

PROFESSIONAL SERVICES AGREEMENT

RECIDIVISM REDUCTION DEMONSTRATION GRANTS (\$100,000)

BETWEEN



COOK COUNTY GOVERNMENT

JUSTICE ADVISORY COUNCIL

AND

ONE HOPE UNITED

CONTRACT NO. 1653-15275A

PROFESSIONAL SERVICES AGREEMENT

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List of Exhibits

- Exhibit 1 Scope of Services
- Exhibit 2 Schedule of Compensation
- Exhibit 3 Evidence of Insurance
- Exhibit 4 Identification of Subcontractor/Supplier/Subconsultant Form
- Exhibit 5 MBE/WBE Utilization Plan
- Exhibit 6: Economic Disclosure Statement

AGREEMENT

This Agreement is made and entered into by and between the County of Cook, a public body corporate of the State of Illinois, on behalf of Office of the Chief Procurement Officer hereinafter referred to as "County" and One Hope United, doing business as a Corporation of the State of Illinois, hereinafter referred to as "Contractor".

BACKGROUND

The County of Cook issued a Request for Proposals "RFP" for Recidivism Reduction Demonstration Grants (\$100,000). Proposals were evaluated in accordance with the evaluation criteria published in the RFP. The Contractor was selected based on the proposal submitted and evaluated by the County representatives.

Contractor represents that it has the professional experience and expertise to provide the necessary services and further warrants that it is ready, willing and able to perform in accordance with the terms and conditions as set forth in this Agreement.

NOW, THEREFORE, the County and Contractor agree as follows:

TERMS AND CONDITIONS

ARTICLE 1) INCORPORATION OF BACKGROUND

The Background information set forth above is incorporated by reference as if fully set forth here.

ARTICLE 2) DEFINITIONS

a) Definitions

The following words and phrases have the following meanings for purposes of this Agreement:

"Additional Services" means those services which are within the general scope of Services of this Agreement, but beyond the description of services required under Article 3, and all services reasonably necessary to complete the Additional Services to the standards of performance required by this Agreement. Any Additional Services requested by the Using Agency require the approval of the Chief Procurement Officer in a written amendment to this Agreement before Contractor is obligated to perform those Additional Services and before the County becomes obligated to pay for those Additional Services.

"**Agreement**" means this Professional Services Agreement, including all exhibits attached to it and incorporated in it by reference, and all amendments, modifications or revisions made in accordance with its terms.

"**Chief Procurement Officer**" means the Chief Procurement Officer for the County of Cook and any representative duly authorized in writing to act on his behalf.

"**Services**" means, collectively, the services, duties and responsibilities described in Article 3 of this Agreement and any and all work necessary to complete them or carry them out fully and to the standard of performance required in this Agreement.

"**Subcontractor**" or "**SubContractor**" means any person or entity with whom Contractor contracts to provide any part of the Services, of any tier, suppliers and materials providers, whether or not in privity with Contractor.

"**Using Agency**" shall mean the department of agency within Cook County including elected officials.

b) Interpretation

- i) The term "**include**" (in all its forms) means "include, without limitation" unless the context clearly states otherwise.
- ii) All references in this Agreement to Articles, Sections or Exhibits, unless otherwise expressed or indicated are to the Articles, Sections or Exhibits of this Agreement.
- iii) Words importing persons include firms, associations, partnerships, trusts, corporations and other legal entities, including public bodies, as well as natural persons.
- iv) Any headings preceding the text of the Articles and Sections of this Agreement, and any tables of contents or marginal notes appended to it are solely for convenience or reference and do not constitute a part of this Agreement, nor do they affect the meaning, construction or effect of this Agreement.
- v) Words importing the singular include the plural and vice versa. Words of the masculine gender include the correlative words of the feminine and neuter genders.
- vi) All references to a number of days mean calendar days, unless expressly indicated otherwise.

c) **Incorporation of Exhibits**

The following attached Exhibits are made a part of this Agreement:

Exhibit 1 Scope of Services

Exhibit 2 Schedule of Compensation

Exhibit 3 Evidence of Insurance

Exhibit 4 Identification of Subcontractor/Supplier/Subconsultant Form

Exhibit 5 MBE/WBE Utilization Plan

Exhibit 6: Economic Disclosure Statement

ARTICLE 3) DUTIES AND RESPONSIBILITIES OF CONTRACTOR

a) **Scope of Services**

This description of Services is intended to be general in nature and is neither a complete description of Contractor's Services nor a limitation on the Services that Contractor is to provide under this Agreement. Contractor must provide the Services in accordance with the standards of performance set forth in Section 3c. The Services that Contractor must provide include, but are not limited to, those described in Exhibit 1, Scope of Services and Time Limits for Performance, which is attached to this Agreement and incorporated by reference as if fully set forth here.

b) **Deliverables**

In carrying out its Services, Contractor must prepare or provide to the County various Deliverables. "Deliverables" include work product, such as written reviews, recommendations, reports and analyses, produced by Contractor for the County.

The County may reject Deliverables that do not include relevant information or data, or do not include all documents or other materials specified in this Agreement or reasonably necessary for the purpose for which the County made this Agreement or for which the County intends to use the Deliverables. If the County determines that Contractor has failed to comply with the foregoing standards, it has 30 days from the discovery to notify Contractor of its failure. If Contractor does not correct the failure, if it is possible to do so, within 30 days after receipt of notice from the County specifying the failure, then the County, by written notice, may treat the failure as a default of this Agreement under Article 9.

Partial or incomplete Deliverables may be accepted for review only when required for a specific and well-defined purpose and when consented to in advance by the County. Such Deliverables will not be considered as satisfying the requirements of this Agreement and partial or incomplete Deliverables in no way relieve Contractor of its commitments under this Agreement.

c) Standard of Performance

Contractor must perform all Services required of it under this Agreement with that degree of skill, care and diligence normally shown by a Contractor performing services of a scope and purpose and magnitude comparable with the nature of the Services to be provided under this Agreement. Contractor acknowledges that it is entrusted with or has access to valuable and confidential information and records of the County and with respect to that information, Contractor agrees to be held to the standard of care of a fiduciary.

Contractor must assure that all Services that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. Contractor must provide copies of any such licenses. Contractor remains responsible for the professional and technical accuracy of all Services or Deliverables furnished, whether by Contractor or its SubContractors or others on its behalf. All Deliverables must be prepared in a form and content satisfactory to the Using Agency and delivered in a timely manner consistent with the requirements of this Agreement.

If Contractor fails to comply with the foregoing standards, Contractor must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the County does not relieve Contractor of its responsibility for the professional skill and care and technical accuracy of its Services and Deliverables. This provision in no way limits the County's rights against Contractor either under this Agreement, at law or in equity.

d) Personnel

i) Adequate Staffing

Contractor must, upon receiving a fully executed copy of this Agreement, assign and maintain during the term of this Agreement and any extension of it an adequate staff of competent personnel that is fully equipped, licensed as appropriate, available as needed, qualified and assigned exclusively to perform the Services. Contractor must include among its staff the Key Personnel and positions as identified below. The level of staffing may be revised from time to time by notice in writing from Contractor to the County and with written consent of the County, which consent the County will not withhold unreasonably. If the County fails to object to the revision within 14 days after receiving the notice, then the revision will be considered accepted by the County.

ii) **Key Personnel**

Contractor must not reassign or replace Key Personnel without the written consent of the County, which consent the County will not unreasonably withhold. "Key Personnel" means those job titles and the persons assigned to those positions in accordance with the provisions of this Section 3.d(ii). The Using Agency may at any time in writing notify Contractor that the County will no longer accept performance of Services under this Agreement by one or more Key Personnel listed. Upon that notice Contractor must immediately suspend the services of the key person or persons and must replace him or them in accordance with the terms of this Agreement. A list of Key Personnel is found in Exhibit 1, Scope of Services.

iii) **Salaries and Wages**

Contractor and SubContractors must pay all salaries and wages due all employees performing Services under this Agreement unconditionally and at least once a month without deduction or rebate on any account, except only for those payroll deductions that are mandatory by law or are permitted under applicable law and regulations. If in the performance of this Agreement Contractor underpays any such salaries or wages, the Comptroller for the County may withhold, out of payments due to Contractor, an amount sufficient to pay to employees underpaid the difference between the salaries or wages required to be paid under this Agreement and the salaries or wages actually paid these employees for the total number of hours worked. The amounts withheld may be disbursed by the Comptroller for and on account of Contractor to the respective employees to whom they are due. The parties acknowledge that this Section 3.d(iii) is solely for the benefit of the County and that it does not grant any third party beneficiary rights.

e) **Minority and Women Owned Business Enterprises Commitment**

Minority and Women Owned Business Enterprises Commitment In the performance of this Agreement, including the procurement and lease of materials or equipment, Contractor must abide by the minority and women's business enterprise commitment requirements of the Cook County Ordinance, (Article IV, Section 34-267 through 272) except to the extent waived by the Compliance Director. **There is a zero percent (0%) MBE/WBE goal for this contract.**

f) **Insurance**

Prior to the effective date of this Contract, the Contractor, at its cost, shall secure and maintain at all times, unless specified otherwise, until completion of the term of this Contract the insurance specified below.

Nothing contained in these insurance requirements is to be construed as limiting the extent of the Contractor's responsibility for payment of damages resulting from its operations under this Contract.

Contractor shall require all Subcontractors to provide the insurance required in this Agreement, or Contractor may provide the coverages for Subcontractors. All Subcontractors are subject to the same insurance requirements as Contractor except paragraph (d) Excess Liability or as specified otherwise.

The Cook County Department of Risk Management maintains the right to modify, delete, alter or change these requirements.

Coverages

(a) **Workers Compensation Insurance**

Workers' Compensation shall be in accordance with the laws of the State of Illinois or any other applicable jurisdiction.

The Workers Compensation policy shall also include the following provisions:

Employers' Liability coverage with a limit of:

- \$500,000 each Accident
- \$500,000 each Employee
- \$500,000 Policy Limit for Disease

(b) **Commercial General Liability Insurance**

The Commercial General Liability shall be on an occurrence form basis (ISO Form CG 0001 or equivalent) to cover bodily injury, personal injury and property damage.

Each Occurrence:	\$ 1,000,000
General Aggregate:	\$ 2,000,000
Completed Operations Aggregate:	\$ 2,000,000

The General Liability policy shall include the following coverages:

- (i) All premises and operations;
- (ii) Contractual Liability;
- (iii) Products/Completed Operations;
- (iv) Severability of interest/separation of insureds clause

(c) **Commercial Automobile Liability Insurance**

When any vehicles are used in the performance of this contract, Contractor shall secure Automobile Liability Insurance for bodily injury and property damage arising from the Ownership, maintenance or use of owned, hired and non-owned vehicles with a limit no less than \$1,000,000 per accident.

(d) **Umbrella/Excess Liability**

Such policy shall be excess over the Commercial General Liability, Automobile Liability, and Employer's Liability with limits not less than the following amounts:

Each Occurrence: \$1,000,000

(e) **Professional Liability**

Contractor shall secure Professional Liability insurance covering any and all claims arising out of the performance or nonperformance of professional services for the County under this Agreement. This professional liability insurance shall remain in force for the life of the Contractor's obligations under this Agreement, and shall have a limit of liability of not less than \$1,000,000 per claim. If any such policy is written on a claims made form, the retroactive date shall be prior to the effective date of this contract. Claims made form coverage, or extended reporting following the expiration or termination of this contract, shall be maintained by the Contractor for a minimum of three years following the expiration or early termination of this contract and the Contractor shall annually provide the County with proof of renewal.

Subcontractors performing professional services for the Contractor must maintain limits of not less than \$1,000,000 with the same terms in this section.

Additional requirements

(a) **Additional Insured**

The required insurance policies, with the exception of the Workers Compensation and Professional Liability, shall name Cook County, its officials, employees and agents as additional insureds. Contractor's insurance shall be primary and non-contributory with any insurance or self-insurance maintained by Cook County. Any insurance or self-insurance maintained by Cook County shall be excess of the Contractor's insurance and shall not contribute with it. The full policy limits and scope of protection shall apply to Cook County as an additional insured even if they exceed the minimum insurance limits specified above.

(b) **Qualification of Insurers**

All insurance companies providing coverage shall be licensed or approved by the Department of Insurance, State of Illinois, and shall have a financial rating no lower than (A-) VII as listed in A.M. Best's Key Rating Guide, current edition or interim report. Companies with ratings lower than (A-) VII will be acceptable only upon consent of the Cook County Department of Risk Management. The insurance limits required herein may be satisfied by a combination of primary, umbrella and/or excess liability insurance policies.

(c) **Insurance Notices**

Contractor shall provide the Office of the Chief Procurement Officer with thirty (30) days advance written notice in the event any required insurance will be cancelled, materially reduced or non-renewed. Contractor shall secure replacement coverage to comply with the stated insurance requirements and provide new certificates of insurance to the Office of the Chief Procurement Officer.

Prior to the date on which Contractor commences performance of its part of the work, Contractor shall furnish to the Office of the Chief Procurement Officer certificates of insurance maintained by Contractor. The receipt of any certificate of insurance does not constitute agreement by the County that the insurance requirements have been fully met or that the insurance policies indicated on the certificate of insurance are in compliance with insurance required above.

In no event shall any failure of the County to receive certificates of insurance required hereof or to demand receipt of such Certificates of Insurance be construed as a waiver of Contractor's obligations to obtain insurance pursuant to these insurance requirements.

(d) **Waiver of Subrogation Endorsements**

All insurance policies must contain a Waiver of Subrogation Endorsement in favor of Cook County.

g) **Indemnification**

The Contractor covenants and agrees to indemnify and save harmless the County and its commissioners, officials, employees, agents and representatives, and their respective heirs, successors and assigns, from and against any and all costs, expenses, attorney's fees, losses, damages and liabilities incurred or suffered directly or indirectly from or attributable to any claims arising out of or incident to the performance or nonperformance of the Contract by the Contractor, or the acts or omissions of the officers, agents, employees, Contractors, subContractors, licensees or invitees of the Contractor. The Contractor expressly understands and agrees that any Performance Bond or insurance protection required of the Contractor, or otherwise provided by the Contractor, shall in no way limit the responsibility to indemnify the County as hereinabove provided.

h) Confidentiality and Ownership of Documents

Contractor acknowledges and agrees that information regarding this Contract is confidential and shall not be disclosed, directly, indirectly or by implication, or be used by Contractor in any way, whether during the term of this Contract or at any time thereafter, except solely as required in the course of Contractor's performance hereunder. Contractor shall comply with the applicable privacy laws and regulations affecting County and will not disclose any of County's records, materials, or other data to any third party. Contractor shall not have the right to compile and distribute statistical analyses and reports utilizing data derived from information or data obtained from County without the prior written approval of County. In the event such approval is given, any such reports published and distributed by Contractor shall be furnished to County without charge.

All documents, data, studies, reports, work product or product created as a result of the performance of the Contract (the "Documents") shall be included in the Deliverables and shall be the property of the County of Cook. It shall be a breach of this Contract for the Contractor to reproduce or use any documents, data, studies, reports, work product or product obtained from the County of Cook or any Documents created hereby, whether such reproduction or use is for Contractor's own purposes or for those of any third party. During the performance of the Contract Contractor shall be responsible of any loss or damage to the Documents while they are in Contractor's possession, and any such loss or damage shall be restored at the expense of the Contractor. The County and its designees shall be afforded full access to the Documents and the work at all times.

i) Patents, Copyrights and Licenses

If applicable, Contractor shall furnish the Chief Procurement Officer with all licenses required for the County to utilize any software, including firmware or middleware, provided by Contractor as part of the Deliverables. Such licenses shall be clearly marked with a reference to the number of this County Contract. Contractor shall also furnish a copy of such licenses to the Chief Procurement Officer. Unless otherwise stated in these Contract documents, such licenses shall be perpetual and shall not limit the number of persons who may utilize the software on behalf of the County.

Contractor agrees to hold harmless and indemnify the County, its officers, agents, employees and affiliates from and defend, as permitted by Illinois law, at its own expense (including reasonable attorneys', accountants' and Contractors' fees), any suit or proceeding brought against County based upon a claim that the ownership and/or use of equipment, hardware and software or any part thereof provided to the County or utilized in performing Contractor's services constitutes an infringement of any patent, copyright or license or any other property right.

