,704.00. This fee represents 248 hours of time for a Senior Engineer at the Contract Billing Rate of \$148.00 per hour (see attached Rate Schedule).

Please note the following items were performed after the 3/29/13 Submittal to Paul Borg Construction which involved a greater level of effort than anticipated but Knight is not requesting additional compensation for this work.

**Parking Management R.F.P Site Visits**

Attended three days of site visits (4/17/13 through 4/19/13) at all eight sites. **35 Hours**

**Paul Borg Construction Site Visits**

Attended three days of site visits (4/2/13 and 4/3/13) at all eight sites. **14 Hours**

**Parking Management R.F.P Response to Questions**

Responded to/Added Input on 116 questions from the prospective Parking Operators. Attended a meeting with Cook County to discuss responses. Attended three days of site visits (4/2/13 and 4/3/13) at all eight sites. **18 Hours**

**Parking Management R.F.P Bid Review**

Review prospective bidders submittals on Parking Management R.F.P. **Hours T.B.D.**

Knight estimates approval of all P.A.R.C.S. Building Permits by 7/2/13. There are currently no outstanding submittals by Paul Borg Construction and we will promptly review any future submittals.

Should you have any questions or require any additional information, please contact me at (312) 577-3345.

Respectfully submitted,

KNIGHT E/A, INC.



Patrick J. O'Brien, P.E.  
Project Manager



Engineers & Architects

PARTICIPATING FIRM NAME: Knight E/A, Inc.

Billing Rates - Consultant's Regular Staff

PERIOD: Effective July 1, 2009

Classification (Grade)	Billing Rate
President, Exec. Vice President (12)	\$ 196.00
Vice President, Principal Engr., Planner, Arch. (11)	\$ 191.00
Senior Engineer, Planner, Architect (10)	\$ 148.00
Project Engineer, Planner, Architect (9)	\$ 120.00
Engineer III, Planner III, Architect III (8)	\$ 112.00
Engineer II, Planner II, Architect II, Designer III (7)	\$ 95.00
Engineer I, Planner I, Architect I, Designer II (6)	\$ 75.00
Secretary, Word Processor, Designer (5)	\$ 53.00
Engineer/Planner/Architect Intern (4)	\$ 30.00

**COOK COUNTY  
ECONOMIC DISCLOSURE STATEMENT  
AND EXECUTION DOCUMENT  
INDEX**

<b>Section</b>	<b>Description</b>	<b>Pages</b>
1	Instructions for Completion of EDS	EDS i - ii
2	Certifications	EDS 1- 2
3	Economic and Other Disclosures, Affidavit of Child Support Obligations and Disclosure of Ownership Interest	EDS 3 - 12
4	Contract and EDS Execution Page	EDS 13-15
5	Cook County Signature Page	EDS 16

**SECTION 1**  
**INSTRUCTIONS FOR COMPLETION OF**  
**ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

This Economic Disclosure Statement and Execution Document ("EDS") is to be completed and executed by every Bidder on a County contract, every Proposer responding to a Request for Proposals, and every Respondent responding to a Request for Qualifications, and others as required by the Chief Procurement Officer. The execution of the EDS shall serve as the execution of a contract awarded by the County. The Chief Procurement Officer reserves the right to request that the Bidder or Proposer, or Respondent provide an updated EDS on an annual basis.

**Definitions.** Terms used in this EDS and not otherwise defined herein shall have the meanings given to such terms in the Instructions to Bidders, General Conditions, Request for Proposals, Request for Qualifications, as applicable.

*Affiliate* means a person that directly or indirectly through one or more intermediaries, Controls is Controlled by, or is under common Control with the Person specified.

*Applicant* means a person who executes this EDS.

*Bidder* means any person who submits a Bid.

*Code* means the Code of Ordinances, Cook County, Illinois available on municode.com.

*Contract* shall include any written document to make Procurements by or on behalf of Cook County.

*Contractor or Contracting Party* means a person that enters into a Contract with the County.

*Control* means the unfettered authority to directly or indirectly manage governance, administration, work, and all other aspects of a business.