In the event the use of any equipment, hardware or software or any part thereof is enjoined, Contractor with all reasonable speed and due diligence shall provide or otherwise secure for County, at the Contractor's election, one of the following: the right to continue use of the equipment, hardware or software; an equivalent system having the Specifications as provided in this Contract; or Contractor shall modify the system or its component parts so that they become non-infringing while performing in a substantially similar manner to the original system, meeting the requirements of this Contract.

j) Examination of Records and Audits

The Contractor agrees that the Cook County Auditor or any of its duly authorized representatives shall, until expiration of three (3) years after the final payment under the Contract, have access and the right to examine any books, documents, papers, canceled checks, bank statements, purveyor's and other invoices, and records of the Contractor related to the Contract, or to Contractor's compliance with any term, condition or provision thereof. The Contractor shall be responsible for establishing and maintaining records sufficient to document the costs associated with performance under the terms of this Contract.

The Contractor further agrees that it shall include in all of its subcontracts hereunder a provision to the effect that the Subcontractor agrees that the Cook County Auditor or any of its duly authorized representatives shall, until expiration of three (3) years after final payment under the subcontract, have access and the right to examine any books, documents, papers, canceled checks, bank statements, purveyor's and other invoices and records of such Subcontractor involving transactions relating to the subcontract, or to such Subcontractor compliance with any term, condition or provision thereunder or under the Contract.

In the event the Contractor receives payment under the Contract, reimbursement for which is later disallowed by the County, the Contractor shall promptly refund the disallowed amount to the County on request, or at the County's option, the County may credit the amount disallowed from the next payment due or to become due to the Contractor under any contract with the County.

To the extent this Contract pertains to Deliverables which may be reimbursable under the Medicaid or Medicare Programs, Contractor shall retain and make available upon request, for a period of four (4) years after furnishing services pursuant to this Agreement, the contract, books, documents and records which are necessary to certify the nature and extent of the costs of such services if requested by the Secretary of Health and Human Services or the Comptroller General of the United States or any of their duly authorized representatives.

If Contractor carries out any of its duties under the Agreement through a subcontract with a related organization involving a value of cost of \$10,000.00 or more over a 12 month period, Contractor will cause such subcontract to contain a clause to the effect that, until the expiration of four years after the furnishing of any service pursuant to said subcontract, the related organization will make available upon request of the Secretary of Health and Human Services or the Comptroller General of the United States or any of their duly authorized representatives, copies of said subcontract and any books, documents, records and other data of said related organization that are necessary to certify the nature and extent of such costs.

This paragraph relating to the retention and production of documents is included because of possible application of Section 1861(v)(1)(I) of the Social Security Act to this Agreement; if this Section should be found to be inapplicable, then this paragraph shall be deemed inoperative and without force and effect.

k) Subcontracting or Assignment of Contract or Contract Funds

Once awarded, this Contract shall not be subcontracted or assigned, in whole or in part, without the advance written approval of the Chief Procurement Officer, which approval shall be granted or withheld at the sole discretion of the Chief Procurement Officer. In no case, however, shall such approval relieve the Contractor from its obligations or change the terms of the Contract. The Contractor shall not transfer or assign any Contract funds or any interest therein due or to become due without the advance written approval of the Chief Procurement Officer. The unauthorized subcontracting or assignment of the Contract, in whole or in part, or the unauthorized transfer or assignment of any Contract funds, either in whole or in part, or any interest therein, which shall be due or are to become due the Contractor shall have no effect on the County and are null and void.

Prior to the commencement of the Contract, the Contractor shall identify in writing to the Chief Procurement Officer the names of any and all Subcontractors it intends to use in the performance of the Contract by completing the Identification of Subcontractor/Supplier/SubContractor Form ("ISF"). The Chief Procurement Officer shall have the right to disapprove any Subcontractor. All Subcontractors shall be subject to the terms of this Contract. Contractor shall incorporate into all subcontracts all of the provisions of the Contract which affect such subcontract. Copies of subcontracts shall be provided to the Chief Procurement Officer upon request.

The Contractor must disclose the name and business address of each Subcontractor, attorney, lobbyist, accountant, Contractor and any other person or entity whom the Contractor has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Contractor is not required to disclose employees who are paid or estimated to be paid. The Contractor is not required to disclose employees who are paid solely through the Contractor's regular payroll. "Lobbyist" means any person or entity who undertakes to influence any legislation or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2), himself.

"Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action. If the Contractor is uncertain whether a disclosure is required under this Section, the Contractor must either ask the County, whether disclosure is required or make the disclosure.

The County reserves the right to prohibit any person from entering any County facility for any reason. All Contractors and Subcontractor of the Contractor shall be accountable to the Chief Procurement Officer or his designee while on any County property and shall abide by all rules and regulations imposed by the County.

D) Professional Social Services

In accordance with 34-146, of the Cook County Procurement Code, all Contractors or providers providing services under a Professional Social Service Contracts or Professional Social Services Agreements, shall submit an annual performance report to the Using Agency, i.e., the agency for whom the Contractor or provider is providing the professional social services, that includes but is not limited to relevant statistics, an empirical analysis where applicable, and a written narrative describing the goals and objectives of the contract or agreement and programmatic outcomes. The annual performance report shall be provided and reported to the Cook County Board of Commissioners by the applicable Using Agency within forty-five days of receipt. Failure of the Contractor or provider to provide an annual performance report will be considered a breach of contract or agreement by the Contractor or provider, and may result in termination of the Contract or agreement.

For purposes of this Section, a Professional Social Service Contract or Professional Social Service Agreement shall mean any contract or agreement with a social service provider, including other governmental agencies, nonprofit organizations, or for profit business enterprises engaged in the field of and providing social services, juvenile justice, mental health treatment, alternative sentencing, offender rehabilitation, recidivism reduction, foster care, substance abuse treatment, domestic violence services, community transitioning services, intervention, or such other similar services which provide mental, social or physical treatment and services to individuals. Said Professional Social Service Contracts or Professional Social Service Agreements do not include CCHHS managed care contracts that CCHHS may enter into with health care providers.

ARTICLE 4) TERM OF PERFORMANCE

a) Term of Performance

This Agreement takes effect when approved by the Cook County Board and its term shall begin on July 1, 2016 ("Effective Date") and continue until June 30, 2017 or until this Agreement is terminated in accordance with its terms, whichever occurs first.

b) Timeliness of Performance

- i) Contractor must provide the Services and Deliverables within the term and within the time limits required under this Agreement, pursuant to the provisions of Section 4.a and Exhibit 1. Further, Contractor acknowledges that TIME IS OF THE ESSENCE and that the failure of Contractor to comply with the time limits described in this Section 4.b may result in economic or other losses to the County.
- ii) Neither Contractor nor Contractor's agents, employees nor Subcontractors are entitled to any damages from the County, nor is any party entitled to be reimbursed by the County, for damages, charges or other losses or expenses incurred by Contractor by reason of delays or hindrances in the performance of the Services, whether or not caused by the County.

c) Agreement Extension Option

The Chief Procurement Officer may at any time before this Agreement expires elect to extend this Agreement under the same terms and conditions as this original Agreement, except as provided otherwise in this Agreement, by notice in writing to Contractor. After notification by the Chief Procurement Officer, this Agreement must be modified to reflect the time extension in accordance with the provisions of Section 10.c.

ARTICLE 5) COMPENSATION

a) Basis of Payment

The County will pay Contractor according to the Schedule of Compensation in the attached Exhibit 2 for the successful completion of services.

b) Method of Payment

All invoices submitted by the Contractor shall be in accordance with the cost provisions contained in the Agreement and shall contain a detailed description of the Deliverables, including the quantity of the Deliverables, for which payment is requested. All invoices for services shall include itemized entries indicating the date or time period in which the services were provided, the amount of time spent performing the services, and a detailed description of the services provided during the period of the invoice. All invoices shall reflect the amounts invoiced by and the amounts paid to the Contractor as of the date of the invoice. Invoices for new charges shall not include "past due" amounts, if any, which amounts must be set forth on a separate invoice. Contractor shall not be entitled to invoice the County for any late fees or other penalties.

In accordance with Section 34-177 of the Cook County Procurement Code, the County shall have a right to set off and subtract from any invoice(s) or Contract price, a sum equal to any fines and penalties, including interest, for any tax or fee delinquency and any debt or obligation owed by the Contractor to the County.

The Contractor acknowledges its duty to ensure the accuracy of all invoices submitted to the County for payment. By submitting the invoices, the Contractor certifies that all itemized entries set forth in the invoices are true and correct. The Contractor acknowledges that by submitting the invoices, it certifies that it has delivered the Deliverables, i.e., the goods, supplies, services or equipment set forth in the Agreement to the Using Agency, or that it has properly performed the services set forth in the Agreement. The invoice must also reflect the dates and amount of time expended in the provision of services under the Agreement. The Contractor acknowledges that any inaccurate statements or negligent or intentional misrepresentations in the invoices shall result in the County exercising all remedies available to it in law and equity including, but not limited to, a delay in payment or non-payment to the Contractor, and reporting the matter to the Cook County Office of the Independent Inspector General.

When a Contractor receives any payment from the County for any supplies, equipment, goods, or services, it has provided to the County pursuant to its Agreement, the Contractor must make payment to its Subcontractors within 15 days after receipt of payment from the County, provided that such Subcontractor has satisfactorily provided the supplies, equipment, goods or services in accordance with the Contract and provided the Contractor with all of the documents and information required of the Contractor. The Contractor may delay or postpone payment to a Subcontractor when the Subcontractor's supplies, equipment, goods, or services do not comply with the requirements of the Contract, the Contractor is acting in good faith, and not in retaliation for a Subcontractor exercising legal or contractual rights.

c) Funding

The source of funds for payments under this Agreement is identified in Exhibit 2, Schedule of Compensation. Payments under this Agreement must not exceed the dollar amount shown in Exhibit 2 without a written amendment in accordance with Section 10.c.

d) Non-Appropriation

If no funds or insufficient funds are appropriated and budgeted in any fiscal period of the County for payments to be made under this Agreement, then the County will notify Contractor in writing of that occurrence, and this Agreement will terminate on the earlier of the last day of the fiscal period for which sufficient appropriation was made or whenever the funds appropriated for payment under this Agreement are exhausted. Payments for Services completed to the date of notification will be made to Contractor. No payments will be made or due to Contractor and under this Agreement beyond those amounts appropriated and budgeted by the County to fund payments under this Agreement.

e) Taxes

Federal Excise Tax does not apply to materials purchased by the County by virtue of Exemption Certificate No. 36-75-0038K. Illinois Retailers' Occupation Tax, Use Tax and Municipal Retailers' Occupation Tax do not apply to deliverables, materials or services purchased by the County by virtue of statute. The price or prices quoted herein shall include any and all other federal and/or state, direct and/or indirect taxes which apply to this Contract. The County's State of Illinois Sales Tax Exemption Identification No. is E-9998-2013-07.

f) Price Reduction

If at any time after the contract award, Contractor makes a general price reduction in the price of any of the Deliverables, the equivalent price reduction based on similar quantities and/or considerations shall apply to this Contract for the duration of the Contract period. For purposes of this Section 5.f., Price Reduction, a general price reduction shall include reductions in the effective price charged by Contractor by reason of rebates, financial incentives, discounts, value points or other benefits with respect to the purchase of the Deliverables. Such price reductions shall be effective at the same time and in the same manner as the reduction Contractor makes in the price of the Deliverables to its prospective customers generally.

g) Contractor Credits

To the extent the Contractor gives credits toward future purchases of goods or services, financial incentives, discounts, value points or other benefits based on the purchase of the materials or services provided for under this Contract, such credits belong to the County and not any specific Using Agency. Contractor shall reflect any such credits on its invoices and in the amounts it invoices the County.

ARTICLE 6) DISPUTES

Any dispute arising under the Contract between the County and Contractor shall be decided by the Chief Procurement Officer. The complaining party shall submit a written statement detailing the dispute and specifying the specific relevant Contract provision(s) to the Chief Procurement Officer. Upon request of the Chief Procurement Officer, the party complained against shall respond to the complaint in writing within five days of such request. The Chief Procurement Officer will reduce her decision to writing and mail or otherwise furnish a copy thereof to the Contractor. The decision of the Chief Procurement Officer will be final and binding. Dispute resolution as provided herein shall be a condition precedent to any other action at law or in equity. However, unless a notice is issued by the Chief Procurement Officer indicating that additional time is required to review a dispute, the parties may exercise their contractual remedies, if any, if no decision is made within sixty (60) days following notification to the Chief Procurement Officer of a dispute. No inference shall be drawn from the absence of a decision by the Chief Procurement Officer.

Notwithstanding a dispute, Contractor shall continue to discharge all its obligations, duties and responsibilities set forth in the Contract during any dispute resolution proceeding unless otherwise agreed to by the County in writing.

**ARTICLE 7) COOPERATION WITH INSPECTOR GENERAL AND COMPLIANCE
WITH ALL LAWS**

The Contractor, Subcontractor, licensees, grantees or persons or businesses who have a County contract, grant, license, or certification of eligibility for County contracts shall abide by all of the applicable provisions of the Office of the Independent Inspector General Ordinance (Section 2-281 et. seq. of the Cook County Code of Ordinances). Failure to cooperate as required may result in monetary and/or other penalties.

The Contractor shall observe and comply with the laws, ordinances, regulations and codes of the Federal, State, County and other local government agencies which may in any manner affect the performance of the Contract including, but not limited to, those County Ordinances set forth in the Certifications attached hereto and incorporated herein. Assurance of compliance with this requirement by the Contractor's employees, agents or Subcontractor shall be the responsibility of the Contractor.

The Contractor shall secure and pay for all federal, state and local licenses, permits and fees required hereunder.

ARTICLE 8) SPECIAL CONDITIONS

a) Warranties and Representations

In connection with signing and carrying out this Agreement, Contractor:

- i) warrants that Contractor is appropriately licensed under Illinois law to perform the Services required under this Agreement and will perform no Services for which a professional license is required by law and for which Contractor is not appropriately licensed;
- ii) warrants it is financially solvent; it and each of its employees, agents and Subcontractors of any tier are competent to perform the Services required under this Agreement; and Contractor is legally authorized to execute and perform or cause to be performed this Agreement under the terms and conditions stated in this Agreement;
- iii) warrants that it will not knowingly use the services of any ineligible Contractor or Subcontractor for any purpose in the performance of its Services under this Agreement;

- iv) warrants that Contractor and its Subcontractors are not in default at the time this Agreement is signed, and has not been considered by the Chief Procurement Officer to have, within 5 years immediately preceding the date of this Agreement, been found to be in default on any contract awarded by the County;
- v) represents that it has carefully examined and analyzed the provisions and requirements of this Agreement; it understands the nature of the Services required; from its own analysis it has satisfied itself as to the nature of all things needed for the performance of this Agreement; this Agreement is feasible of performance in accordance with all of its provisions and requirements, and Contractor warrants it can and will perform, or cause to be performed, the Services in strict accordance with the provisions and requirements of this Agreement;
- vi) represents that Contractor and, to the best of its knowledge, its Subcontractors are not in violation of the provisions of the Illinois Criminal Code, 720 ILCS 5/33E as amended; and
- vii) acknowledges that any certification, affidavit or acknowledgment made under oath in connection with this Agreement is made under penalty of perjury and, if false, is also cause for termination under Sections 9.a and 9.c.

b) Ethics

In addition to the foregoing warranties and representations, Contractor warrants:

- (i) no officer, agent or employee of the County is employed by Contractor or has a financial interest directly or indirectly in this Agreement or the compensation to be paid under this Agreement except as may be permitted in writing by the Board of Ethics.
- (ii) no payment, gratuity or offer of employment will be made in connection with this Agreement by or on behalf of any Subcontractors to the prime Contractor or higher tier Subcontractors or anyone associated with them, as an inducement for the award of a subcontract or order.

c) Joint and Several Liability

If Contractor, or its successors or assigns, if any, is comprised of more than one individual or other legal entity (or a combination of them), then under this Agreement, each and without limitation every obligation or undertaking in this Agreement to be fulfilled or performed by Contractor is the joint and several obligation or undertaking of each such individual or other legal entity.

d) **Business Documents**

At the request of the County, Contractor must provide copies of its latest articles of incorporation, by-laws and resolutions, or partnership or joint venture agreement, as applicable.

e) **Conflicts of Interest**

- i) No member of the governing body of the County or other unit of government and no other officer, employee or agent of the County or other unit of government who exercises any functions or responsibilities in connection with the Services to which this Agreement pertains is permitted to have any personal interest, direct or indirect, in this Agreement. No member of or delegate to the Congress of the United States or the Illinois General Assembly and no Commissioner of the Cook County Board or County employee is allowed to be admitted to any share or part of this Agreement or to any financial benefit to arise from it.
- ii) Contractor covenants that it, and to the best of its knowledge, its Subcontractors if any (collectively, "**Consulting Parties**"), presently have no direct or indirect interest and will not acquire any interest, direct or indirect, in any project or contract that would conflict in any manner or degree with the performance of its Services under this Agreement.
- iii) Upon the request of the County, Contractor must disclose to the County its past client list and the names of any clients with whom it has an ongoing relationship. Contractor is not permitted to perform any Services for the County on applications or other documents submitted to the County by any of Contractor's past or present clients. If Contractor becomes aware of a conflict, it must immediately stop work on the assignment causing the conflict and notify the County.
- iv) Without limiting the foregoing, if the Consulting Parties assist the County in determining the advisability or feasibility of a project or in recommending, researching, preparing, drafting or issuing a request for proposals or bid specifications for a project, the Consulting Parties must not participate, directly or indirectly, as a prime, Subcontractor or joint venturer in that project or in the preparation of a proposal or bid for that project during the term of this Agreement or afterwards. The Consulting Parties may, however, assist the County in reviewing the proposals or bids for the project if none of the Consulting Parties have a relationship with the persons or entities that submitted the proposals or bids for that project.

- v) The Contractor further covenants that, in the performance of this Agreement, no person having any conflicting interest will be assigned to perform any Services or have access to any confidential information, as defined in Section 3.h of this Agreement. If the County, by the Chief Procurement Officer in his reasonable judgment, determines that any of Contractor's Services for others conflict with the Services Contractor is to render for the County under this Agreement, Contractor must terminate such other services immediately upon request of the County.
- vi) Furthermore, if any federal funds are to be used to compensate or reimburse Contractor under this Agreement, Contractor represents that it is and will remain in compliance with federal restrictions on lobbying set forth in Section 319 of the Department of the Interior and Related Agencies Appropriations Act for Fiscal year 1990, 31 U.S.C. § 1352, and related rules and regulations set forth at 54 Fed. Reg. 52,309 ff. (1989), as amended. If federal funds are to be used, Contractor must execute a Certification Regarding Lobbying, which will be attached as an exhibit and incorporated by reference as if fully set forth here.

f) Non-Liability of Public Officials

Contractor and any assignee or Subcontractor of Contractor must not charge any official, employee or agent of the County personally with any liability or expenses of defense or hold any official, employee or agent of the County personally liable to them under any term or provision of this Agreement or because of the County's execution, attempted execution or any breach of this Agreement.

**ARTICLE 9) EVENTS OF DEFAULT, REMEDIES, TERMINATION, SUSPENSION
AND RIGHT TO OFFSET**

a) Events of Default Defined

The following constitute events of default:

- i) Any material misrepresentation, whether negligent or willful and whether in the inducement or in the performance, made by Contractor to the County.
- ii) Contractor's material failure to perform any of its obligations under this Agreement including the following:
 - (a) Failure due to a reason or circumstances within Contractor's reasonable control to perform the Services with sufficient personnel and equipment or with sufficient material to ensure the performance of the Services;

- (b) Failure to perform the Services in a manner reasonably satisfactory to the Chief Procurement Officer or inability to perform the Services satisfactorily as a result of insolvency, filing for bankruptcy or assignment for the benefit of creditors;
 - (c) Failure to promptly re-perform within a reasonable time Services that were rejected as erroneous or unsatisfactory;
 - (d) Discontinuance of the Services for reasons within Contractor's reasonable control; and
 - (e) Failure to comply with any other material term of this Agreement, including the provisions concerning insurance and nondiscrimination.
- iii) Any change in ownership or control of Contractor without the prior written approval of the Chief Procurement Officer, which approval the Chief Procurement Officer will not unreasonably withhold.
 - iv) Contractor's default under any other agreement it may presently have or may enter into with the County during the life of this Agreement. Contractor acknowledges and agrees that in the event of a default under this Agreement the County may also declare a default under any such other Agreements.
 - v) Failure to comply with Article 7 in the performance of the Agreement.
 - vi) Contractor's repeated or continued violations of County ordinances unrelated to performance under the Agreement that in the opinion of the Chief Procurement Officer indicate a willful or reckless disregard for County laws and regulations.
- b) Remedies**

The occurrence of any event of default permits the County, at the County's sole option, to declare Contractor in default. The Chief Procurement Officer may in his sole discretion give Contractor an opportunity to cure the default within a certain period of time, which period of time must not exceed 30 days, unless extended by the Chief Procurement Officer. Whether to declare Contractor in default is within the sole discretion of the Chief Procurement Officer and neither that decision nor the factual basis for it is subject to review or challenge under the Disputes provision of this Agreement.

The Chief Procurement Officer will give Contractor written notice of the default, either in the form of a cure notice ("**Cure Notice**"), or, if no opportunity to cure will be granted, a default notice ("**Default Notice**"). If the Chief Procurement Officer gives a Default Notice, he will also indicate any present intent he may have to terminate this Agreement, and the decision to terminate (but not the decision not to terminate) is final and effective upon giving the notice. The Chief Procurement Officer may give a Default Notice if Contractor fails to affect a cure within the cure period given in a Cure Notice. When a Default Notice with intent to terminate is given as provided in this Section 9.b and Article 11, Contractor must discontinue any Services, unless otherwise directed in the notice, and deliver all materials accumulated in the performance of this Agreement, whether completed or in the process, to the County. After giving a Default Notice, the County may invoke any or all of the following remedies:

- i) The right to take over and complete the Services, or any part of them, at Contractor's expense and as agent for Contractor, either directly or through others, and bill Contractor for the cost of the Services, and Contractor must pay the difference between the total amount of this bill and the amount the County would have paid Contractor under the terms and conditions of this Agreement for the Services that were assumed by the County as agent for the Contractor under this Section 9.b;
- ii) The right to terminate this Agreement as to any or all of the Services yet to be performed effective at a time specified by the County;
- iii) The right of specific performance, an injunction or any other appropriate equitable remedy;
- iv) The right to money damages;
- v) The right to withhold all or any part of Contractor's compensation under this Agreement;
- vi) The right to consider Contractor non-responsible in future contracts to be awarded by the County.

If the Chief Procurement Officer considers it to be in the County's best interests, he may elect not to declare default or to terminate this Agreement. The parties acknowledge that this provision is solely for the benefit of the County and that if the County permits Contractor to continue to provide the Services despite one or more events of default, Contractor is in no way relieved of any of its responsibilities, duties or obligations under this Agreement, nor does the County waive or relinquish any of its rights.

The remedies under the terms of this Agreement are not intended to be exclusive of any other remedies provided, but each and every such remedy is cumulative and is in addition to any other remedies, existing now or later, at law, in equity or by statute. No delay or omission to exercise any right or power accruing upon any event of default impairs any such right or power, nor is it a waiver of any event of default nor acquiescence in it, and every such right and power may be exercised from time to time and as often as the County considers expedient.

c) Early Termination

In addition to termination under Sections 9.a and 9.b of this Agreement, the County may terminate this Agreement, or all or any portion of the Services to be performed under it, at any time by a notice in writing from the County to Contractor. The County will give notice to Contractor in accordance with the provisions of Article 11. The effective date of termination will be the date the notice is received by Contractor or the date stated in the notice, whichever is later. If the County elects to terminate this Agreement in full, all Services to be provided under it must cease and all materials that may have been accumulated in performing this Agreement, whether completed or in the process, must be delivered to the County effective 10 days after the date the notice is considered received as provided under Article 11 of this Agreement (if no date is given) or upon the effective date stated in the notice.

After the notice is received, Contractor must restrict its activities, and those of its Subcontractors, to winding down any reports, analyses, or other activities previously begun. No costs incurred after the effective date of the termination are allowed. Payment for any Services actually and satisfactorily performed before the effective date of the termination is on the same basis as set forth in Article 5, but if any compensation is described or provided for on the basis of a period longer than 10 days, then the compensation must be prorated accordingly. No amount of compensation, however, is permitted for anticipated profits on unperformed Services. The County and Contractor must attempt to agree on the amount of compensation to be paid to Contractor, but if not agreed on, the dispute must be settled in accordance with Article 6 of this Agreement. The payment so made to Contractor is in full settlement for all Services satisfactorily performed under this Agreement.

Contractor must include in its contracts with Subcontractors an early termination provision in form and substance equivalent to this early termination provision to prevent claims against the County arising from termination of subcontracts after the early termination. Contractor will not be entitled to make any early termination claims against the County resulting from any Subcontractor's claims against Contractor or the County to the extent inconsistent with this provision.

If the County's election to terminate this Agreement for default under Sections 9.a and 9.b is determined in a court of competent jurisdiction to have been wrongful, then in that case the termination is to be considered to be an early termination under this Section 9.c.

d) Suspension

The County may at any time request that Contractor suspend its Services, or any part of them, by giving 15 days prior written notice to Contractor or upon informal oral, or even no notice, in the event of emergency. No costs incurred after the effective date of such suspension are allowed. Contractor must promptly resume its performance of the Services under the same terms and conditions as stated in this Agreement upon written notice by the Chief Procurement Officer and such equitable extension of time as may be mutually agreed upon by the Chief Procurement Officer and Contractor when necessary for continuation or completion of Services. Any additional costs or expenses actually incurred by Contractor as a result of recommencing the Services must be treated in accordance with the compensation provisions under Article 5 of this Agreement.

No suspension of this Agreement is permitted in the aggregate to exceed a period of 45 days within any one year of this Agreement. If the total number of days of suspension exceeds 45 days, Contractor by written notice may treat the suspension as an early termination of this Agreement under Section 9.c.

e) Right to Offset

In connection with performance under this Agreement, the County may offset any excess costs incurred:

- i) if the County terminates this Agreement for default or any other reason resulting from Contractor's performance or non-performance;
- ii) if the County exercises any of its remedies under Section 9.b of this Agreement;
or
- iii) if the County has any credits due or has made any overpayments under this Agreement.

The County may offset these excess costs by use of any payment due for Services completed before the County terminated this Agreement or before the County exercised any remedies. If the amount offset is insufficient to cover those excess costs, Contractor is liable for and must promptly remit to the County the balance upon written demand for it. This right to offset is in addition to and not a limitation of any other remedies available to the County.

f) Delays

Contractor agrees that no charges or claims for damages shall be made by Contractor for any delays or hindrances from any cause whatsoever during the progress of any portion of this Contract.

g) Prepaid Fees

In the event this Contract is terminated by either party, for cause or otherwise, and the County has prepaid for any Deliverables, Contractor shall refund to the County, on a prorated basis to the effective date of termination, all amounts prepaid for Deliverables not actually provided as of the effective date of the termination. The refund shall be made within fourteen (14) days of the effective date of termination.

ARTICLE 10) GENERAL CONDITIONS

a) Entire Agreement

i) General

This Agreement, and the exhibits attached to it and incorporated in it, constitute the entire agreement between the parties and no other warranties, inducements, considerations, promises or interpretations are implied or impressed upon this Agreement that are not expressly addressed in this Agreement.

ii) No Collateral Agreements

Contractor acknowledges that, except only for those representations, statements or promises expressly contained in this Agreement and any exhibits attached to it and incorporated by reference in it, no representation, statement or promise, oral or in writing, of any kind whatsoever, by the County, its officials, agents or employees, has induced Contractor to enter into this Agreement or has been relied upon by Contractor, including any with reference to:

- (a) the meaning, correctness, suitability or completeness of any provisions or requirements of this Agreement;
- b) the nature of the Services to be performed;
- (c) the nature, quantity, quality or volume of any materials, equipment, labor and other facilities needed for the performance of this Agreement;
- (d) the general conditions which may in any way affect this Agreement or its performance;
- (e) the compensation provisions of this Agreement; or
- (f) any other matters, whether similar to or different from those referred to in (a) through (e) immediately above, affecting or having any connection with this Agreement, its negotiation, any discussions of its performance or those employed or connected or concerned with it.

iii) **No Omissions**

Contractor acknowledges that Contractor was given an opportunity to review all documents forming this Agreement before signing this Agreement in order that it might request inclusion in this Agreement of any statement, representation, promise or provision that it desired or on that it wished to place reliance. Contractor did so review those documents, and either every such statement, representation, promise or provision has been included in this Agreement or else, if omitted, Contractor relinquishes the benefit of any such omitted statement, representation, promise or provision and is willing to perform this Agreement in its entirety without claiming reliance on it or making any other claim on account of its omission.

b) **Counterparts**

This Agreement is comprised of several identical counterparts, each to be fully signed by the parties and each to be considered an original having identical legal effect.

c) **Contract Amendments**

The parties may during the term of the Contract make amendments to the Contract but only as provided in this section. Such amendments shall only be made by mutual agreement in writing.

In the case of Contracts not approved by the Board, the Chief Procurement Officer may amend a contract provided that any such amendment does not extend the Contract by more than one (1) year, and further provided that the total cost of all such amendments does not increase the total amount of the Contract beyond \$150,000. Such action may only be made with the advance written approval of the Chief Procurement Officer. If the amendment extends the Contract beyond one (1) year or increases the total award amount beyond \$150,000, then Board approval will be required.

No Using Agency or employee thereof has authority to make any amendments to this Contract. Any amendments to this Contract made without the express written approval of the Chief Procurement Officer is void and unenforceable.

Contractor is hereby notified that, except for amendments which are made in accordance with this Section 10.c. Contract Amendments, no Using Agency or employee thereof has authority to make any amendment to this Contract.

d) Governing Law and Jurisdiction

This Contract shall be governed by and construed under the laws of the State of Illinois. The Contractor irrevocably agrees that, subject to the County's sole and absolute election to the contrary, any action or proceeding in any way, manner or respect arising out of the Contract, or arising from any dispute or controversy arising in connection with or related to the Contract, shall be litigated only in courts within the Circuit Court of Cook County, State of Illinois, and the Contractor consents and submits to the jurisdiction thereof. In accordance with these provisions, Contractor waives any right it may have to transfer or change the venue of any litigation brought against it by the County pursuant to this Contract.

e) Severability

If any provision of this Agreement is held or considered to be or is in fact invalid, illegal, inoperative or unenforceable as applied in any particular case in any jurisdiction or in all cases because it conflicts with any other provision or provisions of this Agreement or of any constitution, statute, ordinance, rule of law or public policy, or for any other reason, those circumstances do not have the effect of rendering the provision in question invalid, illegal, inoperative or unenforceable in any other case or circumstances, or of rendering any other provision or provisions in this Agreement invalid, illegal, inoperative or unenforceable to any extent whatsoever. The invalidity, illegality, inoperativeness or unenforceability of any one or more phrases, sentences, clauses or sections in this Agreement does not affect the remaining portions of this Agreement or any part of it.

f) Assigns

All of the terms and conditions of this Agreement are binding upon and inure to the benefit of the parties and their respective legal representatives, successors and assigns.

g) Cooperation

Contractor must at all times cooperate fully with the County and act in the County's best interests. If this Agreement is terminated for any reason, or if it is to expire on its own terms, Contractor must make every effort to assure an orderly transition to another provider of the Services, if any, orderly demobilization of its own operations in connection with the Services, uninterrupted provision of Services during any transition period and must otherwise comply with the reasonable requests and requirements of the Using Agency in connection with the termination or expiration.

h) Waiver

Nothing in this Agreement authorizes the waiver of a requirement or condition contrary to law or ordinance or that would result in or promote the violation of any federal, state or local law or ordinance.

Whenever under this Agreement the County by a proper authority waives Contractor's performance in any respect or waives a requirement or condition to either the County's or Contractor's performance, the waiver so granted, whether express or implied, only applies to the particular instance and is not a waiver forever or for subsequent instances of the performance, requirement or condition. No such waiver is a modification of this Agreement regardless of the number of times the County may have waived the performance, requirement or condition. Such waivers must be provided to Contractor in writing.

i) Independent Contractor

This Agreement is not intended to and will not constitute, create, give rise to, or otherwise recognize a joint venture, partnership, corporation or other formal business association or organization of any kind between Contractor and the County. The rights and the obligations of the parties are only those expressly set forth in this Agreement. Contractor must perform under this Agreement as an independent Contractor and not as a representative, employee, agent, or partner of the County.