*EDS* means this complete Economic Disclosure Statement and Execution Document, including all sections listed in the Index and any attachments.

*Joint Venture* means an association of two or more Persons proposing to perform a for-profit business enterprise. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationship between the partners and their relationship and respective responsibility for the Contract

*Lobby or lobbying* means to, for compensation, attempt to influence a County official or County employee with respect to any County matter.

*Lobbyist* means any person who lobbies.

*Person or Persons* means any individual, corporation, partnership, Joint Venture, trust, association, Limited Liability Company, sole proprietorship or other legal entity.

*Prohibited Acts* means any of the actions or occurrences which form the basis for disqualification under the Code, or under the Certifications hereinafter set forth.

*Proposal* means a response to an RFP.

*Proposer* means a person submitting a Proposal.

*Response* means response to an RFQ.

*Respondent* means a person responding to an RFQ.

*RFP* means a Request for Proposals issued pursuant to this Procurement Code.

*RFQ* means a Request for Qualifications issued to obtain the qualifications of interested parties.

**INSTRUCTIONS FOR COMPLETION OF  
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

**Section 1: Instructions.** Section 1 sets forth the instructions for completing and executing this EDS.

**Section 2: Certifications.** Section 2 sets forth certifications that are required for contracting parties under the Code and other applicable laws. Execution of this EDS constitutes a warranty that all the statements and certifications contained, and all the facts stated, in the Certifications are true, correct and complete as of the date of execution.

**Section 3: Economic and Other Disclosures Statement.** Section 3 is the County's required Economic and Other Disclosures Statement form. Execution of this EDS constitutes a warranty that all the information provided in the EDS is true, correct and complete as of the date of execution, and binds the Applicant to the warranties, representations, agreements and acknowledgements contained therein.

**Required Updates.** The Applicant is required to keep all information provided in this EDS current and accurate. In the event of any change in the information provided, including but not limited to any change which would render inaccurate or incomplete any certification or statement made in this EDS, the Applicant shall supplement this EDS up to the time the County takes action, by filing an amended EDS or such other documentation as is required.

**Additional Information.** The County's Governmental Ethics and Campaign Financing Ordinances impose certain duties and obligations on persons or entities seeking County contracts, work, business, or transactions, and the Applicant is expected to comply fully with these ordinances. For further information please contact the Director of Ethics at (312) 603-4304 (69 W. Washington St. Suite 3040, Chicago, IL 60602) or visit the web-site at [cookcountyil.gov/ethics-board-of](http://cookcountyil.gov/ethics-board-of).

**Authorized Signers of Contract and EDS Execution Page.** If the Applicant is a corporation, the President and Secretary must execute the EDS. In the event that this EDS is executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization by the Corporation, satisfactory to the County that permits the person to execute EDS for said corporation. If the corporation is not registered in the State of Illinois, a copy of the Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute the EDS, unless one partner or joint venture has been authorized to sign for the partnership or joint venture, in which case, the partnership agreement, resolution or evidence of such authority satisfactory to the Office of the Chief Procurement Officer must be submitted with this Signature Page.

If the Applicant is a member-managed LLC all members must execute the EDS, unless otherwise provided in the operating agreement, resolution or other corporate documents. If the Applicant is a manager-managed LLC, the manager(s) must execute the EDS. The Applicant must attach either a certified copy of the operating agreement, resolution or other authorization, satisfactory to the County, demonstrating such person has the authority to execute the EDS on behalf of the LLC. If the LLC is not registered in the State of Illinois, a copy of a current Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute the EDS.

A "Partnership" "Joint Venture" or "Sole Proprietorship" operating under an Assumed Name must be registered with the Illinois county in which it is located, as provided in 805 ILCS 405 (2012), and documentation evidencing registration must be submitted with the EDS.