This Agreement is between the County and an independent Contractor and, if Contractor is an individual, nothing provided for under this Agreement constitutes or implies an employer-employee relationship such that:

- i) The County will not be liable under or by reason of this Agreement for the payment of any compensation award or damages in connection with the Contractor performing the Services required under this Agreement.
- ii) Contractor is not entitled to membership in the County Pension Fund, Group Medical Insurance Program, Group Dental Program, Group Vision Care, Group Life Insurance Program, Deferred Income Program, vacation, sick leave, extended sick leave, or any other benefits ordinarily provided to individuals employed and paid through the regular payrolls of the County.
- iii) The County is not required to deduct or withhold any taxes, FICA or other deductions from any compensation provided to the Contractor.

j) Governmental Joint Purchasing Agreement

Pursuant to Section 4 of the Illinois Governmental Joint Purchasing Act (30 ILCS 525) and the Joint Purchase Agreement approved by the Cook County Board of Commissioners (April 9, 1965), other units of government may purchase goods or services under this contract.

In the event that other agencies participate in a joint procurement, the County reserves the right to renegotiate the price to accommodate the larger volume.

k) Comparable Government Procurement

As permitted by the County of Cook, other government entities, if authorized by law, may wish to purchase the goods, supplies, services or equipment under the same terms and conditions contained in this Contract (i.e., comparable government procurement). Each entity wishing to reference this Contract must have prior authorization from the County of Cook and the Contractor. If such participation is authorized, all purchase orders will be issued directly from and shipped directly to the entity requiring the goods, supplies, equipment or services supplies/services. The County shall not be held responsible for any orders placed, deliveries made or payment for the goods, supplies, equipment or services supplies/services ordered by these entities. Each entity reserves the right to determine the amount of goods, supplies, equipment or services it wishes to purchase under this Contract.

l) Force Majeure

Neither Contractor nor County shall be liable for failing to fulfill any obligation under this Contract if such failure is caused by an event beyond such party's reasonable control and which is not caused by such party's fault or negligence. Such events shall be limited to acts of God, acts of war, fires, lightning, floods, epidemics, or riots.

ARTICLE 11) NOTICES

All notices required pursuant to this Contract shall be in writing and addressed to the parties at their respective addresses set forth below. All such notices shall be deemed duly given if hand delivered or if deposited in the United States mail, postage prepaid, registered or certified, return receipt requested. Notice as provided herein does not waive service of summons or process.

If to the County: Justice Advisory Council
69 West Washington Street, Suite 1100
Chicago, Illinois 60602
Attention: Lanetta Haynes Turner, Directorand

 Cook County Chief Procurement Officer
118 North Clark Street. Room 1018
Chicago, Illinois 60602
(Include County Contract Number on all notices)

If to Contractor: One Hope United
707 East 47th Street
Chicago, Illinois 60653
Attention: Timothy Snowden, Executive Director

Changes in these addresses must be in writing and delivered in accordance with the provisions of this Article 11. Notices delivered by mail are considered received three days after mailing in accordance with this Article 11. Notices delivered personally are considered effective upon receipt. Refusal to accept delivery has the same effect as receipt.

ARTICLE 12) AUTHORITY

Execution of this Agreement by Contractor is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document, and the signature(s) of each person signing on behalf of Contractor have been made with complete and full authority to commit Contractor to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained in it, including the representations, certifications and warranties collectively incorporated by reference in it.

Contract No. 1653-15275A
Recidivism Reduction Demonstration Grants (\$100,000)

EXHIBIT 1
SCOPE OF SERVICES

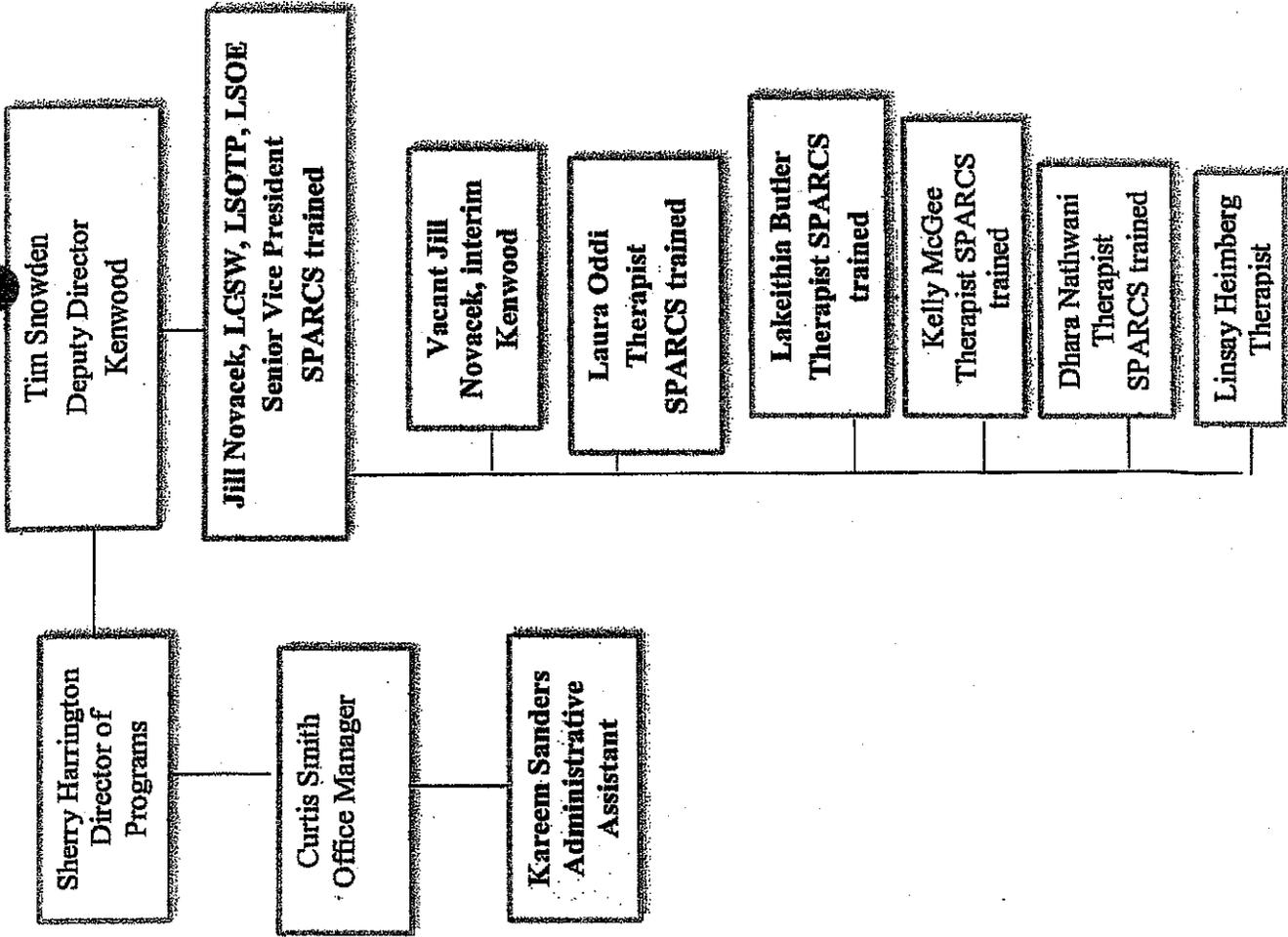
B. Executive Summary & Organization Chart

One Hope United (OHU) is a nonprofit agency that has been protecting children and strengthening families since 1895. OHU's three primary Lines of Services are: **Early Learning & Child Development, Placement** (adoption, foster care, residential services), and **Community-Based Family Services** which includes youth services, counseling, and family stabilization services. OHU currently is one of the largest provider of youth services in Illinois and provide services in Cook County for court involved and at-risk youth on the Southside of Chicago. 2015 outcomes for Intensive Family Services program that serves high risk youth on probation in Cook County are: 75% of youth have permanency and stability in their living situation; 58% of youth were diverted from further involvement in the justice system; 67% are successful citizens through education, vocational trainings, or employed. For Adolescents with Sexual Behavior Problems in St. Charles for the last five years, outcomes averaged 85% of clients being successful in treatment and reducing risk to reoffend. The Mutisystemic Therapy 2015 outcomes are as follows: 96% of youth have permanency and stability in their living situation; 71% of youth were diverted from further involvement in the justice system; 75% are successful citizens through education, vocational trainings, or employed. The trauma treatment program in Lake County preliminary research data from the Child and Adolescent Needs and Strengths (CANS) on the child-specific domains revealed a "very large" effect size, on the Traumatic Stress Symptoms domain, and a large effect size for the Strengths domain. Life Domain Functioning and the Behavioral Emotional Needs domains showed moderate to large change effect; and the Risk Behavior domain had small but significant effect sizes. The greatest changes were found for: Adjustment to Trauma; Anger Control; Affect Dysregulation; Reexperiencing; and Somatization. Caregiver items showed significant improvement included: The Caregiver Post-Traumatic Reaction, Community Involvement, Marital/Partner Violence, Relations with Extended Family, and Knowledge off Social Service Options.

OHU proposes to provide Structured Psychotherapy for Adolescents Responding to Chronic Stress (SPARCS) groups to youth ages 13-19 who are justice involved and impacted by

trauma serving 74 youth. SPARCS has been shown to be effective reduction in traumatic stress symptoms, risk behaviors, emotional and behavioral needs. Youth also show significant improvements in interpersonal relationships. By targeting these need areas youth will decrease the likelihood of behaving in a way that is arrestable. Youth will be served at Tilden Community Career Academy and Precious Blood Ministry of Reconciliation. While youth will be served in the New City area, they may reside in surrounding South Side communities. Youth will learn the four core concepts of SPARCS: Cultivate Awareness of Trauma, Cope More Effectively, Connect With Others, and Create Meaning & Purpose. To this end, participants will learn about Trauma and the Body; Mindfulness-The States of Mind; Mindfulness: The Path to Wise Mind; Distress Tolerance: Distract; Distress Tolerance: Self Soothe; Trauma & Trust; identify their trauma responses, make meaning, and make a prosocial choice; learn specific skills to have an effective conversation to resolve a situation important to the youth; Labeling Triggers and Managing Emotions; Expectations and Beliefs; and Future planning. Youth who attend SPARCS will have weekly homework assignments and at the end of 16 weeks, participants will have learned and be able to implement SPARCS skills in their lives. The Youth Outcome Questionnaire-Self-Report (YOQ-SR) will be utilized pre/post and portions re-administered six months post discharge to measure the impact of services.

Key staff on this project include: a Clinical Supervisor- candidate is in the hiring process and projected to start by 3/31/16; two experienced and culturally diverse therapists; and a Senior Vice President. Ms. Oddi, MA has been providing intensive in home counseling services in English and Spanish at One Hope United since 2001. Ms. Butler, LPC has been at One Hope United since 2011 providing counseling and case management services. Both therapists are SPARCS trained and currently provide SPARCS groups at Tilden and Precious Blood. Ms. Novacek, LCSW, LSOTP, LSOE, Senior Vice President, is responsible for the program and provides supervision during vacancies. Ms. Novacek has 16 years' experience in the field and trained in a number of evidence based programs including SPARCS.



• **Bolded Staff will be serving this grant**

C. Description of Problem and Target Population

From December 26, 2015-January 25, 2016 there were 36 violent crimes, 96 property crimes, and 55 quality of life crimes (criminal damage/drugs) reported in New City according to the Chicago Tribune who reports on the City of Chicago data portal. In the 60609 zip code, it is estimated that there were 501-750 juvenile arrests in 2012 and that between 150 and 199 youth were detained in 2013 in the temporary detention center. It is estimated that 60% of juvenile offenders will return to court before the age of 18 (Snyder and Sickmund 2006). Of the youth who were detained at the Cook County Juvenile Temporary Detention Center, it is reported that 70% have experienced a traumatic event, 30% have a history of frequent or injurious physical or sexual abuse prior to incarceration, and 65-70% have at least one diagnosable mental health disorder (Lewis and Kaba 2014). A longitudinal study of youth detained at the Cook County Juvenile Temporary Detention Center in Chicago found the mortality rate for these youth is more than four times the rate for youth in the general population. For delinquent females it is nearly eight times the rate for the general population. 90% of deaths were homicides from gunshot wounds within 7 years of the start of the study. African American youth continue to experience the highest mortality. (Teplin, McClelland, Abram, Mileusnic-Polchan, Olson, and Harrison 2005). These statistics underscore the toll that a lack of services for justice involved youth has on the community.

There are a number of factors associated with recidivism including a lack of coordination or fragmentation in the behavioral health delivery system, lack of access to appropriate behavioral health services, lack of dual diagnosis services available, and behavioral health services that only address short-term issues, most behavioral health providers do not want to treat violent or aggressive youth (Thomas, Gourley, and Mele 2005).

Northwestern University conducted a five- year retrospective study on youth in Illinois Youth Corrections (IYC) and completed a Child and Adolescent Strength and Needs Assessment (CANS) on each youth in the selected period. They also listed by zip code the number of youth returned to the community from IYC. From 2005-2009 63 youth reentered the New City

community from the IDJJ. State level data showed that from 2005-2007 on average, 90.96% of youth released from IDJJ were rearrested and 58.6% of youth released from IDJJ were re-incarcerated. The project is targeting New City and surrounding southside neighborhoods due to the level of crime in the area and number of youth who have been arrested, detained, or released from IDJJ. Further, the CANS results showed a high level of trauma exposure and propensity for violence.

The CANS identified that 66% of youth had experienced at least one form of trauma, 69% had substance use issues, 81% had anger control issues, 79% had conduct problems, 33% depression, 38% of youth have actionable needs in their "current living situation", 80% lack independent living skills, 77% had poor school attendance, 67% had poor school attainment, only 12% had vocational skills, and 82% had a lack vocational strengths.

In the last decade, much research has been done on the impact of trauma. Trauma impacts social (relationships with other), emotional (impulsive and anger control issues), physiological (physical symptoms of emotional distress), self-perception, and cognitive functioning (unable to concentrate or focus). It also alters the youth's systems of meaning and sense of purpose in life. "As adolescent chronic trauma survivors begin to develop romantic relationships for the first time, they are especially vulnerable to dating abusive partners, initiating violent conflict and engaging in high-risk, impulsive behaviors" (Pelcovitz & Kaplan, 1994). Youth who have experienced more than one trauma are at a higher risk for violent behavior. The premise of this project is to provide youth involved in the justice system in New City and the surrounding neighborhoods with services to address the need areas they have in common with youth who were committed to IYC. By addressing these need areas it will decrease the likelihood of these youth behaving in a way that is arrestable.

This project seeks to serve youth ages 13-19 years of age from the New City neighborhood and surrounding areas who attend Tilden Community Career Academy or participate at Precious Blood Ministry of Reconciliation who are justice involved, have experienced at least one trauma, and display any of the following symptoms: poor emotional regulation (upset or angered easily,

trouble calming down, impulsivity, self-destructive behaviors); somatization and physical health (e.g., multiple, chronic physical complaints, autoimmune disorders); attention and information processing (dissociation); self-perception (e.g., self as damaged, shameful, guilty); sense of meaning and purpose in life (hopeless and pessimistic about the future); and interpersonal relationships (e.g. problems with trust, assertiveness & multiple unstable relationships).

Youth with traumatic stress symptoms are often identified first for their risky behavior, emotional or behavioral issues. Studies have shown that youth that experience a trauma have significant mood swings, anger, irritability, and profound depression. Difficulty with emotional regulation and controlling anger can compromise a youth's ability to do well in school or in relationship to other. These issues also increase the risk of a youth's self-injury or harm to others (Fairbank, Putnam, & Harris, 2007). These youth typically struggle to regulate their emotions and can react in violent ways to a perceived threat. Without intervention, these youth are more likely than others to struggle in school, have difficulty obtaining or maintaining employment, to engage in high-risk behaviors, to be the victim of or perpetrate violence or abuse, and to have significant mental and physical health problems (Gerrity & Falcarelli 2008).

As shown in the Northwestern IDJJ study and a study by Lewis and Kaba 2014, youth from these neighborhoods had a high level of trauma exposure and significant issues with conduct and anger control issues. Research associates the following risk factors with perpetration of youth violence (DHHS 2001; Lipsey and Derzon 1998; Resnick et al. 2004): history of violent victimization, poor behavioral control, high emotional distress, antisocial beliefs and attitudes, exposure to violence, conflict in the family, authoritarian parenting, harsh, lax or inconsistent disciplinary practices, low parental involvement, low emotional attachment to parents or caregivers, poor family functioning, poor monitoring and supervision of children, association with delinquent peers, involvement in gangs, lack of pro-social activities, low commitment to school and school failure.

This program utilizes SPARCS which is effectiveness for adolescents with self-regulation issues including high risk self-destructive behaviors and Post Traumatic Stress Disorder.

D. Proposed Program & Implementation Schedule

OHU proposes to provide SPARCS groups for 74 youth ages 13-19 in New City either attending Tilden High school (Canaryville) or participating at Precious Blood Ministry of Reconciliation (Back of the Yards) that are justice involved. Additionally, youth targeted for this program will have at least one trauma exposure that impacts one or more of their life domains. Trauma is defined by exposure to actual or threatened death, serious injury or sexual violation in which the individual: directly experiences the traumatic event or witnesses the traumatic event in person; learns that the traumatic event occurred to a close family member or close friend (with the actual or threatened death being either violent or accidental); experiences first-hand repeated or extreme exposure to aversive details of the traumatic event. Examples include: Community Violence, Domestic Violence, Early Childhood Trauma, Medical Trauma, Natural Disasters, Neglect, Physical Abuse, Refugee and War Zone Trauma, School Violence, Sexual Abuse, Terrorism, and Traumatic Grief.

In the last decade, much research has been done on the impact of trauma. Trauma impacts social (relationships with others), emotional (impulsive and anger control issues), physiological (physical symptoms of emotional distress), self-perception, and cognitive functioning (unable to concentrate or focus). It also alters the youth's systems of meaning and sense of purpose in life. "As adolescent chronic trauma survivors begin to develop romantic relationships for the first time, they are especially vulnerable to dating abusive partners, initiating violent conflict and engaging in high-risk, impulsive behaviors" (Pelcovitz & Kaplan, 1994). Because youth who have experienced more than one trauma are at a higher risk for violent behavior, a 16 week SPARCS group will be provided.

There are nine studies on SPARCS that show its effectiveness for adolescents with self-regulation issues including high risk self-destructive behaviors and Post Traumatic Stress Disorder. Adolescents receiving SPARCS in outpatient, school, and residential settings demonstrated significant improvements on measures of emotional distress, interpersonal relations, and physical/somatic complaints, and consistent gains in functioning related to conduct

problems, and inattention/hyperactivity as measured by the Youth Outcome Questionnaire (YOQ) (Habib et al, 2008, Habib, Labruna, & Newman, 2013). Data from over 200 adolescents who participated in treatment showed significant reductions in PTSD symptoms re-experiencing, avoidance, and hyper-arousal. Youth will complete the YOQ-SR pre and post SPARCS in order to capture the effectiveness of the intervention. Additionally, a 6 month follow up call will be made (with proper consent) to re-administer subsections of the YOQ-SR to measure sustained change.

SPARCS is a present-oriented, strength-based intervention that focuses on enhancing resilience through the development of important self-regulatory, problem-solving, and communication skills. SPARCS acknowledges that youth will continue to live in a stressful environment and seeks to teach them new skills to understand the meaning they attribute to situations they face and to learn skills to cope and manage through these situations. By building their self-awareness with mindfulness techniques, youth are able to assess how they feel about a certain situation, what the facts are, and what the wise choice would be for them. Distress Tolerance skills such as distract and self-soothe skills help youth manage their emotions in the moment to avoid acting in a harmful way. Finally, coping skills such as LET'M GO and MAKE A LINK are taught to give the youth action steps to take to resolve a situation in a peaceful way that empowers them to make a choice that meets their goals instead of allowing other people or pain from the past to choose for them.

The group is 60 minutes in length and will include the following topics: Welcome & Introduction; Stress, Trauma, and the Body; Introduction to Mindfulness-The States of Mind; Mindfulness: The Path to Wise Mind; Distress Tolerance: Distract; Distress Tolerance: Self Soothe; Trauma & Trust; LET'M GO; LET'M GO, Part 2; MAKE a LINK; MAKE a LINK, Part 2; Labeling Triggers and Managing Emotions; Portrait of My Life; Expectations and Beliefs; LET'M GO and MAKE a LINK, Revisited; Graduation & Hopes for the Future. SPARCS is an evidenced informed practice that integrates Cognitive Behavioral Therapy as well as Dialectical Behavioral Therapy.

Services will be held during the school day or after school hours to accommodate our community partners' needs. Groups are currently being provided at Tilden Career Community Academy and at Precious Blood Ministry of Reconciliation funded by Chicago Community Trust. This grant would allow a continuation of SPARCS groups.

When a client is identified for services, the therapist will engage the youth in conversation to complete a trauma history check list. The youth will also be asked to complete a Youth Outcome Questionnaire- Self Report (YOQ-SR). Therapists will discuss the benefits of completing the 16-session SPARCS group as well as the potential benefits of meeting peers who have experienced similar struggles. A consent will be obtained from the caregiver for participation.

Anticipated obstacles to success include client drop-out rate and transportation issues. On average, approximately 30% of clients drop out of treatment within the first twelve sessions (Lambert & Ogle, 2004). In order to overcome this obstacle, snacks and prizes will be provided to the participants which is a proven motivator for attendance based on reports from previous SPARCS groups. Client incentives are being provided as a way to encourage attendance and provide a tangible benefit for staying in services and completing group work.

In order to address transportation issues, Precious Blood Ministry of Reconciliation allows OHU to use their space at 5114 South Elizabeth Street, Chicago. Tilden Career Community Academy also allows for time in the student's school schedule to attend SPARCS group.

Planning/coordination/program activity	Staff responsible	Time frame
Notify Precious Blood and Tilden of grant award.	Jill Novacek	July 2016
Review grant with therapists/supervisor	Jill Novacek	July 2016
Begin recruiting youth for group and screening at Precious Blood	Supervisor and therapists	July 2016
Cultivate new sites to provide SPARCS	Supervisor	July/August 2016
Begin first group at Precious Blood	Therapists	August 2016
Begin recruiting youth for group and screening at Tilden	Supervisor and therapists	September 2016

Begin recruiting youth for group at additional sites	Supervisor and therapists	September 2016
Attend weekly CARE team meetings at Tilden	Supervisor	September 2016 through end of school year
Quarterly report completed	Supervisor	10/13/16
Begin recruitment at Tilden for second group		December 2016
Begin recruiting youth for group at additional sites	Supervisor and therapists	September 2016
Begin recruitment at Precious Blood for second group		January 2017
Quarterly report completed	Supervisor	1/12/17 4/12/17
Complete Follow up surveys for clients 6 months post discharge	Kareem Sanders	June 2017
Final report completed	Supervisor	7/12/17
Complete Follow up surveys for clients 6 months post discharge	Kareem Sanders	December 2017

E. Expected Outcomes

The goal of the SPARCS program is to reduce recidivism by teaching skills to manage affect and interpersonal relationships. SPARCS treatment will significantly improve emotional distress, interpersonal relations, and physical/somatic complaints, and consistent gains in functioning related to conduct problems, and inattention/hyperactivity and a reduction in trauma symptoms as measured by the Youth Outcome Questionnaire.

Objective	Activities	Process Measures	Outcome Measures
Youth will not engage in arrestable behavior	SPARCS groups: teach mindfulness, create connections and meaning, teach interpersonal skills-group activities and role play	# of clients served # of sessions held	90% of youth who complete the program will not engage in arrestable behavior as measured by a decrease in Social Problems and Behavior Dysfunction scales of post YOQ
Increase youths skills to cope and navigate relationships when upset or trauma triggered.	SPARCS groups: teach distract and self soothe skills, teach LETMGO and MAKE A LINK skills	# of clients served # of sessions	90% of youth will demonstrate increased coping/interpersonal skills as measured by the YOQ-SR
Youth will be provided treatment resulting in reduction in trauma symptoms	SPARCS groups: educate on impact of trauma, teach mindfulness, teach coping skills	# of clients served # of sessions held	90% of youth will show a decrease in intrapersonal distress and somatic complaints as measured by the YOQ-SR

The Youth Outcome Questionnaire-self report (YOQ-SR) will be administered pre and post treatment. The YOQ-SR is a 64 item questionnaire designed to describe a wide range of troublesome situations, behaviors, and moods that are common to adolescents. The subscales include intrapersonal distress such as anxiety and depression; Somatic symptoms, which measures physical complaints; Interpersonal Relations, which focuses on relationships with others; Social Problems, which surveys conduct problems; Behavioral Dysfunction, which

assesses problems with attention and impulsivity; and Critical Items, which contains high risk behaviors such as risk of harm to self or others. A study by Habib, M., Labruna, V., & Newman, J. (2013) provided SPARCS groups to youth age 14-21 and YOQ-SR scores dropped from 65.5 to 42.38 which is a statistically significant improvement in overall client functioning. As a comparison, the community norm for this scale is a score of 34.

A six month follow up phone survey will be conducted with the youth to ascertain if the gains in treatment have been sustained and if the youth has been involved in any arrestable behavior since being discharged from services. Portions of the YOQ-SR will administered via phone in order to determine if gains have been maintained (with proper consent).

Data gathered will be used to inform the treatment as well as the progress in treatment. Final outcomes at case closing will also reflect the ability of the program to effectuate change in trauma symptoms, coping skills, and decreasing behavior dysfunction and social problems.

The YOQ-SR outcomes will be analyzed at the end of group and 6 months post discharge.

G. Qualifications of the Proposer

One Hope United (OHU) is a private, non-profit human service agency founded in 1895 that has grown into a multi-functional agency servicing children and their families regardless of race, ethnic heritage, religion, or creed. OHU meets the needs of children and families through a wide array of services. OHU's three primary Lines of Services are: **Early Learning & Child Development, Placement** (adoption, foster care, residential services), and **Community-Based Family Services** which includes youth services, counseling, and mentoring.

OHU utilizes a number of evidence-and research-based approaches based on best practice models. OHU is accredited by the Council on Accreditation, the benchmark of exemplary service for agencies involved with children and families and has recently achieved expedited re-accreditation from the Council On Accreditation. OHU is a licensed Child Welfare agency by the Illinois Department of Children and Family Services. One Hope United operates with a budget of approximately 50 million, and has 800 staff. Funding is obtained through a variety of state and local governmental contracts, private fees, and grants.

The counseling program specializes in working with youth involved in the juvenile justice and child welfare systems. OHU is committed to being a leader for trauma informed care. As a result, all counseling staff have specialized training. Through practice in the juvenile justice system as well as the child welfare system it is clear that many clients who act violently have also been impacted by trauma.

One Hope United is currently one of the largest provider of youth services in the State of Illinois with teams serving Kane, Kendall, DeKalb, Lake, and Cook County in Northern Illinois and Bond, Clay, Clinton, Crawford, Edwards, Effingham, Fayette, Franklin, Gallatin, Hamilton, Hardin, Jasper, Jefferson, Lawrence, Madison, Marion, Monroe, Randolph, Richland, St. Clair, Wabash, Washington, White, and Wayne counties in Southern Illinois. One Hope United has been providing youth services in Cook County for the last 11 years.

July of 2015, One Hope United received funding from Chicago Community Trust to provide 4 SPARCS groups to youth in Kenwood, Hyde Park, Englewood, West Englewood and Washington-Park. In December 2015 OHU was awarded a grant from Get IN Chicago for additional SPARCS groups in the Englewood, West Englewood, and Roseland neighborhoods.

In April of 2014, the Pay for Success Grant was awarded to One Hope United, as the lead agency representing the Conscience Community Network (CCN), a coalition of 7 well-established child welfare and juvenile justice providers with 700+ years of collective service to Illinois. One Hope United/CCN will deliver services through an innovative coordinated wraparound model that includes Attachment, Self-Regulation, and Competency, Brief Strategic Family Therapy, and Multidisciplinary Treatment Foster Care (MTFC).

OHU is an active participant with the National Childhood Traumatic Stress Network and has a Category III trauma treatment center in Gurnee, IL. Program outcomes have shown a significant reduction in trauma symptoms as well as a decrease in behavioral issues. OHU began providing SPARCS services in 2011. A partnership with the Illinois Collaboration on Youth, through funding from a SAMHSA/NCTSN grant, has allowed OHU to train three additional SPARCS therapists to offer this treatment modality to clients served by OHU Kenwood site therapists. The Get IN Chicago grant has allowed 15 Kenwood therapists and supervisors to be SPARCS trained.

OHU is ready to begin screening youth for Precious Blood groups upon award and will begin screening youth at Tilden Community Career Academy during the second or third week of school. Youth who are justice involved and who have experienced at least one trauma will be considered for a referral to the program. Flyers will be distributed to further educate on services and dates and times for groups will be solidified. OHU has collaborative agreements with Precious Blood and Tilden for SPARCS groups and is currently providing SPARCS at both locations.

OHU anticipates this program to be highly successful due to the evidenced based approach being used, staff credentials, and consultation available to the team. Each therapist

receives at least one hour of clinical supervision per week and all cases are reviewed during this time. OHU administration is committed to the success of the project and will commit time and resources needed for the project to be successful.

One Hope United holds all needed liability and malpractice insurance required by the RFP.

References for the provision of Youth Services

Contact Person: Amanda Halawa, Deputy Chief Probation Officer

Organization: Cook County Juvenile Probation

Dollar Value of Project: \$84,000

Address: 2245 W Ogden Ave, 3rd Floor Chicago, Il 60612

Telephone Number: 312/433-4460 E-mail Address: amanda.halawa@cookcountyiil.gov

Contract Person: Mary Smith, Director of Special Programs

Organization: Kane County Probation Special Services

Dollar Value of Project: \$326,000

Address: 37W777 Rt. 38, Suite 100

St. Charles, Illinois 60175

Telephone Number: 630-262-5800 E-mail Address: SmithMary@co.kane.il.us

Contact Person: Mary Marsolek

Organization: 19th Judicial District

Dollar Value of Project: \$72,000

Address: 24647 North Milwaukee Avenue

Vernon Hills, Illinois 60061

Telephone Number: 847-377-7800

Email Address: mmarsolek@lakecountyiil.gov

Agreement Between

One Hope United

And

Tilden Community Career Academy

The purpose of this non-binding agreement is to provide supportive and seamless services to justice involved youth at Tilden Community Career Academy. One Hope United and Tilden Community Career Academy have a unique combined history of providing high quality services to at risk youth and families on the south side of Chicago. Toward this end, OHU will collaborate with Tilden Community Career Academy to provide services to youth on probation and at risk youth in Cook County.

All parties agree to but are not limited to the following:

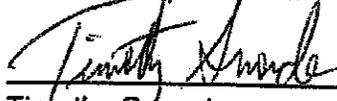
One Hope United

- Agrees to collaborate with Tilden Community Career Academy regarding SPARCS groups.
- Agrees to serve as a provider for appropriate clients to the ECHO (home based counseling); WINGS (pregnant and parenting teens), Leadership Academy, and SPARCS groups.
- Agrees to work together with Tilden Community Career Academy staff to develop an ongoing dialogue and collaborations regarding youth service needs, including attending CARE team meetings

Tilden Community Career Academy

- Acknowledges reviewing and approving the proposed scope of work
- Agrees to collaborate with OHU for the purposes of servicing at risk youth and provide referrals for SPARCS
- Agrees to collaborate with OHU and providing space for SPARCS groups.
- Agrees to work together with OHU staff to develop an ongoing dialogue and collaborations regarding youth service needs.

For One Hope United:



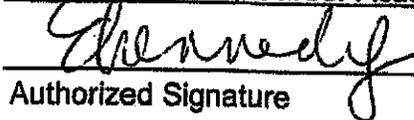
Timothy Snowden

Executive Director

3/3/16

Date

Tilden Community Career Academy



Authorized Signature

2/29/16

Date

Agreement Between

One Hope United
and
Precious Blood Ministry of Reconciliation

The purpose of this non-binding agreement is to provide supportive and seamless services to youth in Back of the Yards and surrounding communities. One Hope United and Precious Blood Ministry of Reconciliation have a unique combined history of providing high quality services to at risk youth and families on the south side of Chicago. Toward this end, OHU will collaborate with Precious Blood Ministry of Reconciliation to provide services to youth in Cook County.