## SECTION 2

### CERTIFICATIONS

THE FOLLOWING CERTIFICATIONS ARE MADE PURSUANT TO STATE LAW AND THE CODE. THE APPLICANT IS CAUTIONED TO CAREFULLY READ THESE CERTIFICATIONS PRIOR TO SIGNING THE SIGNATURE PAGE. SIGNING THE SIGNATURE PAGE SHALL CONSTITUTE A WARRANTY BY THE APPLICANT THAT ALL THE STATEMENTS, CERTIFICATIONS AND INFORMATION SET FORTH WITHIN THESE CERTIFICATIONS ARE TRUE, COMPLETE AND CORRECT AS OF THE DATE THE SIGNATURE PAGE IS SIGNED. THE APPLICANT IS NOTIFIED THAT IF THE COUNTY LEARNS THAT ANY OF THE FOLLOWING CERTIFICATIONS WERE FALSELY MADE, THAT ANY CONTRACT ENTERED INTO WITH THE APPLICANT SHALL BE SUBJECT TO TERMINATION.

#### A. PERSONS AND ENTITIES SUBJECT TO DISQUALIFICATION

No person or business entity shall be awarded a contract or sub-contract, for a period of five (5) years from the date of conviction or entry of a plea or admission of guilt, civil or criminal, if that person or business entity:

- 1) Has been convicted of an act committed, within the State of Illinois, of bribery or attempting to bribe an officer or employee of a unit of state, federal or local government or school district in the State of Illinois in that officer's or employee's official capacity;
- 2) Has been convicted by federal, state or local government of an act of bid-rigging or attempting to rig bids as defined in the Sherman Anti-Trust Act and Clayton Act. Act. 15 U.S.C. Section 1 *et seq.*;
- 3) Has been convicted of bid-rigging or attempting to rig bids under the laws of federal, state or local government;
- 4) Has been convicted of an act committed, within the State, of price-fixing or attempting to fix prices as defined by the Sherman Anti-Trust Act and the Clayton Act. 15 U.S.C. Section 1, *et seq.*;
- 5) Has been convicted of price-fixing or attempting to fix prices under the laws the State;
- 6) Has been convicted of defrauding or attempting to defraud any unit of state or local government or school district within the State of Illinois;
- 7) Has made an admission of guilt of such conduct as set forth in subsections (1) through (6) above which admission is a matter of record, whether or not such person or business entity was subject to prosecution for the offense or offenses admitted to; or
- 8) Has entered a plea of *nolo contendere* to charge of bribery, price-fixing, bid-rigging, or fraud, as set forth in sub-paragraphs (1) through (6) above.

In the case of bribery or attempting to bribe, a business entity may not be awarded a contract if an official, agent or employee of such business entity committed the Prohibited Act on behalf of the business entity and pursuant to the direction or authorization of an officer, director or other responsible official of the business entity, and such Prohibited Act occurred within three years prior to the award of the contract. In addition, a business entity shall be disqualified if an owner, partner or shareholder controlling, directly or indirectly, 20% or more of the business entity, or an officer of the business entity has performed any Prohibited Act within five years prior to the award of the Contract.

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant has read the provisions of Section A, Persons and Entities Subject to Disqualification, that the Applicant has not committed any Prohibited Act set forth in Section A, and that award of the Contract to the Applicant would not violate the provisions of such Section or of the Code.

#### B. BID-RIGGING OR BID ROTATING

**THE APPLICANT HEREBY CERTIFIES THAT:** In accordance with 720 ILCS 5/33 E-11, neither the Applicant nor any Affiliated Entity is barred from award of this Contract as a result of a conviction for the violation of State laws prohibiting bid-rigging or bid rotating.

#### C. DRUG FREE WORKPLACE ACT

**THE APPLICANT HEREBY CERTIFIES THAT:** The Applicant will provide a drug free workplace, as required by (30 ILCS 580/3).

**D. DELINQUENCY IN PAYMENT OF TAXES**

**THE APPLICANT HEREBY CERTIFIES THAT:** *The Applicant is not an owner or a party responsible for the payment of any tax or fee administered by Cook County, by a local municipality, or by the Illinois Department of Revenue, which such tax or fee is delinquent, such as bar award of a contract or subcontract pursuant to the Code, Chapter 34, Section 34-171.*

**E. HUMAN RIGHTS ORDINANCE**

No person who is a party to a contract with Cook County ("County") shall engage in unlawful discrimination or sexual harassment against any individual in the terms or conditions of employment, credit, public accommodations, housing, or provision of County facilities, services or programs (Code Chapter 42, Section 42-30 *et seq.*)

**F. ILLINOIS HUMAN RIGHTS ACT**

**THE APPLICANT HEREBY CERTIFIES THAT:** *It is in compliance with the Illinois Human Rights Act (775 ILCS 5/2-105), and agrees to abide by the requirements of the Act as part of its contractual obligations.*

**G. INSPECTOR GENERAL (COOK COUNTY CODE, CHAPTER 34, SECTION 34-174 and Section 34-250)**

The Applicant has not willfully failed to cooperate in an investigation by the Cook County Independent Inspector General or to report to the Independent Inspector General any and all information concerning conduct which they know to involve corruption, or other criminal activity, by another county employee or official, which concerns his or her office of employment or County related transaction.

The Applicant has reported directly and without any undue delay any suspected or known fraudulent activity in the County's Procurement process to the Office of the Cook County Inspector General.

**H. CAMPAIGN CONTRIBUTIONS (COOK COUNTY CODE, CHAPTER 2, SECTION 2-585)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning campaign contributions, which is codified at Chapter 2, Division 2, Subdivision II, Section 585, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**I. GIFT BAN, (COOK COUNTY CODE, CHAPTER 2, SECTION 2-574)**

**THE APPLICANT CERTIFIES THAT:** It has read and shall comply with the Cook County's Ordinance concerning receiving and soliciting gifts and favors, which is codified at Chapter 2, Division 2, Subdivision II, Section 574, and can be read in its entirety at [www.municode.com](http://www.municode.com).

**J. LIVING WAGE ORDINANCE PREFERENCE (COOK COUNTY CODE, CHAPTER 34, SECTION 34-160;**

Unless expressly waived by the Cook County Board of Commissioners, the Code requires that a living wage must be paid to individuals employed by a Contractor which has a County Contract and by all subcontractors of such Contractor under a County Contract, throughout the duration of such County Contract. The amount of such living wage is annually by the Chief Financial Officer of the County, and shall be posted on the Chief Procurement Officer's website.

The term "Contract" as used in Section 4, I, of this EDS, specifically excludes contracts with the following:

- 1) Not-For Profit Organizations (defined as a corporation having tax exempt status under Section 501(C)(3) of the United State Internal Revenue Code and recognized under the Illinois State not-for-profit law);
- 2) Community Development Block Grants;
- 3) Cook County Works Department;
- 4) Sheriff's Work Alternative Program; and
- 5) Department of Correction inmates.

**SECTION 3**

**REQUIRED DISCLOSURES**

**1. DISCLOSURE OF LOBBYIST CONTACTS**

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name Address

None

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**2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)**

*Local business* means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?

Yes:   X   No: \_\_\_\_\_

b) If yes, list business addresses within Cook County:

221 N LaSalle Street, Suite 300, Chicago, IL 60601

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c) Does Applicant employ the majority of its regular full-time workforce within Cook County?

Yes:   X   No: \_\_\_\_\_

**3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)**

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

**All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.**

**4. REAL ESTATE OWNERSHIP DISCLOSURES.**

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

**PERMANENT INDEX NUMBER(S):** \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
**(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)**

**OR:**

- b)  The Applicant owns no real estate in Cook County.

**5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.**

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

None

\_\_\_\_\_  
\_\_\_\_\_  
If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.

## COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing.

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the  Applicant or  Stock/Beneficial Interest Holder

This Statement is an:  Original Statement or  Amended Statement

**Identifying Information:**

Name Knight E/A, Inc.