All parties agree to but are not limited to the following:

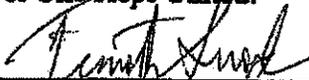
One Hope United

- Agree to collaborate with Precious Blood Ministry of Reconciliation regarding SPARCS groups and trauma treatment.
- Agree to serve as a referral source for appropriate clients to the ECHO (home based counseling); WINGS (pregnant and parenting teens).
- Agree to work together with Precious Blood Ministry of Reconciliation staff to develop an ongoing dialogue and collaborations regarding youth service needs

Precious Blood Ministry of Reconciliation

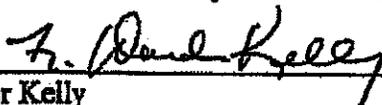
- Acknowledges reviewing and approving the proposed scope of work
- Agrees to collaborate with OHU for the purposes of servicing at risk youth and provide referrals for SPARCS
- Agree to collaborate with OHU and providing space for SPARCS groups.
- Provide linkage, when possible, with Precious Blood Ministry of Reconciliation array of services.
- Agree to work together with OHU staff to develop an ongoing dialogue and collaborations regarding youth service needs.

For One Hope United:



Timothy Snowden Deputy Director 3/3/16
Date

Precious Blood Ministry of Reconciliation



Father Kelly 2-29-16
Date

State of Illinois
DEPARTMENT OF CHILDREN AND FAMILY SERVICES

Type of Facility: CHILD WELFARE AGENCY
PRINT DATE: 02/03/2017
NO. 010053-14

LICENSE ISSUED TO
ONE HOPE UNITED-HUGELSON REC
520 E CAPITOL AVE
SPRINGFIELD IL 62701-1871

Effective Dates: 01/29/2015 - 01/28/2017
Capacity: 000
Area Used for Children: 000
Ages of Children Served: 000
Supervising Child Welfare Agency: Supervising Child Welfare Agency

Mailing address for this facility is:
ONE HOPE UNITED-HUGELSON RFB
PO BOX 548
CENTRAL, IA IL 62501-9109

Joy H. Allen
Acting Director

NOT TRANSFERABLE Supersedes all prior licenses for type of care specified above.

H. Key Personnel

One Hope United has a team of two therapists that are fully credentialed to provide the service and reflect the cultural diversity of the client population served.

The team is supervised by a Master's level licensed clinical professional. A candidate is in the hiring process and projected to start by 3/31/16. This position reports to Jill Novacek who provides supervision to the team in absence of the program supervisor.

Jill Novacek, MSW, LCSW, LSOTP, LSOE is the Senior Vice President responsible for the administration. Ms. Novacek has 16 years' experience in the field and trained in the following evidence based programs Dialectical Behavioral Therapy, Structured Psychotherapy for Adolescents Responding to Chronic Stress, Attachment, Self Regulation, and Competency, Trauma Focused Cognitive Behavioral Therapy, Multisystemic Therapy, and Aggression Replacement Training. She is also a Certified Truthought Corrective Thinking practitioner. Ms. Novacek was SPARCS trained in 2011 and completed implementation calls as well as facilitation SPARCS treatment. She can assist staff in the implementation and fidelity to the model should assistance be needed. Since 2011, Ms. Novacek has been responsible for the Supervision of therapeutic services in the following offices: Kenwood, Gurnee, St. Charles, and Joliet. She is the liaison for Lake Cook Behavioral Health in Arlington Heights, a private practice owned by One Hope United. She is the Co-Principal Investigator for a collaborative research project with Northwestern University to evaluate the effectiveness of the Trauma Treatment Program in Gurnee, IL. She provides weekly supervision for four counseling supervisors (clinical) and oversees out-patient programs that range from Sexual Behavior Problems and trauma work to intensive in home counseling with juvenile justice youth. She provided weekly clinical supervision to two Medicaid therapists who provide counseling services to foster care children and other clients referred by DCFS. She is responsible to ensure clinical work reflects best practice and promote practice of evidence based practices. She served as a member of the agency

Ethics Committee which includes fielding ethical dilemmas and researching responses, is the Chair of Evidence Based Practice Committee, and created a Military Family Support Group in partnership with the Lake County Health Department. She actively participates on Medicaid Committee and provides direction for the Medicaid biller. Ms. Novacek is also responsible for acquiring the 1.6 million dollar NCTSN SAMHSA grant to provide evidence based trauma treatment to youth ages 3-18 in Lake County.

From 2007-2011, Ms. Novacek was the Director of Programs for Foster Care in Lake Villa, Joliet, and Kankakee. She provided weekly supervision and monthly supervisory meetings for five foster care supervisors for traditional and specialized foster care. She supervised two therapists who provide counseling services to foster care children and other clients referred by DCFS. Ms. Novacek oversaw the home study contract for Interstate, Domestic, and International home studies, served on multiple COA committees, and created a new program structure for Specialized Foster Care. From 2006-2007 Ms. Novacek was a Foster Care Supervisor in the One Hope United Dorchester Chicago office. In this role she provided weekly supervision to a team of five foster care case managers and one case manager assistant. She provided weekly LCSW supervision for a Multi Systemic Therapy (MST) therapist, attended Administrative Case Reviews, court, Child and Family Team Meetings, and parent child visits. Ms. Novacek managed the team to ensure compliance with DCFS protocols and provided therapeutic visitation. From 2002-2006, Ms. Novacek was the Supervisor of Youth Services for the One Hope United Waukegan office. In this role she provided weekly clinical supervision and facilitated monthly team meetings. She was available 24-hours a day, 7 days a week for consultation (on cases) with nine staff. She oversaw and provided reports for the following programs: Comprehensive Community Based Youth Services, Federal Grant Runaway, Unified Delinquency Intervention Services, Early Service Program, Daycare counseling, STARS after school program, School Counseling, and Placement Stabilization. She was responsible for coordinating community education events, participating in community committees such as LAN 35, WRAP council for Northeastern Region, and Homeless Coalition. She co-facilitated Kids Hope United's Juvenile

Justice Board, was the liaison and security administrator of the Youth Assessment and Screening Instrument (YASI), and designated Co-Chair for the COA group on Crisis Response and Information Services. Ms. Novacek was the Co-Chair of the Statewide Unified Delinquency Intervention Services Task Force. From 2000-2002, Ms. Novacek was a UDIS Caseworker in Waukegan, IL for Central Baptist Family Service, (now One Hope United). In this position she facilitated family, group, and individual counseling and provided crisis intervention services, assessed potential clients and maintained regular contact with court/probation in person and through reports. She trained new staff and develop relationships with community resources to assure linkage of services to clients. From 1999-2000, Ms Novacek was an In-Home Counselor at Camelot Care Centers, Inc., Crystal Lake, IL. In this position she provided family and individual counseling for children with severe emotional and behavioral problems, wrote treatment plans, parenting plans, and implemented permanency planning, and wrote Administrative Case Review paperwork and attended court hearings. She also utilized Process Therapy to serve clients in Therapeutic Foster Care and Family Development Programs. She is a member of the National Association of Social Workers, National Childhood Traumatic Stress Network, Golden Key National Honor Society, and Psi Chi National Honors Society in Psychology.

Therapists

Ms. Oddi and Ms. Butler have extensive experience providing clinical services to the juvenile justice and child welfare populations in the City of Chicago. They are knowledgeable of the communities being served and resources available to the clients. Both are comfortable making connections with clients in their community and understand these client's unique perspectives. Additionally, Ms. Oddi's fluency in Spanish will allow services to be provided for clients who require Spanish speaking services.

Ms. Laura Oddi, MA has 18 years of experience in the field. She is bilingual English/Spanish and has completed intensive training. She has been an MST therapist in Cook County for the past 12

years. Ms. Oddi is trained in Trauma Focused Cognitive Behavioral Therapy, Cognitive Behavioral Therapy, Structured Psychotherapy for Adolescents Responding to Chronic Stress, Structural Family Therapy, and Dialectical Behavioral Therapy. Ms. Oddi was trained in SPARCS under ICOY's NCTSN grant and participated in monthly implementation calls with the developers of the model Dr. Habib and Dr. Labruna, to discuss implementation issues, and ensure adherence to the model. She currently facilitates 8 SPARCS groups with Ms. Butler in three schools and two community locations. This includes groups at Tilden Community Career Academy and Precious Blood Ministry of Reconciliation. From 1997-2001 Ms. Oddi was a Licensing Case Manager at The Family Link in Chicago where she worked with ethnically, racially, and socio-economically diverse families, including Spanish Speaking clients. She provided services to children in foster care including placement stabilization services, crisis intervention, and foster home monitoring. Services also included referrals to other needed services. In 1996, Ms. Oddi was a Counselor at The Women's Treatment Center in Chicago where she provided inpatient counseling, both individual and group, for women with substance abuse issues.

Ms. Lakeithia Butler, MA, LPC has 5 years of experience in the field with 13 years of experience working with at risk youth as a volunteer. Ms. Butler was SPARCS trained through funding provided by Get IN Chicago and is currently participating in monthly implementation calls. She has been an outpatient therapist with One Hope United since June of 2015. In her role as therapist she provides: individual and family counseling to youth and families to prevent contact with the child welfare system; provides individual and family counseling to youth and families who are involved in the child welfare system; and provides SPARCS groups to youth identified as high risk at three schools and two community providers. She co-facilitates SPARCS groups with Ms. Oddi at Tilden Community Career Academy and Precious Blood Ministry of Reconciliation. From 08/2013-Present Ms. Butler has been the Kenwood Leadership Academy Facilitator at

One Hope United. She is responsible for organizing and facilitating monthly academy activities focused on building youth self-confidence, self-esteem, communication skills, and character building. She facilitates the application and interview process for interested youth between the ages of 14 to 19. From 09/2011-6/2015, Ms. Butler was a Case Manager II at One Hope United. In this position she managed a case load of teen parents who were in need of parenting education services, linkage to medical and mental health services, substance abuse and other welfare related services. She completed intake interviews, created service plans, and implemented prevention interventions grounded in a strength-based approach. From 06/2014-Present Ms. Butler has been the Substitute Residential Program Staff at Deborah's Place in Chicago. Her responsibilities included: ensuring program safety, security, cleanliness, and integrity of the building during designated hours; coordinating and facilitating therapeutic activities and clinical groups for residents with severe mental health illnesses; preparing and serving nutritional meal to residents in Safe Haven and Teresa's Shelter; documenting all according to the program procedures; providing crisis intervention when Safe Haven residents become symptomatic and may become a danger to themselves or others. From 12/2013-5/2015 Ms. Butler was a Therapist Intern at UCAN in Chicago. Her responsibilities included: providing in home therapeutic services to trauma exposed youth addressing behavior, emotional, psychological issues; completing comprehensive treatment plans and clinical assessments for clients accepted into UCAN's teen transitional living program; assisting and coordinating various psycho-educational groups for DCFS involved youth ages 17-20 to promote positive parenting skills, teaching essential life skills; facilitating therapeutic workshops for the youth to teach them how to build healthy relationships, improve their self-esteem, use good decision making skills, and develop their leadership skills. From 05/2011- 08/2011 Ms. Butler was a Counselor at Indian Oaks Academy in Manteno, IL. Her responsibilities included: supporting and reinforcing the therapeutic plan of clients by providing guidance and direction to residents in their daily living activities; participating with coworkers as a team on implementation of treatment plans and therapeutic services to ensure resident safety and collaborate with coworkers to maintain an environment that

is positive, respectful, clean and therapeutic; Planned and participated in off-campus resident activities and assisted in the transportation of clients to home visits, doctor appointments, planned recreational activities and conducted intervention sessions to teach coping skills. From 09/2003-Present, Ms. Butler has served as a volunteer as an Assistant Youth Director at Bread of Life Missionary Baptist Church in Chicago. In this role she mentors and tutors students ages 7-17 in one-on-one sessions. She develops and utilizes teaching curriculum, disciplinary action, and family-based interventions for low income and at-risk youth in Chicago-Englewood community. She monitors and manages the behaviors of youth with both conduct problems and therapeutic needs, utilizing multiple intervention strategies to promote pro-social skills.

Staff Training:

Direct service personnel are master's level clinicians who hold advanced degrees in social work, counseling, psychology or a related human service field. Staff are required to attend training related to working with youth and families; such training may include, but is not limited to, the following:

- Alcohol and the Family
- Assessment and diagnosis.
- Attachment Disorders and Treatment Approaches
- Bipolar Disorder in Children and Adolescents
- Cultural and ethnic issues
- DCFS Mandated Reporter Training
- De-Escalation Training
- Engaging Fathers in Children's Lives
- Externalizing Disorders: Disruptive Youth
- Interviewing skills

- Mental Health Issues for Gays and Lesbians
- Overview of Suicide Prevention
- Personal Safety in the Community
- Safety Crisis Planning For At-Risk Adolescents and Their Families
- Solution-Focused Therapy
- Trauma Focused-Cognitive Behavioral Therapy
- Therapeutic Boundaries

In addition to the formalized training listed above, less formal training is provided on a weekly basis via weekly meetings with their supervisor and monthly team meetings.

Staff Coverage:

Client care is ensured by using a team approach. Vacation and personal time off is covered by the supervisor or another assigned therapist. In the event of a vacancy, the supervisor or another therapist will be assigned to ensure continuity in client care.

I. Subcontracting or Teaming

OHU will be teaming with Tilden Community Career Academy and Precious Blood Ministry of Reconciliation for the provision of SPARCS. There is no cost associated with these partnerships.

These partners will provide the space for SPARCS, provide the referrals, and work collaboratively on behalf of the youth served.

Contract No. 1653-15275A
Recidivism Reduction Demonstration Grants (\$1 00,000)

EXHIBIT 2

SCHEDULE OF COMPENSATION

Appendix II – Budget Narrative Form

The Budget Narrative is reviewed in conjunction with your Budget Form. It should serve to; a) justify your proposed expenditures, and b) explain how the expenditures are related to your program. For each cost item entered on your Budget Form, please provide a corresponding detailed explanation in the Budget Narrative. It is recommended that you provide calculations wherever applicable to illustrate how costs were determined. If you provide narrative for items that will be covered by a funding source other than JAC grant funds (this is not required), please indicate in the narrative that the item will be funded by an alternate source. A document entitled "Budget Guidance" is provided in Appendix III to assist you with completing your budget and budget narrative. Please refer to this resource to ensure that your proposed expenditures are in accordance with the Justice Advisory Council's specifications.

Applicant Organization Name:	One Hope United		
Project Name:	SPARCS		
Budget Contact Person:	Daniel Hamment	Phone:	618-242-8266
Contact Person's Email:	dhamment@onehopeunited.org		

NOTE: Please ensure that you provide sufficient detail to enable reviewers to understand your proposed expenditures. Cells are formatted to expand as you enter information.

BUDGET CATEGORY – PERSONNEL

List each position by title and name of employee if available. Explain each position's role in the proposed program. Also, indicate if the position is existing or new and when the position will be on-boarded.

Laura Oddi, therapist, has been with OHU for 16 years and be .50 FTE on the project. She will recruit and screen youth for SPARCS, administer the YOQ-SR pre/post group, and co-facilitate groups. Lakeithia Butler, therapist has been with OHU for 5 years and be .50 FTE on the project. She will recruit and screen youth for SPARCS, administer the YOQ-SR pre/post group, and co-facilitate groups.

The Supervisor .16 FTE provides oversight and clinical supervision to the therapists, and ensure fidelity measures are completed. The supervisor will attend weekly CARE team meetings at Tilden and coordinate services with collaborative partners. The supervisory candidate is currently in the hiring process and it is projected to start by 3/31/16.

Kareem Sanders, Administrative Assistant will contribute 225 hours and will assist by creating copies of group/program materials, gathering supplies for groups, keeping the files up to date, data entry, and conducting 6 month follow up surveys.

Jill Novacek, Senior Vice President will provide administrative oversight for the program and analyses the YOQ-SR data to evaluate the program.

Appendix II - Budget Narrative Form

BUDGET CATEGORY - FRINGE BENEFITS

Fringe benefits should be based on actual known costs or an established formula. Please explain which budgeted positions in the Personnel line will be receive fringe benefits.

Fringe benefits are calculated as follows: Health Insurance is calculated at 10.20%, Workers Compensation 2.48%, FICA - Employer Tax 7.45%, Unemployment Tax 0.53%, Other Salary Related Expenses 0.34%. Total Benefits 21%. All positions receive benefits.

BUDGET CATEGORY - TRAVEL

List travel expenses by project personnel and explain the purpose of the travel. Also indicate whether the travel is local or out-of-town and explain how costs were determined.

Travel expenditures reflect the therapists travel to and from Tilden and Precious Blood for screening youth and facilitating groups. Supervisory travel reflects travel to and from Tilden and Precious Blood for coordination of services and weekly participation on the CARE team at Tilden.