D/B/A: \_\_\_\_\_ FEIN NO/SSN (LAST FOUR DIGITS): 37-1380560

Street Address: 221 North LaSalle Street, Suite 300

City: Chicago State: IL Zip Code: 60601

Phone No.: (312) 577-3300 Fax Number: (312) 577-3526 Email: klentz@knightea.com

Cook County Business Registration Number: N/A  
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): 6027-996-9

**Form of Legal Entity:**

Sole Proprietor  Partnership  Corporation  Trustee of Land Trust

Business Trust  Estate  Association  Joint Venture

Other (describe) \_\_\_\_\_

**Ownership Interest Declaration:**

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
Knight Partners, LLC	221 North LaSalle Street Suite 300 Chicago, IL 60601	100%

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address
See Attached A		

3. Is the Applicant constructively controlled by another person or Legal Entity?  Yes  No  
If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship
James Wolfe	221 North LaSalle Street Suite 300 Chicago, IL 60601	39.93%	Managing Partner Knight Partners, LLC

**Corporate Officers, Members and Partners Information:**

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
See Attached B			

**Declaration (check the applicable box):**

- I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

Kevin E. Lentz, PE  
Name of Authorized Applicant/Holder Representative (please print or type)

*K. Lentz*  
Signature

klentz@knightea.com  
E-mail address

Subscribed to and sworn before me  
this 8<sup>th</sup> day of July, 2015

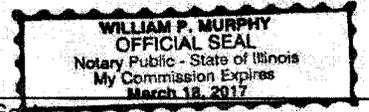
x *William P. Murphy*  
Notary Public Signature

President  
Title

July 8, 2015  
Date

(321) 577-3300  
Phone Number

My commission expires: 3/18/17



Notary Seal

221 North LaSalle  
Suite 300  
Chicago, IL 60601

Telephone (312) 577-3300  
Fax (312) 577-3526  
www.knightea.com

**KNIGHT**

Engineers & Architects

**ATTACHMENT A**

**Knight Partners, LLC**

Directors

James E. Wolfe  
Kevin E. Lentz  
Erhard R. Chorlé

Thomas Murphy  
Joseph Lamb

Officers

James E. Wolfe – Chairman, Chief Executive Officer  
Kevin E. Lentz, PE – President  
Melissa M. Mulhern – Treasurer & Secretary

Members as of November 5, 2013

<u>Name</u>	<u>Pro Rata Ownership</u>
JWE Knight S-Corp (James E. Wolfe – 100% Owner)	39.93%
KEL Knight S-Corp (Kevin E. Lentz – 100% Owner)	37.95%
MMM Knight S-Corp (Melissa M. Mulhern – 100% Owner)	6.88%
Joseph Lamb	6.33%
DGK Knight S-Corp (Daniel G. Kavanaugh – 100% Owner)	5.59%
CES Knight S-Corp (Charles E. Sommer – 100% Owner)	3.32%
Total Shares Outstanding	<hr/> 100%

**Business Address for Members:**

221 North LaSalle Street  
Suite 300  
Chicago, IL 60601-1211

221 North LaSalle  
Suite 300  
Chicago, IL 60601

Telephone (312) 577-3300  
Fax (312) 577-3526  
www.knightea.com

**KNIGHT**

Engineers & Architects

**ATTACHMENT B**

**Knight E/A, Inc.**

A wholly Owned Subsidiary of Knight Partners, LLC – 100%

**Directors (Term of Office: Perpetual)**

Kevin E. Lentz, PE

William P. Murphy, SE, PE, RA

**Officers (Term of Office: Perpetual)**

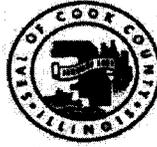
James E. Wolfe – Chairman, Chief Executive Officer

Kevin E. Lentz, PE – President

Melissa M. Mulhern – Treasurer & Secretary

**Business Address for Directors and Officers:**

221 North LaSalle Street  
Suite 300  
Chicago, IL 60601-1211



COOK COUNTY BOARD OF ETHICS  
69 W. WASHINGTON STREET, SUITE 3040  
CHICAGO, ILLINOIS 60602  
312/603-4304 Office 312/603-9988 Fax

### FAMILIAL RELATIONSHIP DISCLOSURE PROVISION

#### Nepotism Disclosure Requirement:

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

#### Additional Definitions:

*"Familial relationship"* means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- |                                  |  |                                       |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent  | <input type="checkbox"/> Grandparent     | <input type="checkbox"/> Stepfather   |
| <input type="checkbox"/> Child   | <input type="checkbox"/> Grandchild      | <input type="checkbox"/> Stepmother   |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law   | <input type="checkbox"/> Stepson      |
| <input type="checkbox"/> Sister  | <input type="checkbox"/> Mother-in-law   | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt    | <input type="checkbox"/> Son-in-law      | <input type="checkbox"/> Stepbrother  |
| <input type="checkbox"/> Uncle   | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister   |
| <input type="checkbox"/> Niece   | <input type="checkbox"/> Brother-in-law  | <input type="checkbox"/> Half-brother |
| <input type="checkbox"/> Nephew  | <input type="checkbox"/> Sister-in-law   | <input type="checkbox"/> Half-sister  |

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

---

**A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY**

Name of Person Doing Business with the County: \_\_\_\_\_

Address of Person Doing Business with the County: \_\_\_\_\_

Phone number of Person Doing Business with the County: \_\_\_\_\_

Email address of Person Doing Business with the County: \_\_\_\_\_

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

Knight E/A, Inc., Kevin E. Lentz, PE, President, 221 N. LaSalle St., Suite 300

Chicago, IL 60601, (312) 577-3381, klentz@knightea.com

**B. DESCRIPTION OF BUSINESS WITH THE COUNTY**

*Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the proceeding calendar year if disclosure is made on January 1), identify:*

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: \_\_\_\_\_

Contract No. 10-41-41

The aggregate dollar value of the business you are doing or seeking to do with the County: \$ 46,786.72

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: \_\_\_\_\_

Sheila Atkins

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: \_\_\_\_\_

Sheila Atkins

**C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS**

*Check the box that applies and provide related information where needed*

The Person Doing Business with the County is an individual and there is no familial relationship between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

The Person Doing Business with the County is a business entity and there is no familial relationship between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS  
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- The Person Doing Business with the County is an individual and there is a familial relationship between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*If more space is needed, attach an additional sheet following the above format.*

- The Person Doing Business with the County is a business entity and there is a familial relationship between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
---	--	--	----------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

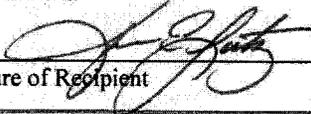
Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

*If more space is needed, attach an additional sheet following the above format.*

**VERIFICATION:** To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.

Signature of Recipient



July 8, 2015

Date

**SUBMIT COMPLETED FORM TO:**

Cook County Board of Ethics  
 69 West Washington Street, Suite 3040, Chicago, Illinois 60602  
 Office (312) 603-4304 – Fax (312) 603-9988  
 CookCounty.Ethics@cookcountyil.gov

\* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (*i.e.* in laws and step relations) or adoption.

**PETITION FOR WAIVER OF MBE/WBE PARTICIPATION – FORM 3**

**A. BIDDER/PROPOSER HEREBY REQUESTS:**

- FULL MBE WAIVER**                       **FULL WBE WAIVER**
- REDUCTION (PARTIAL MBE and/or WBE PARTICIPATION)**

\_\_\_\_\_ % of Reduction for MBE Participation  
\_\_\_\_\_ % of Reduction for WBE Participation

**B. REASON FOR FULL/REDUCTION WAIVER REQUEST**

Bidder/Proposer shall check each item applicable to its reason for a waiver request. Additionally, supporting documentation shall be submitted with this request.

- (1) Lack of sufficient qualified MBEs and/or WBEs capable of providing the goods or services required by the contract. **(Please explain)**
- (2) The specifications and necessary requirements for performing the contract make it impossible or economically infeasible to divide the contract to enable the contractor to utilize MBEs and/or WBEs in accordance with the applicable participation. **(Please explain)**
- (3) Price(s) quoted by potential MBEs and/or WBEs are above competitive levels and increase cost of doing business and would make acceptance of such MBE and/or WBE bid economically impracticable, taking into consideration the percentage of total contract price represented by such MBE and/or WBE bid. **(Please explain)**
- (4) There are other relevant factors making it impossible or economically infeasible to utilize MBE and/or WBE firms. **(Please explain)**