BUDGET CATEGORY - EQUIPMENT

For each budgeted item, explain the need for the item, how it will be utilized in the proposed program, and how costs were determined. Also indicate where the item will be located, who will use the item, and how inventory will be maintained.

The copier is the equipment included in this proposal. The copier serves as a printer (for case notes and program materials such as consents, YOQ-SR copies, homework) as well as a fax machine. The cost of the copier rental is divided by FTEs to create an annual FTE rate. It is expected that annually \$456 of the grant would go towards the copier rental.

BUDGET CATEGORY - MATERIALS & SUPPLIES

List materials and supplies by category (i.e. office supplies, program supplies, training supplies, etc.) and explain the need for the budgeted items and how costs were determined.

office supplies: \$301 annually calculated per FTE for items such as paper for the copier, legal pads to take notes during sessions, pens, and other like items to support the work of the therapist

housekeeping supplies \$71 annually calculated per FTE include cleaning supplies for the office.

SPARCS workbooks total \$1258 as they are \$17 per workbook per youth. Workbooks contain materials being taught in group.

Group supplies \$500 annually. \$50 per 16 week group to provide supplies for all 10 group cohorts.

Group supplies include concrete items to teach skills, for example lotion, textured cloth, play dough, nail polish, word finds, foods with different textures, bubbles, candles.

BUDGET CATEGORY - CONSULTANT / CONTRACTS

Explain the need for the consultant / contract as it relates to the proposed program. List all associated costs and provide a narrative explanation that describes the need. Also explain the method for determining the associated costs. A narrative corresponding to each submitted "Contractor/Partner Budget Line Item Detail" form must also be included.

None

Appendix II—Budget Narrative Form

BUDGET CATEGORY—OTHER DIRECT COSTS

List other direct cost by category (i.e. communications, event costs, postage, etc.) and explain why they are needed. Also provide an explanation as to how costs were determined, including the method for prorating costs that will be partially charged to the JAC grant.

Telephone/internet expenses are calculated per FTE and will be used for internet access to gather visual aids for some group sessions, contact collaterals, consultation, and resources. It is anticipated that the cost will be \$1,330.5 annually. **Occupancy Rent** is calculated per FTE and provides space for therapists to work (make phone calls, create documents, have clinical supervision, program meetings, etc). It is anticipated that the annual cost of rent will be \$6,904. **Utilities** support the office space needed for the project. The cost is calculated per FTE and it is anticipated that the annually cost will be \$522. **Maintenance and Cleaning** supports the office space needed for the staff on the project. The cost is calculated per FTE and it is anticipated that the annually cost will be \$369.50. **Depreciation** covers the facility and equipment such as computers. It is calculated per FTE and it is anticipated that the annually cost will be \$718.50. **Insurance costs** (liability insurance) are calculated per FTE and it is anticipated that the annually cost will be \$728. **Snacks for group** is calculated at \$28 per group for 160 group sessions to provide group snacks which is a proven motivator for attendance for an annual total of \$4480. **Prizes for group** \$1480 has been budgeted to purchase small for participants \$20 per group participant. **Information and Technology Consulting and Licensing** covers the licensing of the software and help desk. The cost is calculated per FTE and it is anticipated that the annually cost will be \$176.50.

BUDGET CATEGORY—INDIRECT COSTS

Explain what comprises indirect costs and the method used to determine the allocation charged to the JAC grant.

Indirect Costs include: Information Systems, Continuous Quality Improvement, Fund Development and Human Resources. This is calculated at 10.4%. Total cost \$9,420.27.

Contract No. 1653-15275A
Recidivism Reduction Demonstration Grants (\$1 00,000)

EXHIBIT 3
EVIDENCE OF INSURANCE

Contract No. 1653-15275A
Recidivism Reduction Demonstration Grants (\$100,000)

EXHIBIT 4

IDENTIFICATION OF SUBCONTRACTOR/SUPPLIER/SUBCONSULTANT FORM

**Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form**

OCPO ONLY:	
<input type="radio"/> Disqualification	
<input type="radio"/> Check Complete	

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: <u>1653-15275A</u>	Date: <u>6-13-16</u>
Total Bid or Proposal Amount: <u>\$100,000</u>	Contract Title: <u>N/A</u>
Contractor: <u>One Hope United</u>	Subcontractor/Supplier/ Subconsultant to be added or substitute: <u>N/A</u>
Authorized Contact for Contractor: <u>Timothy Snowden</u>	Authorized Contact for Subcontractor/Supplier/ Subconsultant: <u>N/A</u>
Email Address (Contractor): <u>tsnowden@onehopeunited.org</u>	Email Address (Subcontractor): <u>N/A</u>
Company Address (Contractor): <u>707 E. 47th Street</u>	Company Address (Subcontractor): <u>N/A</u>
City, State and Zip (Contractor): <u>Chicago, IL 60653</u>	City, State and Zip (Subcontractor): <u>N/A</u>
Telephone and Fax (Contractor) T: <u>312-949-5580</u> F: <u>773-538-5396</u>	Telephone and Fax (Subcontractor) <u>N/A</u>
Estimated Start and Completion Dates (Contractor) <u>July 1, 2016 - June 30, 2017</u>	Estimated Start and Completion Dates (Subcontractor) <u>N/A</u>

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
<u>N/A</u>	<u>N/A</u>

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

Contractor One Hope United - Timothy Snowden

Name Executive Director

Title Timothy Snowden

Prime Contractor Signature [Signature] Date 6/13/16

Contract No. 1653-15275A
Recidivism Reduction Demonstration Grants (\$100,000)

EXHIBIT 5

MBE/WBE UTILIZATION PLAN

CONTRACT NO. 1653-15275A
Vendor: One Hope United

Per the attached correspondence, the Office of Contract Compliance assigned a 0% MBE/WBE subcontracting goal to the above-mentioned contract as stated in Section GC-19, Minority and Women Business Enterprises, Cook County Ordinance Chapter 34, Division 8, Section 34-260 to Section 34-300, herein.



JACQUELINE GOMEZ

DIRECTOR

118 N. Clark, County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

TONI PRECKWINKLE

PRESIDENT

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of Commissioners**

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16th District

SEAN M. MORRISON
17th District

June 24, 2016

Ms. Shannon E. Andrews
Chief Procurement Officer
118 N. Clark Street
County Building-Room 1018
Chicago, IL 60602

Re: One Hope United.
Contract No. 1653-15275A
Recidivism Reduction Demonstration Grants
Justice Advisory Council

Dear Ms. Andrews:

The Office of Contract Compliance is in receipt of the above-referenced contract and has determined a 0% MBE/WBE participation goal was recommended and does not require the Office of Contract Compliance to review for MBE/WBE compliance with the Minority- and Women- owned Business Enterprises (MBE/WBE) Ordinance.

Sincerely,

Jacqueline Gomez
Contract Compliance Director
JG/ate

Cc: Kevin Casey, OCPO
Patrick McPhilly, JAC

Contract No. 1653-15275A
Recidivism Reduction Demonstration Grants (\$100,000)

EXHIBIT 6

ECONOMIC DISCLOSURE STATEMENT

**COOK COUNTY
ECONOMIC DISCLOSURE STATEMENT
AND EXECUTION DOCUMENT
INDEX**

Section	Description	Pages
1	Instructions for Completion of EDS	EDS i - ii
2	Certifications	EDS 1-2
3	Economic and Other Disclosures, Affidavit of Child Support Obligations, Disclosure of Ownership Interest and Familial Relationship Disclosure Form	EDS 3 - 12
4	Cook County Affidavit for Wage Theft Ordinance	EDS 13-14
5	Contract and EDS Execution Page	EDS 15-17
6	Cook County Signature Page	EDS 18

SECTION 1
INSTRUCTIONS FOR COMPLETION OF
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT

This Economic Disclosure Statement and Execution Document ("EDS") is to be completed and executed by every Bidder on a County contract, every Proposer responding to a Request for Proposals, and every Respondent responding to a Request for Qualifications, and others as required by the Chief Procurement Officer. The execution of the EDS shall serve as the execution of a contract awarded by the County. The Chief Procurement Officer reserves the right to request that the Bidder or Proposer, or Respondent provide an updated EDS on an annual basis.

Definitions. Terms used in this EDS and not otherwise defined herein shall have the meanings given to such terms in the Instructions to Bidders, General Conditions, Request for Proposals, Request for Qualifications, as applicable.

Affiliate means a person that directly or indirectly through one or more intermediaries, Controls is Controlled by, or is under common Control with the Person specified.

Applicant means a person who executes this EDS.

Bidder means any person who submits a Bid.

Code means the Code of Ordinances, Cook County, Illinois available on municode.com.

Contract shall include any written document to make Procurements by or on behalf of Cook County.

Contractor or *Contracting Party* means a person that enters into a Contract with the County.

Control means the unfettered authority to directly or indirectly manage governance, administration, work, and all other aspects of a business.

EDS means this complete Economic Disclosure Statement and Execution Document, including all sections listed in the Index and any attachments.

Joint Venture means an association of two or more Persons proposing to perform a for-profit business enterprise. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationship between the partners and their relationship and respective responsibility for the Contract

Lobby or *lobbying* means to, for compensation, attempt to influence a County official or County employee with respect to any County matter.

Lobbyist means any person who lobbies.

Person or *Persons* means any individual, corporation, partnership, Joint Venture, trust, association, Limited Liability Company, sole proprietorship or other legal entity.

Prohibited Acts means any of the actions or occurrences which form the basis for disqualification under the Code, or under the Certifications hereinafter set forth.

Proposal means a response to an RFP.

Proposer means a person submitting a Proposal.

Response means response to an RFQ.

Respondent means a person responding to an RFQ.

RFP means a Request for Proposals issued pursuant to this Procurement Code.

RFQ means a Request for Qualifications issued to obtain the qualifications of interested parties.

**INSTRUCTIONS FOR COMPLETION OF
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

Section 1: Instructions. Section 1 sets forth the instructions for completing and executing this EDS.

Section 2: Certifications. Section 2 sets forth certifications that are required for contracting parties under the Code and other applicable laws. Execution of this EDS constitutes a warranty that all the statements and certifications contained, and all the facts stated, in the Certifications are true, correct and complete as of the date of execution.

Section 3: Economic and Other Disclosures Statement. Section 3 is the County's required Economic and Other Disclosures Statement form. Execution of this EDS constitutes a warranty that all the information provided in the EDS is true, correct and complete as of the date of execution, and binds the Applicant to the warranties, representations, agreements and acknowledgements contained therein.

Required Updates. The Applicant is required to keep all information provided in this EDS current and accurate. In the event of any change in the information provided, including but not limited to any change which would render inaccurate or incomplete any certification or statement made in this EDS, the Applicant shall supplement this EDS up to the time the County takes action, by filing an amended EDS or such other documentation as is required.

Additional Information. The County's Governmental Ethics and Campaign Financing Ordinances impose certain duties and obligations on persons or entities seeking County contracts, work, business, or transactions, and the Applicant is expected to comply fully with these ordinances. For further information please contact the Director of Ethics at (312) 603-4304 (69 W. Washington St. Suite 3040, Chicago, IL 60602) or visit the web-site at cookcountyl.gov/ethics-board-of.

Authorized Signers of Contract and EDS Execution Page. If the Applicant is a corporation, the President and Secretary must execute the EDS. In the event that this EDS is executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization by the Corporation, satisfactory to the County that permits the person to execute EDS for said corporation. If the corporation is not registered in the State of Illinois, a copy of the Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute the EDS, unless one partner or joint venture has been authorized to sign for the partnership or joint venture, in which case, the partnership agreement, resolution or evidence of such authority satisfactory to the Office of the Chief Procurement Officer must be submitted with this Signature Page.

If the Applicant is a member-managed LLC all members must execute the EDS, unless otherwise provided in the operating agreement, resolution or other corporate documents. If the Applicant is a manager-managed LLC, the manager(s) must execute the EDS. The Applicant must attach either a certified copy of the operating agreement, resolution or other authorization, satisfactory to the County, demonstrating such person has the authority to execute the EDS on behalf of the LLC. If the LLC is not registered in the State of Illinois, a copy of a current Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute the EDS.

A "Partnership" "Joint Venture" or "Sole Proprietorship" operating under an Assumed Name must be registered with the Illinois county in which it is located, as provided in 805 ILCS 405 (2012), and documentation evidencing registration must be submitted with the EDS.

SECTION 2

CERTIFICATIONS

THE FOLLOWING CERTIFICATIONS ARE MADE PURSUANT TO STATE LAW AND THE CODE. THE APPLICANT IS CAUTIONED TO CAREFULLY READ THESE CERTIFICATIONS PRIOR TO SIGNING THE SIGNATURE PAGE. SIGNING THE SIGNATURE PAGE SHALL CONSTITUTE A WARRANTY BY THE APPLICANT THAT ALL THE STATEMENTS, CERTIFICATIONS AND INFORMATION SET FORTH WITHIN THESE CERTIFICATIONS ARE TRUE, COMPLETE AND CORRECT AS OF THE DATE THE SIGNATURE PAGE IS SIGNED. THE APPLICANT IS NOTIFIED THAT IF THE COUNTY LEARNS THAT ANY OF THE FOLLOWING CERTIFICATIONS WERE FALSELY MADE, THAT ANY CONTRACT ENTERED INTO WITH THE APPLICANT SHALL BE SUBJECT TO TERMINATION.

A. PERSONS AND ENTITIES SUBJECT TO DISQUALIFICATION

No person or business entity shall be awarded a contract or sub-contract, for a period of five (5) years from the date of conviction or entry of a plea or admission of guilt, civil or criminal, if that person or business entity:

- 1) Has been convicted of an act committed, within the State of Illinois, of bribery or attempting to bribe an officer or employee of a unit of state, federal or local government or school district in the State of Illinois in that officer's or employee's official capacity;
- 2) Has been convicted by federal, state or local government of an act of bid-rigging or attempting to rig bids as defined in the Sherman Anti-Trust Act and Clayton Act. Act. 15 U.S.C. Section 1 *et seq.*;
- 3) Has been convicted of bid-rigging or attempting to rig bids under the laws of federal, state or local government;
- 4) Has been convicted of an act committed, within the State, of price-fixing or attempting to fix prices as defined by the Sherman Anti-Trust Act and the Clayton Act. 15 U.S.C. Section 1, *et seq.*;
- 5) Has been convicted of price-fixing or attempting to fix prices under the laws the State;
- 6) Has been convicted of defrauding or attempting to defraud any unit of state or local government or school district within the State of Illinois;
- 7) Has made an admission of guilt of such conduct as set forth in subsections (1) through (6) above which admission is a matter of record, whether or not such person or business entity was subject to prosecution for the offense or offenses admitted to; or
- 8) Has entered a plea of *nolo contendere* to charge of bribery, price-fixing, bid-rigging, or fraud, as set forth in subparagraphs (1) through (6) above.

In the case of bribery or attempting to bribe, a business entity may not be awarded a contract if an official, agent or employee of such business entity committed the Prohibited Act on behalf of the business entity and pursuant to the direction or authorization of an officer, director or other responsible official of the business entity, and such Prohibited Act occurred within three years prior to the award of the contract. In addition, a business entity shall be disqualified if an owner, partner or shareholder controlling, directly or indirectly, 20% or more of the business entity, or an officer of the business entity has performed any Prohibited Act within five years prior to the award of the Contract.

THE APPLICANT HEREBY CERTIFIES THAT: The Applicant has read the provisions of Section A, Persons and Entities Subject to Disqualification, that the Applicant has not committed any Prohibited Act set forth in Section A, and that award of the Contract to the Applicant would not violate the provisions of such Section or of the Code.

B. BID-RIGGING OR BID ROTATING

THE APPLICANT HEREBY CERTIFIES THAT: In accordance with 720 ILCS 5/33 E-11, neither the Applicant nor any Affiliated Entity is barred from award of this Contract as a result of a conviction for the violation of State laws prohibiting bid-rigging or bid rotating.

C. DRUG FREE WORKPLACE ACT

THE APPLICANT HEREBY CERTIFIES THAT: The Applicant will provide a drug free workplace, as required by (30 ILCS 580/3).

D. DELINQUENCY IN PAYMENT OF TAXES

THE APPLICANT HEREBY CERTIFIES THAT: *The Applicant is not an owner or a party responsible for the payment of any tax or fee administered by Cook County, by a local municipality, or by the Illinois Department of Revenue, which such tax or fee is delinquent, such as bar award of a contract or subcontract pursuant to the Code, Chapter 34, Section 34-171.*

E. HUMAN RIGHTS ORDINANCE

No person who is a party to a contract with Cook County ("County") shall engage in unlawful discrimination or sexual harassment against any individual in the terms or conditions of employment, credit, public accommodations, housing, or provision of County facilities, services or programs (Code Chapter 42, Section 42-30 *et seq.*).

F. ILLINOIS HUMAN RIGHTS ACT

THE APPLICANT HEREBY CERTIFIES THAT: *It is in compliance with the Illinois Human Rights Act (775 ILCS 5/2-105), and agrees to abide by the requirements of the Act as part of its contractual obligations.*

G. INSPECTOR GENERAL (COOK COUNTY CODE, CHAPTER 34, SECTION 34-174 and Section 34-250)

The Applicant has not willfully failed to cooperate in an investigation by the Cook County Independent Inspector General or to report to the Independent Inspector General any and all information concerning conduct which they know to involve corruption, or other criminal activity, by another county employee or official, which concerns his or her office of employment or County related transaction.

The Applicant has reported directly and without any undue delay any suspected or known fraudulent activity in the County's Procurement process to the Office of the Cook County Inspector General.

H. CAMPAIGN CONTRIBUTIONS (COOK COUNTY CODE, CHAPTER 2, SECTION 2-585)

THE APPLICANT CERTIFIES THAT: It has read and shall comply with the Cook County's Ordinance concerning campaign contributions, which is codified at Chapter 2, Division 2, Subdivision II, Section 585, and can be read in its entirety at www.municode.com.

I. GIFT BAN, (COOK COUNTY CODE, CHAPTER 2, SECTION 2-574)

THE APPLICANT CERTIFIES THAT: It has read and shall comply with the Cook County's Ordinance concerning receiving and soliciting gifts and favors, which is codified at Chapter 2, Division 2, Subdivision II, Section 574, and can be read in its entirety at www.municode.com.

J. LIVING WAGE ORDINANCE PREFERENCE (COOK COUNTY CODE, CHAPTER 34, SECTION 34-160;

Unless expressly waived by the Cook County Board of Commissioners, the Code requires that a living wage must be paid to individuals employed by a Contractor which has a County Contract and by all subcontractors of such Contractor under a County Contract, throughout the duration of such County Contract. The amount of such living wage is annually by the Chief Financial Officer of the County, and shall be posted on the Chief Procurement Officer's website.

The term "Contract" as used in Section 4, I, of this EDS, specifically excludes contracts with the following:

- 1) Not-For Profit Organizations (defined as a corporation having tax exempt status under Section 501(C)(3) of the United State Internal Revenue Code and recognized under the Illinois State not-for -profit law);
- 2) Community Development Block Grants;
- 3) Cook County Works Department;
- 4) Sheriff's Work Alternative Program; and
- 5) Department of Correction inmates.

SECTION 3

REQUIRED DISCLOSURES

1. DISCLOSURE OF LOBBYIST CONTACTS

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name Address

Not Applicable

2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)

Local business means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?

Yes: No:

b) If yes, list business addresses within Cook County:

707 E. 47th St. Chicago, 60653; 333 S. Wabash, Ste. 2750, Chicago, 60604 514
W. 31st St., Chicago, 60616; 3053 S. Normal, Chicago, 60616; 3345 Arlington
Heights Rd. Ste. E, Arlington Heights 60004; 5244 N. Lakewood, Chicago, 60640

c) Does Applicant employ the majority of its regular full-time workforce within Cook County?

Yes: No:

3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.

4. REAL ESTATE OWNERSHIP DISCLOSURES.

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

PERMANENT INDEX NUMBER(S): 17-28-332-038

(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)

OR:

- b) _____ The Applicant owns no real estate in Cook County.

5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

None

If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.

COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing.

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the Applicant or Stock/Beneficial Interest Holder

This Statement is an: Original Statement or Amended Statement

Identifying Information:

Name One Hope United

D/B/A: _____

FEIN NO.: 36-2181967

Street Address: 333 S. Wabash, Ste. 2750

City: Chicago

State: IL

Zip Code: 60604

Phone No.: _____ Fax Number: _____

Email: _____

Cook County Business Registration Number: _____

(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): _____

Form of Legal Entity:

Sole Proprietor Partnership Corporation Trustee of Land Trust

Business Trust Estate Association Joint Venture

Other (describe) Non-Profit Corporation

Ownership Interest Declaration:

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
Not Applicable		

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address

3. Is the Applicant constructively controlled by another person or Legal Entity? [] Yes [] No
 If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship
None			

Corporate Officers, Members and Partners Information:

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
Scott Humphrey	333 S Wabash Ave, Chicago	CEO	< 1 year
David McConnell	333 S Wabash Ave, Chicago	CFO	10 years

Declaration (check the applicable box):

- I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT SIGNATURE PAGE

Scott Humphrey

Name of Authorized Applicant/Holder Representative (please print or type)

Scott Humphrey

Signature

shumphrey@onehopeunited.org

E-mail address

C.E.O.

Title

3.1.16

Date

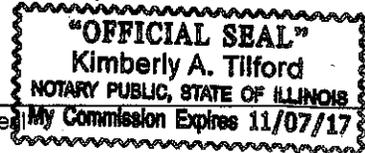
312-949-5656

Phone Number

Subscribed to and sworn before me
this 1 day of March 2016

My commission expires: 11-7-17

x *Kimberly A. Tilford*
Notary Public Signature



Notary Seal



COOK COUNTY BOARD OF ETHICS
69 W. WASHINGTON STREET, SUITE 3040
CHICAGO, ILLINOIS 60602
312/603-4304 Office 312/603-9988 Fax

FAMILIAL RELATIONSHIP DISCLOSURE PROVISION

Nepotism Disclosure Requirement:

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

Additional Definitions:

"*Familial relationship*" means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- | | | |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent | <input type="checkbox"/> Grandparent | <input type="checkbox"/> Stepfather |
| <input type="checkbox"/> Child | <input type="checkbox"/> Grandchild | <input type="checkbox"/> Stepmother |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law | <input type="checkbox"/> Stepson |
| <input type="checkbox"/> Sister | <input type="checkbox"/> Mother-in-law | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt | <input type="checkbox"/> Son-in-law | <input type="checkbox"/> Stepbrother |
| <input type="checkbox"/> Uncle | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister |
| <input type="checkbox"/> Niece | <input type="checkbox"/> Brother-in-law | <input type="checkbox"/> Half-brother |
| <input type="checkbox"/> Nephew | <input type="checkbox"/> Sister-in-law | <input type="checkbox"/> Half-sister |

COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM

A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY

Name of Person Doing Business with the County: One Hope United

Address of Person Doing Business with the County: 333 South Wabash, Suite 2750 Chicago, IL 60604

Phone number of Person Doing Business with the County: _____

Email address of Person Doing Business with the County: _____

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

Scott Humphrey, President 312 949-5656 shumphrey@onehopeunited.org

B. DESCRIPTION OF BUSINESS WITH THE COUNTY

Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the proceeding calendar year if disclosure is made on January 1), identify:

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: _____

1653-15275

The aggregate dollar value of the business you are doing or seeking to do with the County: \$ 100,000

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: _____

Kevin Casey, Office of the Chief Procurement Officer

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: _____

Lanetta Haynes Turner, Justice Advisory Council

C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS

Check the box that applies and provide related information where needed

The Person Doing Business with the County is an **individual** and there is **no familial relationship** between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

The Person Doing Business with the County is a **business entity** and there is **no familial relationship** between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- The Person Doing Business with the County is an individual and there is a familial relationship between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

If more space is needed, attach an additional sheet following the above format.

- The Person Doing Business with the County is a business entity and there is a familial relationship between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Name of Person Responsible
for the General
Administration of the
Business Entity Doing
Business with the County

Name of Related County
Employee or State, County or
Municipal Elected Official

Title and Position of Related
County Employee or State, County
or Municipal Elected Official

Nature of Familial
Relationship*

Name of Agent Authorized
to Execute Documents for
Business Entity Doing
Business with the County

Name of Related County
Employee or State, County or
Municipal Elected Official

Title and Position of Related
County Employee or State, County
or Municipal Elected Official

Nature of Familial
Relationship*

Name of Employee of
Business Entity Directly
Engaged in Doing Business
with the County

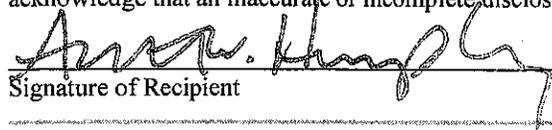
Name of Related County
Employee or State, County or
Municipal Elected Official

Title and Position of Related
County Employee or State, County
or Municipal Elected Official

Nature of Familial
Relationship*

If more space is needed, attach an additional sheet following the above format.

VERIFICATION: To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.


Signature of Recipient

3-1-16
Date

SUBMIT COMPLETED FORM TO: Cook County Board of Ethics
69 West Washington Street, Suite 3040, Chicago, Illinois 60602
Office (312) 603-4304 – Fax (312) 603-9988
CookCounty.Ethics@cookcountyil.gov

* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (*i.e.* in laws and step relations) or adoption.

SECTION 4

COOK COUNTY AFFIDAVIT FOR WAGE THEFT ORDINANCE

Effective May 1, 2015, every Person, including Substantial Owners, seeking a Contract with Cook County must comply with the Cook County Wage Theft Ordinance set forth in Chapter 34, Article IV, Section 179. Any Person/Substantial Owner, who fails to comply with Cook County Wage Theft Ordinance, may request that the Chief Procurement Officer grant a reduction or waiver in accordance with Section 34-179(d).

"Contract" means any written document to make Procurements by or on behalf of Cook County.

"Person" means any individual, corporation, partnership, Joint Venture, trust, association, limited liability company, sole proprietorship or other legal entity.

"Procurement" means obtaining supplies, equipment, goods, or services of any kind.

"Substantial Owner" means any person or persons who own or hold a twenty-five percent (25%) or more percentage of interest in any business entity seeking a County Privilege, including those shareholders, general or limited partners, beneficiaries and principals; except where a business entity is an individual or sole proprietorship, Substantial Owner means that individual or sole proprietor.

All Persons/Substantial Owners are required to complete this affidavit and comply with the Cook County Wage Theft Ordinance before any Contract is awarded. Signature of this form constitutes a certification the information provided below is correct and complete, and that the individual(s) signing this form has/have personal knowledge of such information.

I. Contract Information:

Contract Number: RFP Number 1653-15275

County Using Agency (requesting Procurement): Justice Advisory Council of Cook

II. Person/Substantial Owner Information:

Person (Corporate Entity Name): One Hope United

Substantial Owner Complete Name: _____

FEIN# 36-2181967

Date of Birth: _____ E-mail address: _____

Street Address: 333 S. Wabash Ave, Suite 2750

City: Chicago State: IL Zip: 60604

Home Phone: (____) _____ - _____ Driver's License No: _____

III. Compliance with Wage Laws:

Within the past five years has the Person/Substantial Owner, in any judicial or administrative proceeding, been convicted of, entered a plea, made an admission of guilt or liability, or had an administrative finding made for committing a repeated or willful violation of any of the following laws:

Illinois Wage Payment and Collection Act, 820 ILCS 115/1 et seq., YES or NO

Illinois Minimum Wage Act, 820 ILCS 105/1 et seq., YES or NO

Illinois Worker Adjustment and Retraining Notification Act, 820 ILCS 65/1 et seq., YES or NO

Employee Classification Act, 820 ILCS 185/1 et seq., YES or NO

Fair Labor Standards Act of 1938, 29 U.S.C. 201, et seq., YES or NO

Any comparable state statute or regulation of any state, which governs the payment of wages YES or NO

If the Person/Substantial Owner answered "Yes" to any of the questions above, it is ineligible to enter into a Contract with Cook County, but can request a reduction or waiver under Section IV.

IV. Request for Waiver or Reduction

If Person/Substantial Owner answered "Yes" to any of the questions above, it may request a reduction or waiver in accordance with Section 34-179(d), provided that the request for reduction of waiver is made on the basis of one or more of the following actions that have taken place:

There has been a bona fide change in ownership or Control of the ineligible Person or Substantial Owner
YES or NO

Disciplinary action has been taken against the individual(s) responsible for the acts giving rise to the violation
YES or NO

Remedial action has been taken to prevent a recurrence of the acts giving rise to the disqualification or default
YES or NO

Other factors that the Person or Substantial Owner believe are relevant.
YES or NO

The Person/Substantial Owner must submit documentation to support the basis of its request for a reduction or waiver. The Chief Procurement Officer reserves the right to make additional inquiries and request additional documentation.

V. Affirmation

The Person/Substantial Owner affirms that all statements contained in the Affidavit are true, accurate and complete.

Signature: Scott W. Humphrey Date: 3.1.16

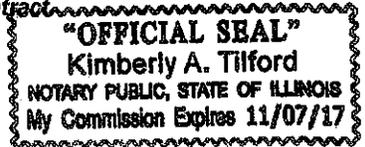
Name of Person signing (Print): Scott W. Humphrey Title: CEO

Subscribed and sworn to before me this 1st day of March, 2016

x Kimberly A. Tilford
Notary Public Signature

Notary Seal

Note: The above information is subject to verification prior to the award of the Contract.



SECTION 5

CONTRACT AND EDS EXECUTION PAGE
PLEASE EXECUTE THREE ORIGINAL COPIES

The Applicant hereby certifies and warrants that all of the statements, certifications and representations set forth in this EDS are true, complete and correct; that the Applicant is in full compliance and will continue to be in compliance throughout the term of the Contract or County Privilege issued to the Applicant with all the policies and requirements set forth in this EDS; and that all facts and information provided by the Applicant in this EDS are true, complete and correct. The Applicant agrees to inform the Chief Procurement Officer in writing if any of such statements, certifications, representations, facts or information becomes or is found to be untrue, incomplete or incorrect during the term of the Contract or County Privilege.

Execution by Corporation

One Hope United
Corporation's Name

Scott Humphrey *Scott Humphrey*
President's Printed Name and Signature

312-949-5656
Telephone

shumphrey@onehopeunited.org
Email

Kimberly A. Sifford
Secretary Signature

3-1-11
Date

Execution by LLC

LLC Name

*Member/Manager Printed Name and Signature

Date

Telephone and Email

Execution by Partnership/Joint Venture

Partnership/Joint Venture Name

*Partner/Joint Venturer Printed Name and Signature

Date

Telephone and Email

Execution by Sole Proprietorship

Printed Name and Signature

Date

Telephone

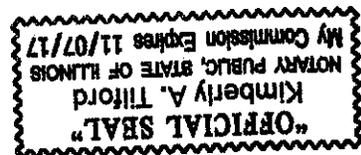
Email

Subscribed and sworn to before me this
1st day of March, 2011.

My commission expires:

Kimberly A. Sifford
Notary Public Signature

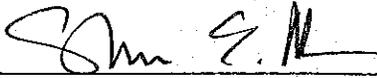
11-7-17
Notary Seal



If the operating agreement, partnership agreement or governing documents requiring execution by multiple members, managers, partners, or joint venturers, please complete and execute additional Contract and EDS Execution Pages.

SECTION 6
COOK COUNTY SIGNATURE PAGE

ON BEHALF OF THE COUNTY OF COOK, A BODY POLITIC AND CORPORATE OF THE STATE OF ILLINOIS, THIS CONTRACT IS HEREBY EXECUTED BY:



COOK COUNTY CHIEF PROCUREMENT OFFICER

DATED AT CHICAGO, ILLINOIS THIS 1 DAY OF July, 20 16

IN THE CASE OF A BID/ PROPOSAL/RESPONSE, THE COUNTY HEREBY ACCEPTS:

THE FOREGOING BID/PROPOSAL/RESPONSE AS IDENTIFIED IN THE CONTRACT DOCUMENTS FOR CONTRACT NUMBER

1653-15275A

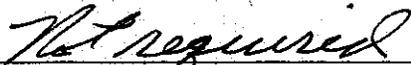
OR

ITEM(S), SECTION(S), PART(S): _____

TOTAL AMOUNT OF CONTRACT: \$ 100,000⁰⁰
(DOLLARS AND CENTS)

FUND CHARGEABLE: _____

APPROVED AS TO FORM:



ASSISTANT STATE'S ATTORNEY
(Required on contracts over \$1,000,000.00)

Date