**C. GOOD FAITH EFFORTS TO OBTAIN MBE/WBE PARTICIPATION**

- (1) Made timely written solicitation to identified MBEs and WBEs for utilization of goods and/or services; and provided MBEs and WBEs with a timely opportunity to review and obtain relevant specifications, terms and conditions of the proposal to enable MBEs and WBEs to prepare an informed response to solicitation. **(Attach of copy written solicitations made)**
- (2) Used the services and assistance of the Office of Contract Compliance staff. **(Please explain)**
- (3) Timely notified and used the services and assistance of community, minority and women business organizations. **(Attach of copy written solicitations made)**
- (4) Followed up on initial solicitation of MBEs and WBEs to determine if firms are interested in doing business. **(Attach supporting documentation)**
- (5) Engaged MBEs & WBEs for direct/indirect participation. **(Please explain)**

**D. OTHER RELEVANT INFORMATION**

Attach any other documentation relative to Good Faith Efforts in complying with MBE/WBE participation.

## **Amendment No. 2 - Petition for Waiver of MBE/WBE Participation**

The Basic Services identified that Knight E/A will provide all service related to Construction Administration as the WBE/MBE participants provided services related to Land Topographic Survey and Civil Design respectively. Amendment No. 2 includes additional scope related to Construction Administration over and above the Basic Services. The additional Construction Administration scope is a direct result of additional construction scope requested by Cook County to be performed by the contractor(s). At the time that the additional construction scope was identified, Knight E/A staff were fully engaged in the project providing Construction Administration services. Adding WBE/MBE subconsultants to oversee in progress construction activities that they have no familiarity with is not practical/beneficial to the project. Also, the additional construction scope was intertwined among various in scope construction activities and the work was located over eight different project sites.



OFFICE OF CONTRACT COMPLIANCE

**JACQUELINE GOMEZ**

DIRECTOR

118 N. Clark, County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

**TONI PRECKWINKLE**

PRESIDENT

Cook County Board  
of Commissioners

RICHARD R. BOYKIN

1st District

ROBERT STEELE

2nd District

JERRY BUTLER

3rd District

STANLEY MOORE

4th District

DEBORAH SIMS

5th District

JOAN PATRICIA MURPHY

6th District

JESUS G. GARCIA

7th District

LUIS ARROYO, JR.

8th District

PETER N. SILVESTRI

9th District

BRIDGET GAINER

10th District

JOHN P. DALEY

11th District

JOHN A. FRITCHEY

12th District

LARRY SUFFREDIN

13th District

GREGG GOSLIN

14th District

TIMOTHY D. SCHNEIDER

15th District

JEFFREY R. TOBOLSKI

16th District

Sean M. Morrison

17th District

August 21, 2015

Ms. Shannon E. Andrews  
Chief Procurement Officer  
County Building-Room 1018  
Chicago, IL 60602

Re: Contract No. 10-41-41 (Amendment No. 2)  
A/E Services for CW Security Enhancement Project

Dear Ms. Andrews:

The Office of Contract Compliance is in receipt of the above-reference contract amendment and has reviewed it for compliance with the Minority- and Women- owned Business Enterprises Ordinance. After careful review it has been determined that, this amendment is responsive to the Ordinance.

Bidder: Knight E/A, Inc.

Original Contract Amount: \$654,000.00

Increase Contract Amount: \$143,032.00 (Amendment No. 1)

New Contract Amount: \$797,032.00

Increase Contract Amount: \$46,786.72 (Amendment No. 2)

New Contract Amount: \$843,818.72

**Waiver Granted:** The specifications and necessary requirements for performing the services under Amendment No. 2 make it impractical to divide the contract to enable the vendor to utilize additional MBEs services in accordance with the applicable participation.

The Office of Contract Compliance has been advised by the Requesting Department that no other bidders are being recommended for award. Original MBE/WBE Forms were used in the determination of the responsiveness of this contract.

Sincerely,

Jacqueline Gomez

Contract Compliance Director

JG/ate

cc: Donna Rusin, OCPO  
Sheila Atkins, OCPP